



# The Pound-Drever-Hall Frequency Control

Tutorials of the 2015 EFTF / IFCS  
Denver, CO, USA, April 12–16, 2015

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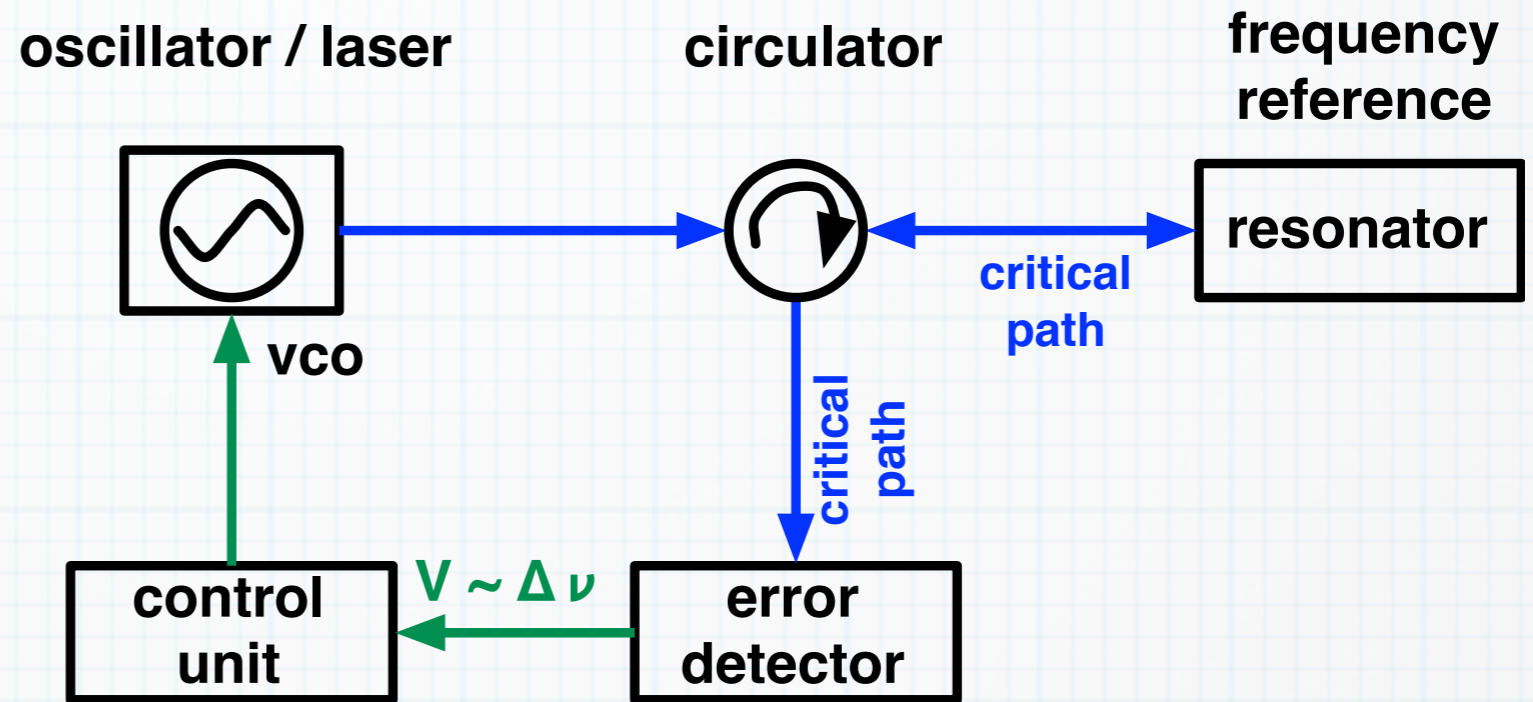
**CNRS FEMTO-ST Institute, Besancon, France**

- Overview
- Basic mechanism
- Key ideas
- Control loop
- Resonators stability
- Optimization
- Applications
- Alternate schemes

**home page <http://rubiola.org>**

# Overview

- **Frequency stabilization to a passive resonator**
- **Relevant cases:**
  - The Resonator is unsuitable to an oscillator
  - Cryogenics, vacuum, etc.



## Points of interest

- **Power (intensity) detector – available from RF to optics**
- **Compensation of the critical path**
- **Null measurement of the frequency error**
- **Use frequency modulation to get out of the flicker region**
- **One-port resonator → lowest dissipation → narrowest linewidth**

# Basic mechanism

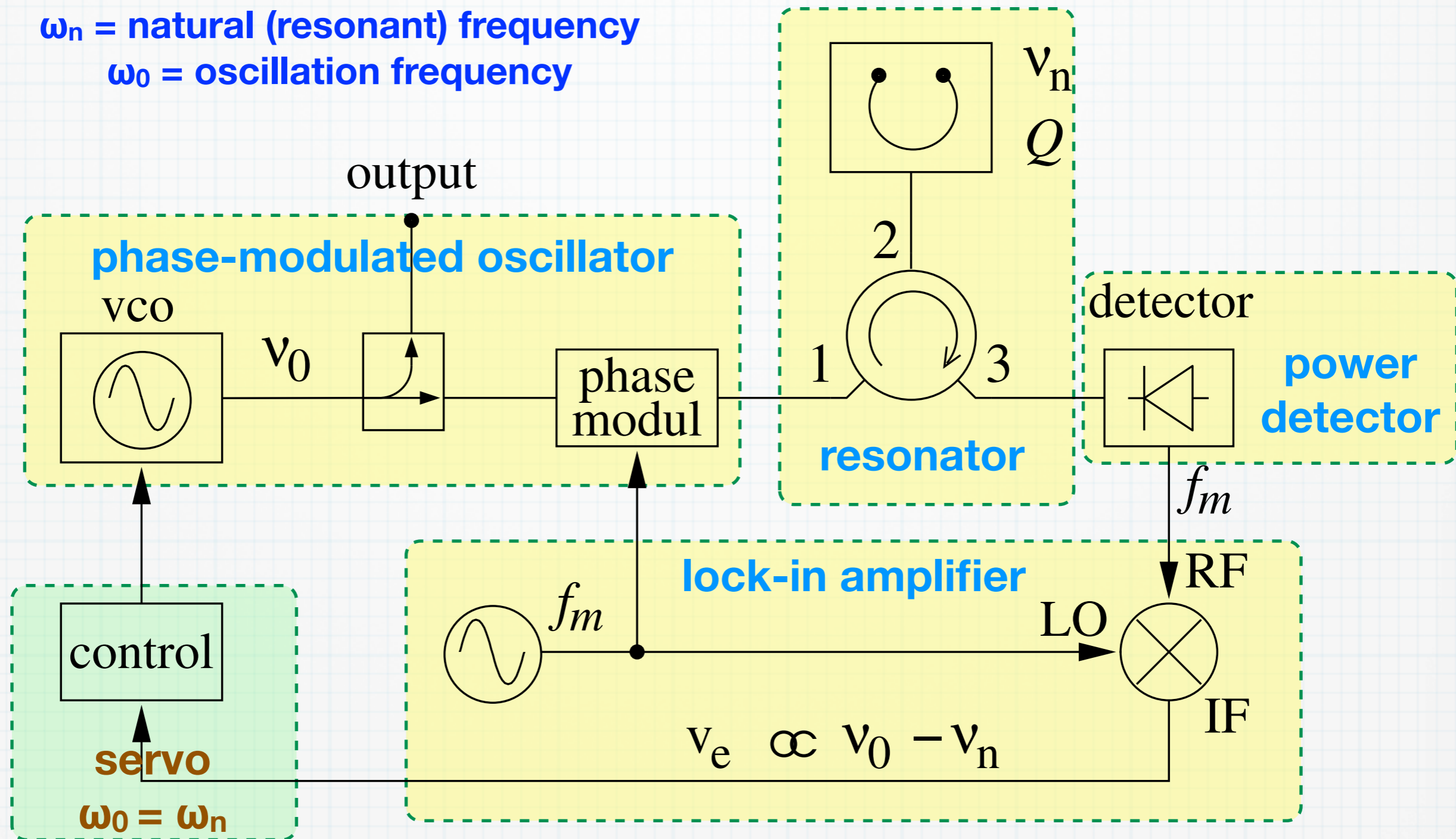
## Featured article

**Black E. D., An introduction to Pound–Drever–Hall laser frequency stabilization, Am J Phys 69(1) January 2001**

**Also Technical Note LIGO-T980045-00-D 4/16/98**

# The full scheme

$\omega_n$  = natural (resonant) frequency  
 $\omega_0$  = oscillation frequency



**The error signal is proportional to the frequency error**

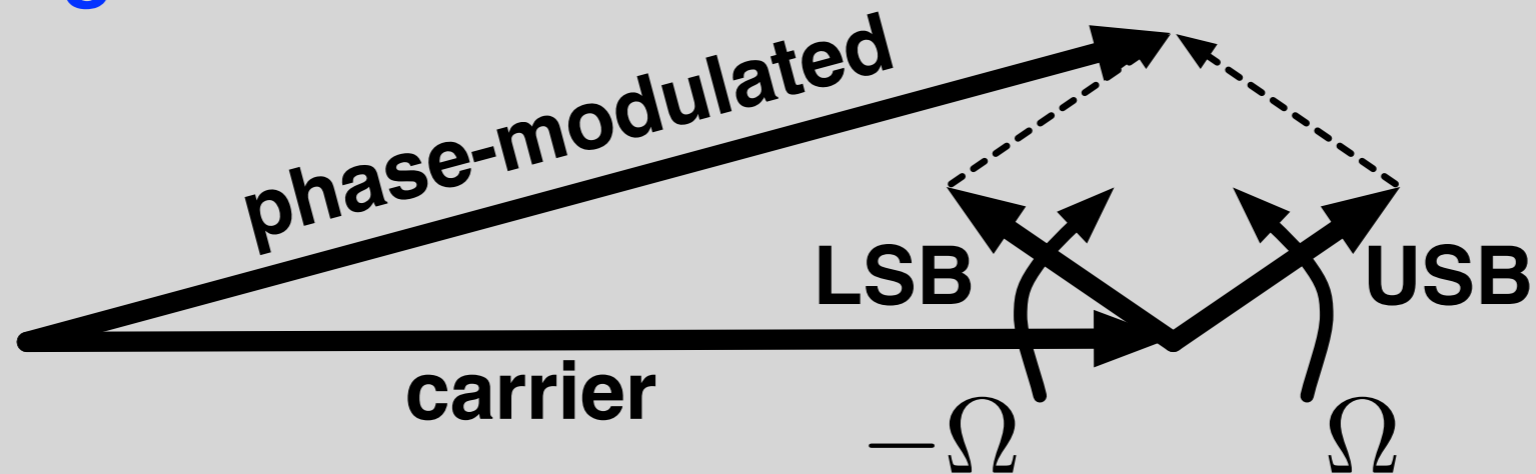
$$v_e = D(\omega_0 - \omega_n)$$



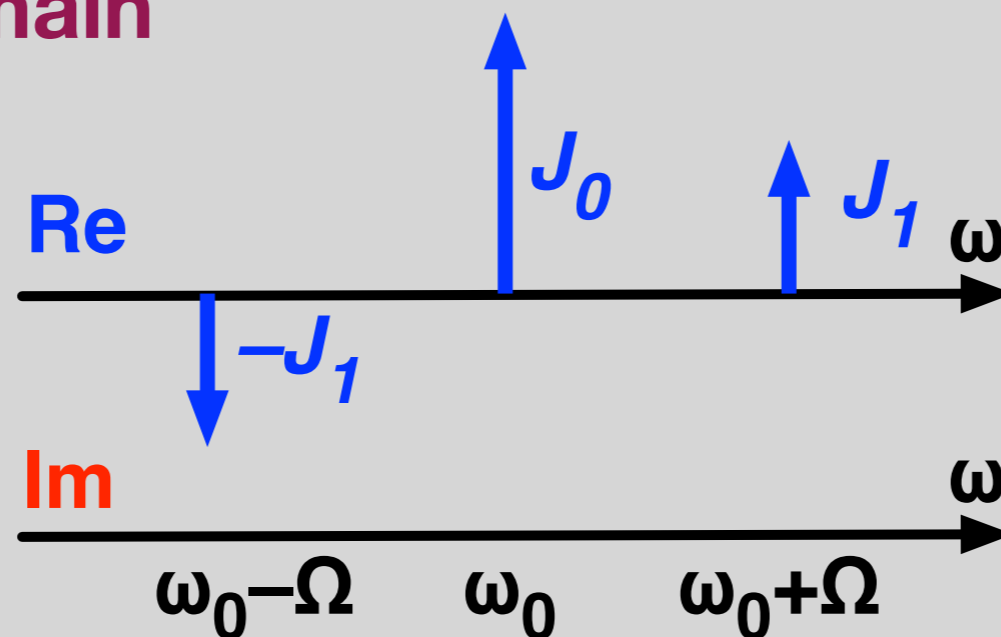
# Phase modulation – Physics

$$V(t) = V_p \cos[\omega t + m \cos(\Omega t)]$$

## Phasor diagram



## Frequency domain

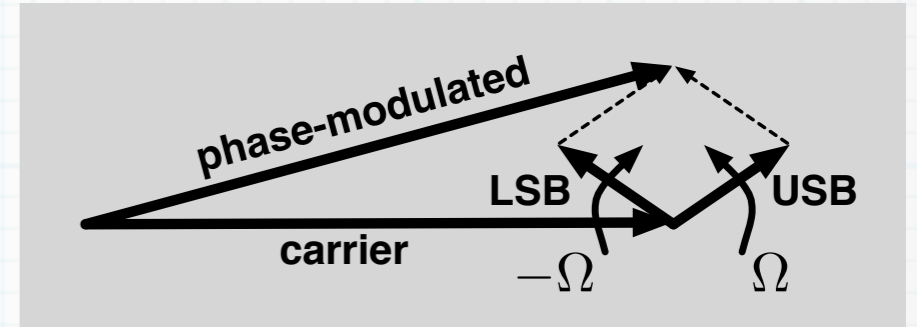


Bessel functions  $J_n(m)$

# Phase modulation – Math

$$V = V_0 e^{i\omega t} e^{im \sin \Omega t}$$

$$= V_0 e^{i\omega t} \sum_{n=-\infty}^{\infty} J_n(m) e^{in\Omega t}$$



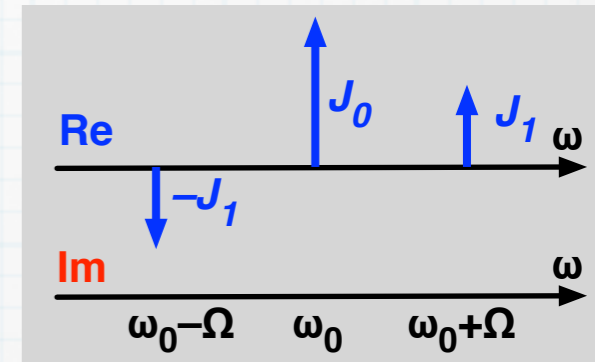
(not too)  
small  $m$

$$\simeq V_0 e^{i\omega t} [J_0(m) + J_{-1}(m)e^{-i\Omega t} - J_1(m)e^{i\Omega t}]$$

$$= V_0 [J_0(m)e^{i\omega t} - J_1(m)e^{i(\omega-\Omega)t} + J_1(m)e^{i(\omega+\Omega)t}]$$

small  $m$

$$\simeq V_0 \left[ 1 + \frac{m}{2} (-e^{-i\Omega t} + e^{i\Omega t}) \right] e^{i\omega t}$$



**Carrier power**

$$P_c = J_0^2(m) P_0 \simeq P_0$$

**Sideband power**

$$P_s = J_1^2(m) P_0 \simeq \frac{m^2}{4} P_0$$

**Jacobi-Angers expansion**

$$e^{iz \cos(\phi)} = \sum_{n=-\infty}^{\infty} i^n J_n(z) e^{in\phi},$$

$$e^{iz \sin(\phi)} = \sum_{n=-\infty}^{\infty} J_n(z) e^{in\phi},$$

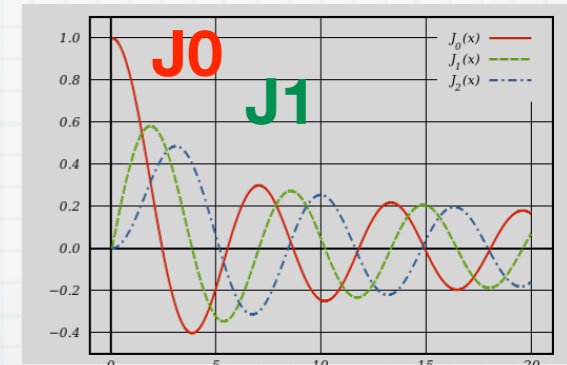
Symmetry (real  $z$ )

$$J_{-n}(z) = \begin{cases} -J_n(z) & \text{odd } n \\ J_n(z) & \text{even } n \end{cases}$$

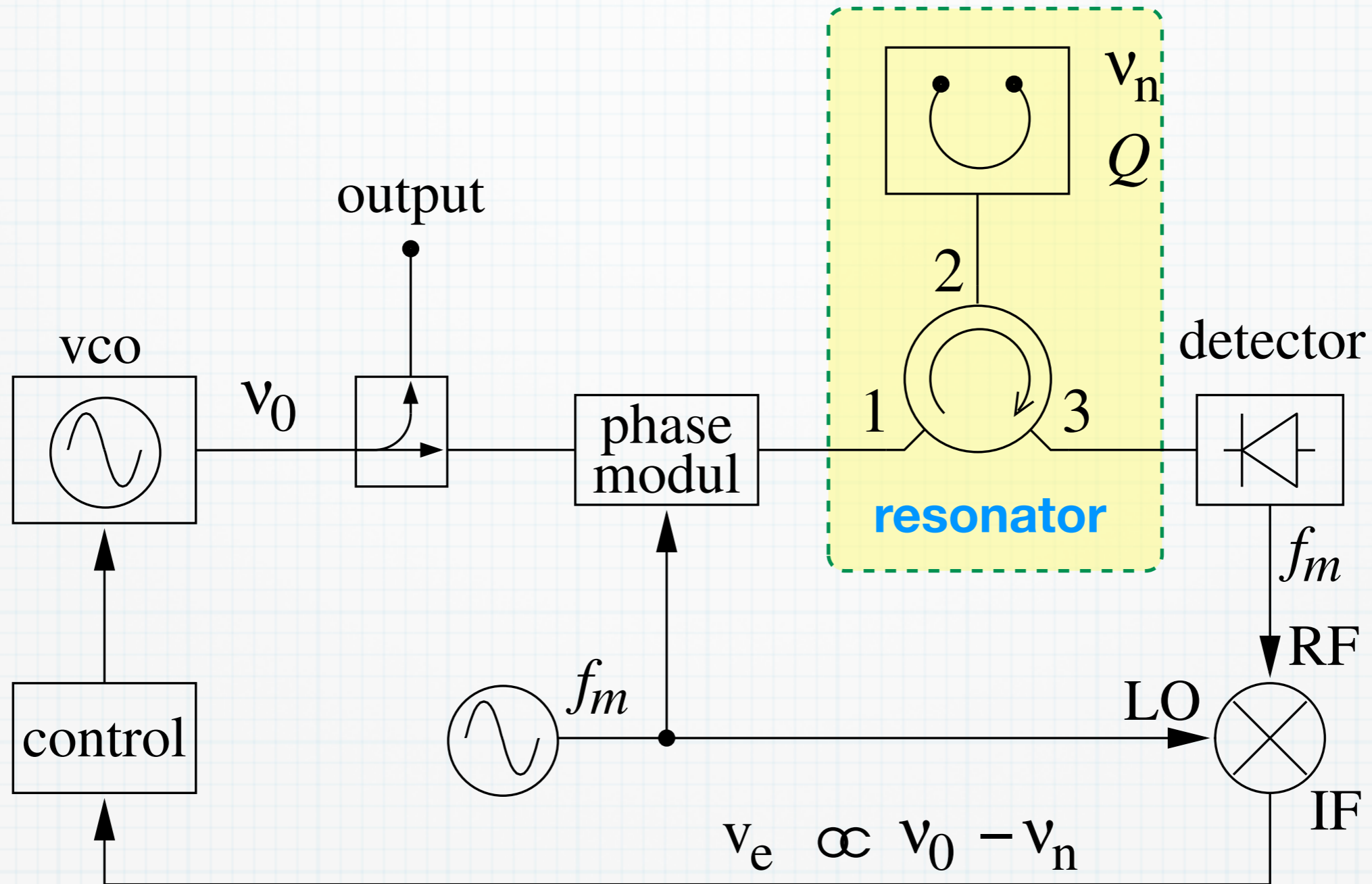
For moderate  $m$

$$J_0(m) \simeq 1 - m^2/4$$

$$J_1(m) \simeq m/2$$



# The reflection-mode resonator



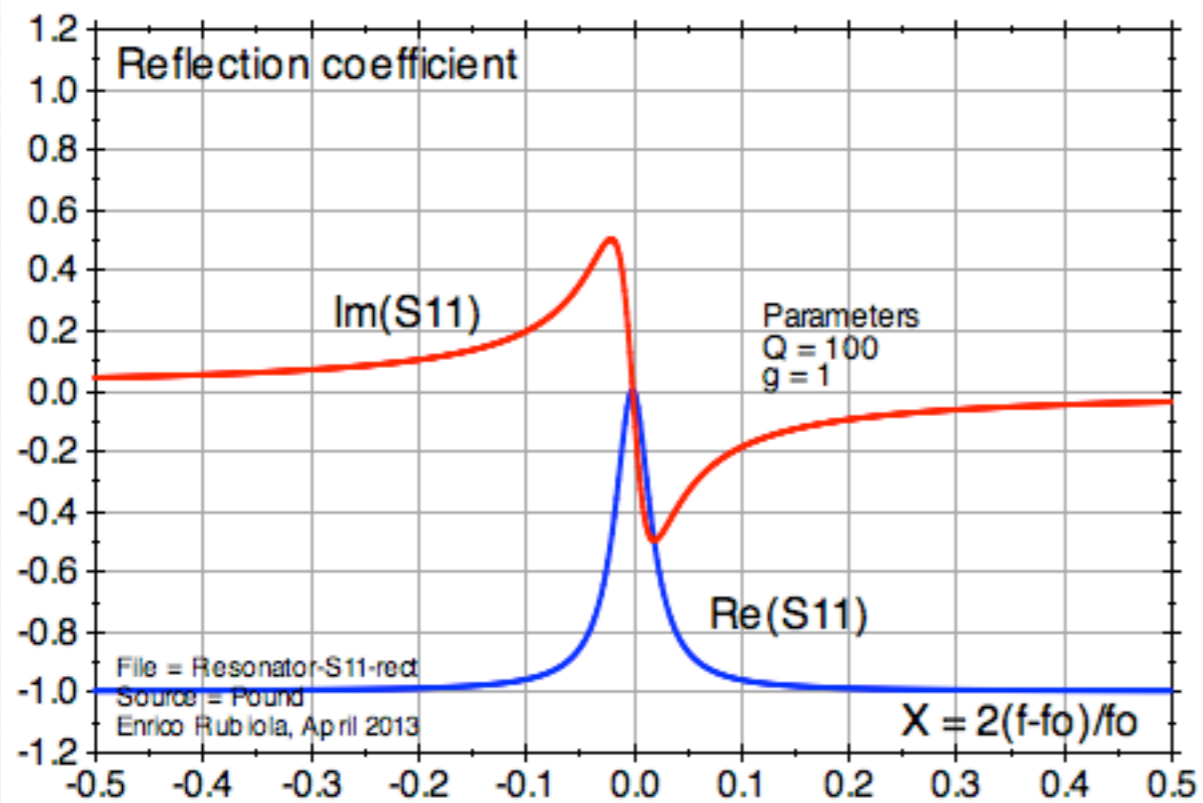
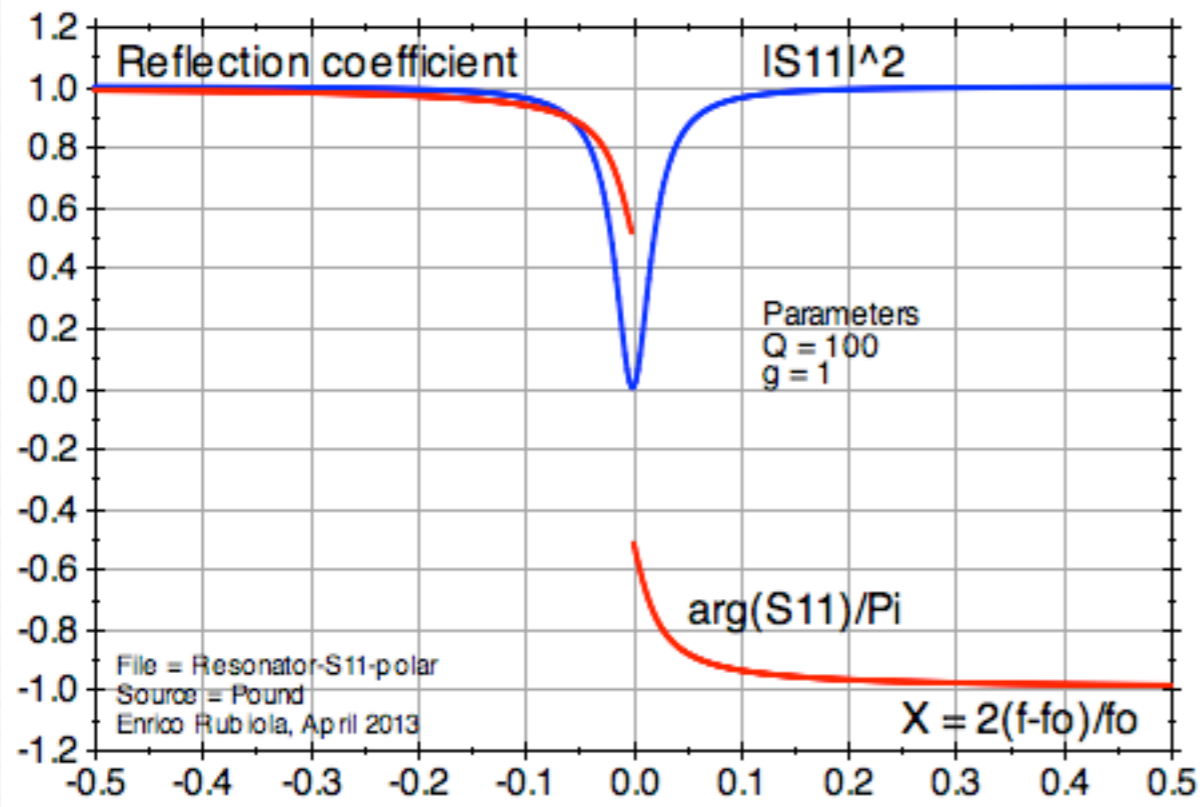
## Featured textbook

D. M. Pozar, Microwave engineering 4th ed, Wiley 2011, ISBN 978-0-470-63155-3

Chapter 6 – Microwave Resonators

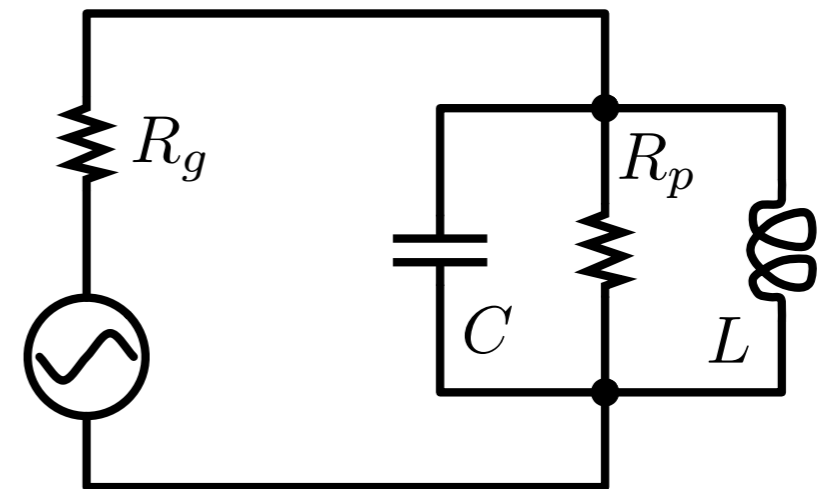
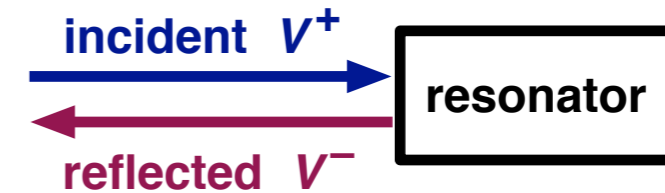
Notice that the formalism is suitable to optics

# Reflection coefficient $\Gamma$



reflection coefficient

$$\Gamma = V^- / V^+$$



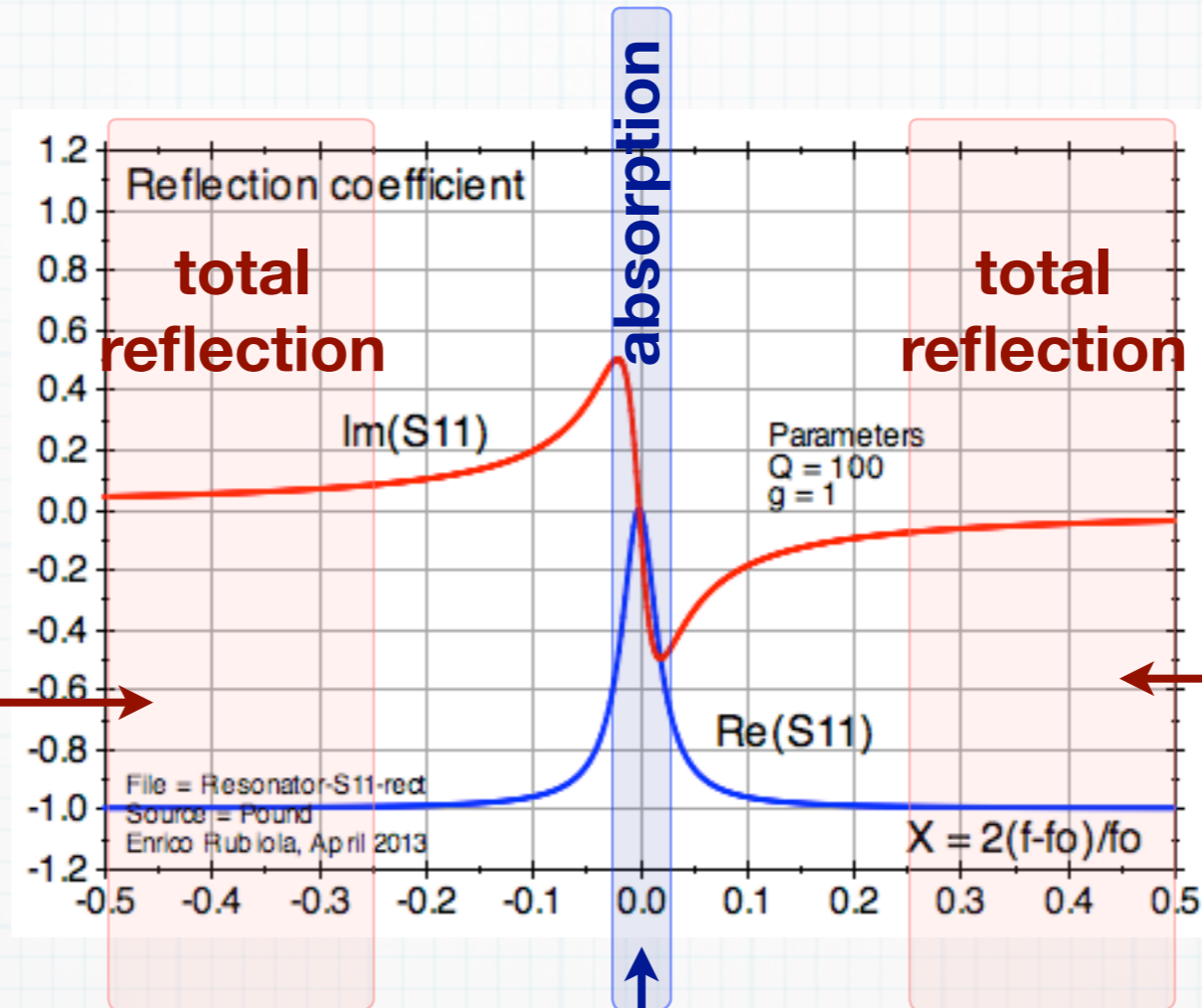
$$\Gamma = \frac{g - 1 - iQ_0\chi}{g + 1 + iQ_0\chi}$$

$Q_0 =$  unloaded 'Q'

$g = R_p / R_g$  coupling

$\chi = \frac{\omega}{\omega_n} - \frac{\omega_n}{\omega}$  detuning

# Approximations for $\Gamma$



Off-resonance

$$\Gamma \simeq -1$$

Off-resonance

$$\Gamma \simeq -1$$

Recall

$$\Gamma = \frac{g - 1 - iQ_0\chi}{g + 1 + iQ_0\chi}$$

$Q_0$  = unloaded 'Q'

$g = R_p/R_g$  coupling

$\chi = \frac{\omega}{\omega_n} - \frac{\omega_n}{\omega}$  detuning

$\chi \simeq 2\frac{\Delta\omega}{\omega_n}$  close to  $\omega_n$

Resonance, close to  $\omega_n$

$$\Gamma \simeq \frac{g - 1}{g + 1} - i \frac{4Q_0g}{(g + 1)^2} \frac{\Delta\omega}{\omega_n}$$

even function

resistance mismatch

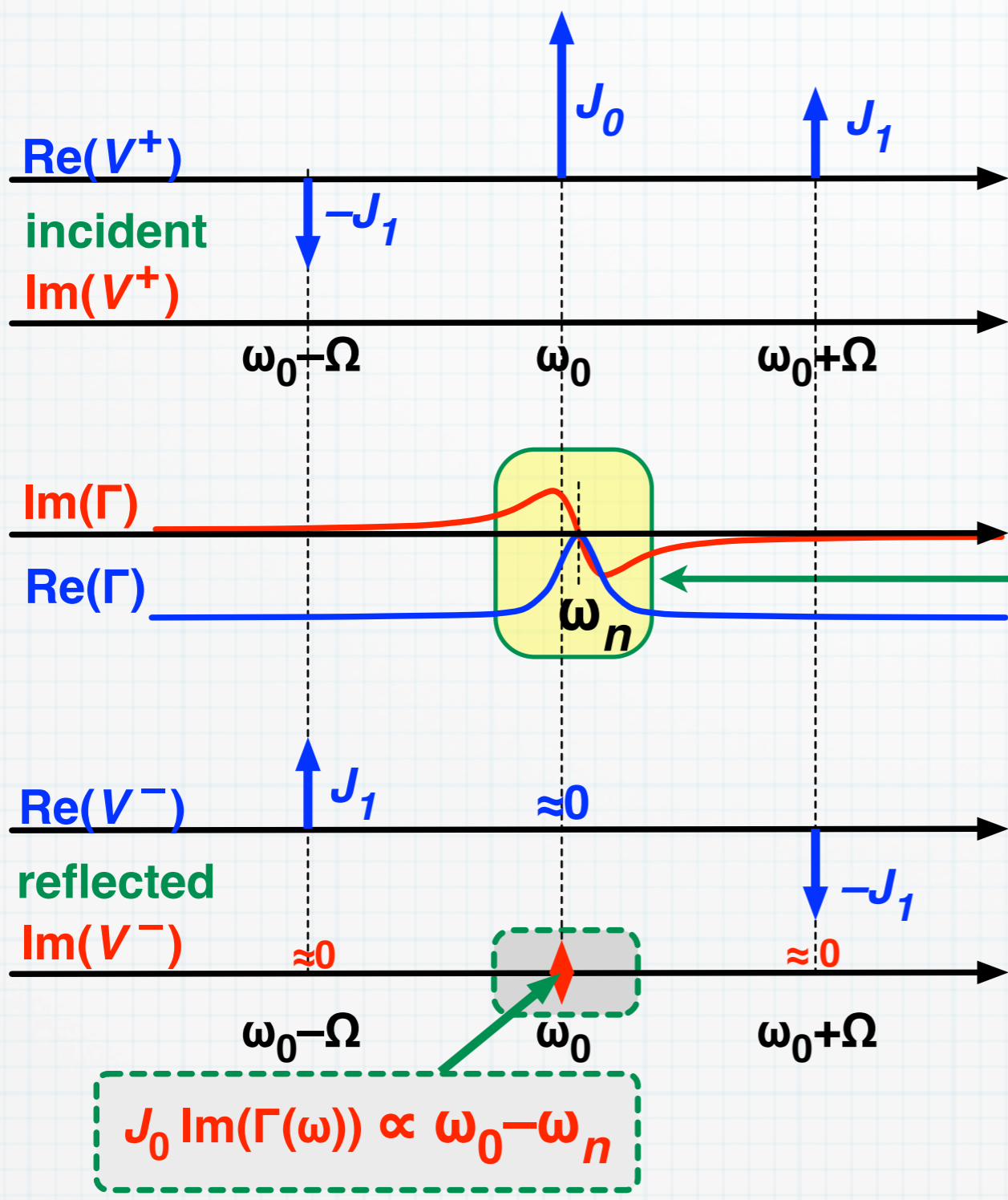
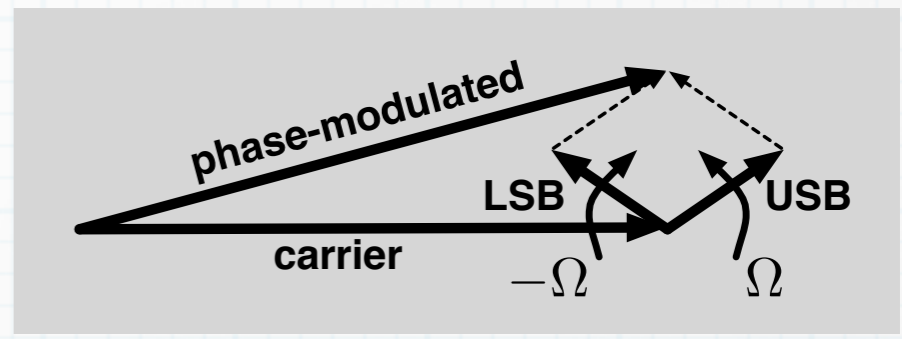
odd function

frequency error

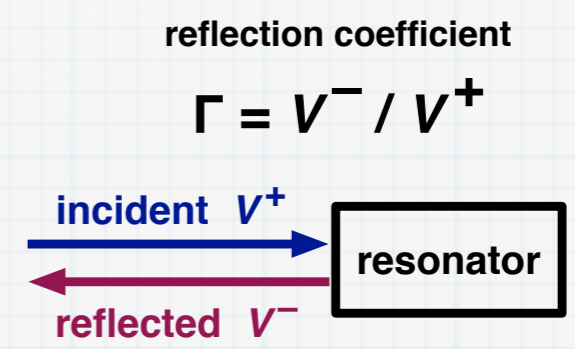


# Resonator reflected signal – Physics

phase-modulated incident signal



$$\Gamma \approx \frac{g - 1}{g + 1} - i \frac{4Q_0g}{(g + 1)^2} \frac{\Delta\omega}{\omega_n}$$



$$J_0 \text{Im}(\Gamma(\omega)) \propto \omega_0 - \omega_n$$



# Resonator reflected signal – Math

phase-modulated incident signal

Incident wave

$$V^+ = V_0 \left[ \underbrace{-J_1(m)e^{i(\omega-\Omega)t}}_{\text{LSB}} + \underbrace{J_0(m)e^{i\omega t}}_{\text{carrier}} + \underbrace{J_1(m)e^{i(\omega+\Omega)t}}_{\text{USB}} \right]$$

use  $\Gamma(\omega \pm \Omega) \simeq -1$  and  $\Gamma(\omega) \simeq \frac{g-1}{g+1} - i \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n}$

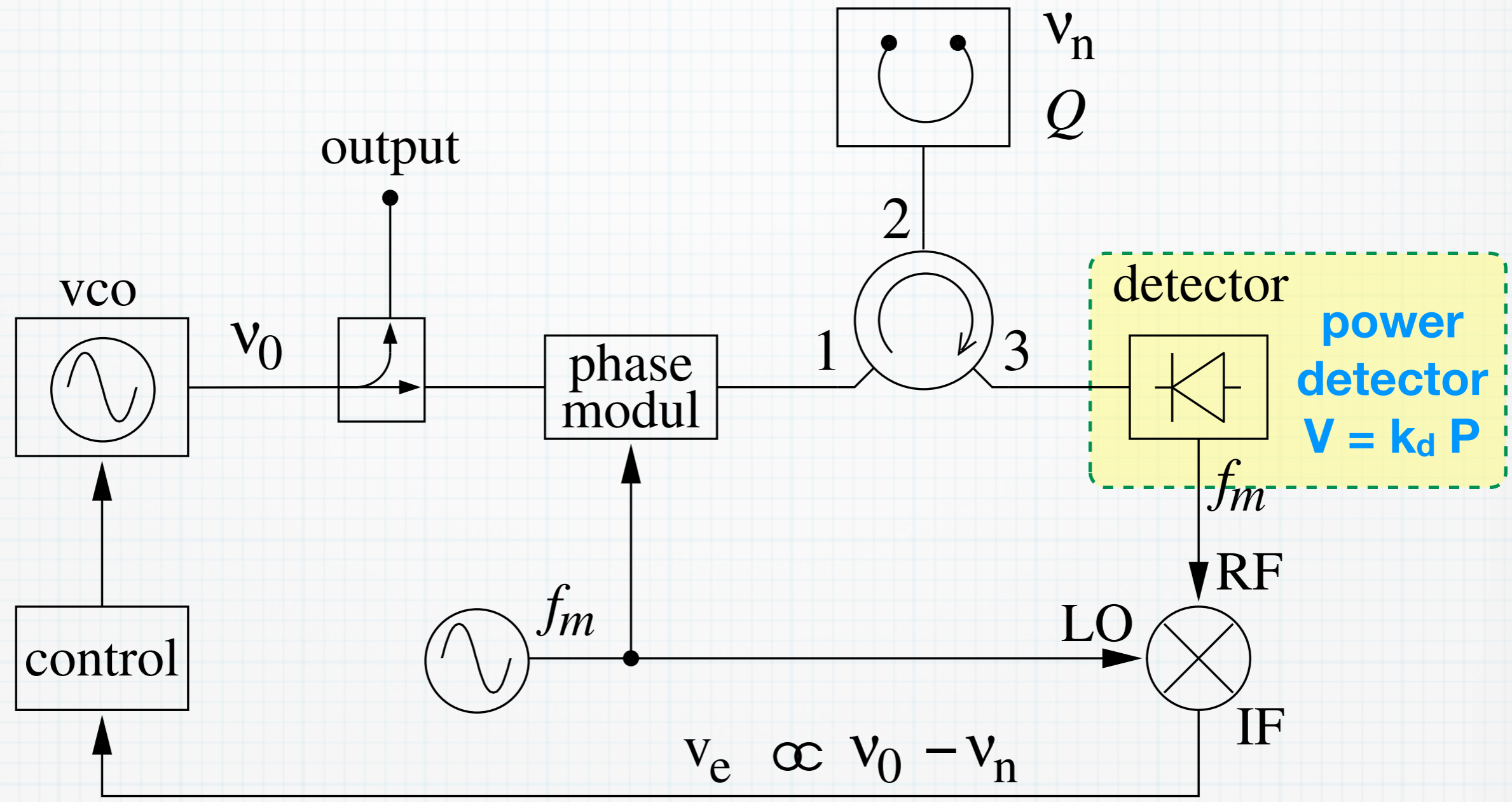
Reflected wave

$$V^- = V_0 \left\{ \underbrace{J_1(m)e^{i(\omega-\Omega)t}}_{\text{LSB}} + \underbrace{J_0(m) \left[ \frac{g-1}{g+1} - i \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n} \right] e^{i\omega t}}_{\text{carrier}} - \underbrace{J_1(m)e^{i(\omega+\Omega)t}}_{\text{USB}} \right\}$$

proportional to  $\omega - \omega_n$

# Power (quadratic) detector

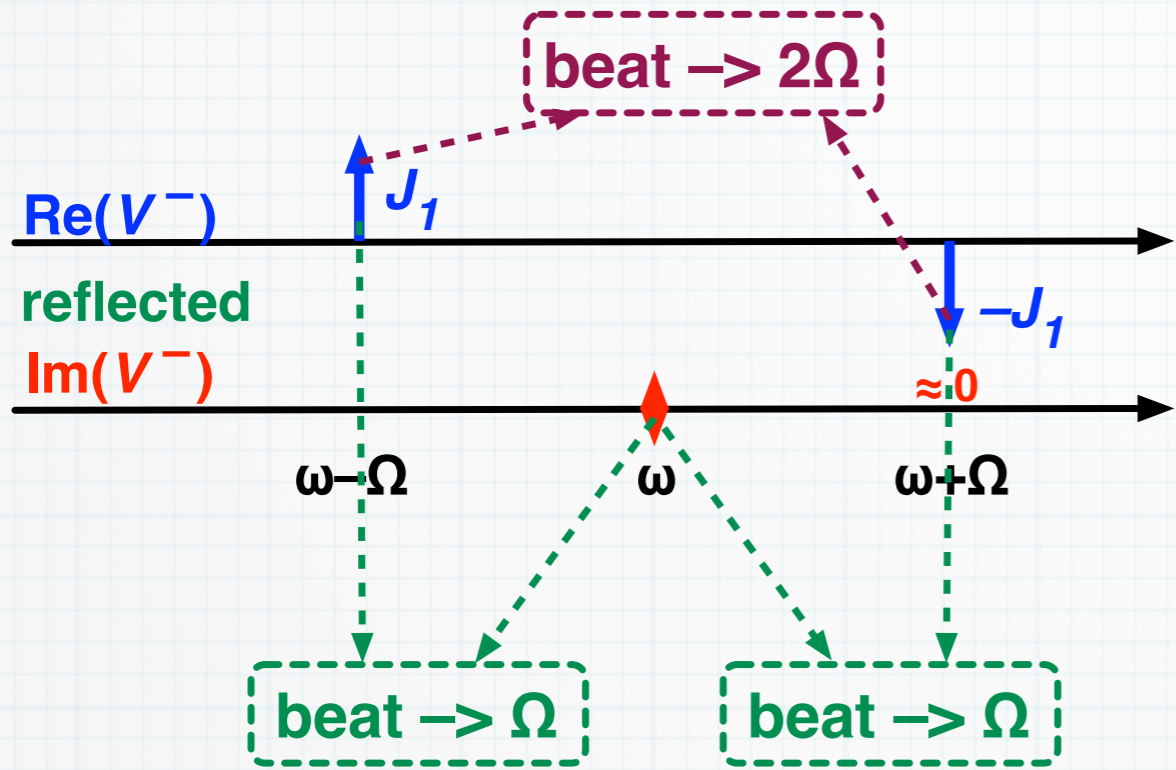
Figure from E. Rubiola, Phase noise and frequency stability in oscillators, © Cambridge University Press



$$\text{Power } P = \frac{1}{2} \Re\{VI^*\} = P \frac{1}{2R_0} \Re\{VV^*\}$$

V and I are peak values

# Power (quadratic) detector



$$P = \frac{1}{2R_0} \Re\{VV^*\}$$

$$(a+b+c)^2 =$$

$$\underbrace{a^2 + b^2 + c^2 + 2ab + 2ac + 2bc}_{\text{dc terms}} \quad \underbrace{\hspace{10em}}_{\text{beat terms}}$$

**dc terms**

$$P = \frac{|V_0|^2}{2R_0} \left\{ J_1^2(m) + \frac{1}{2} J_0^2(m) \left[ \frac{g-1}{g+1} \right]^2 + \frac{1}{2} J_0^2(m) \left[ \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n} \right]^2 \right\} +$$

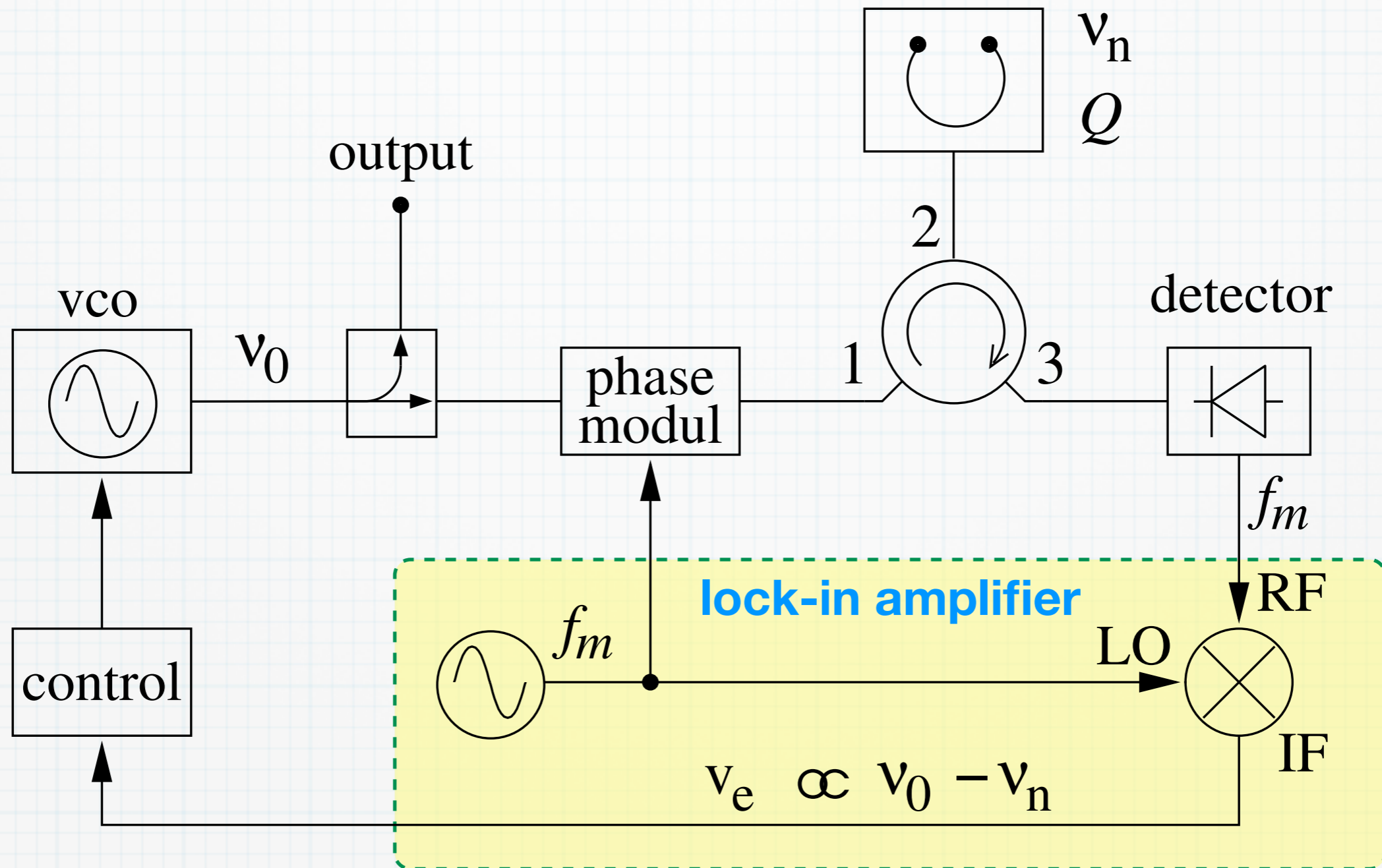
$$- \frac{|V_0|^2}{2R_0} J_1^2(m) \cos(2\Omega t)$$

diagnostic

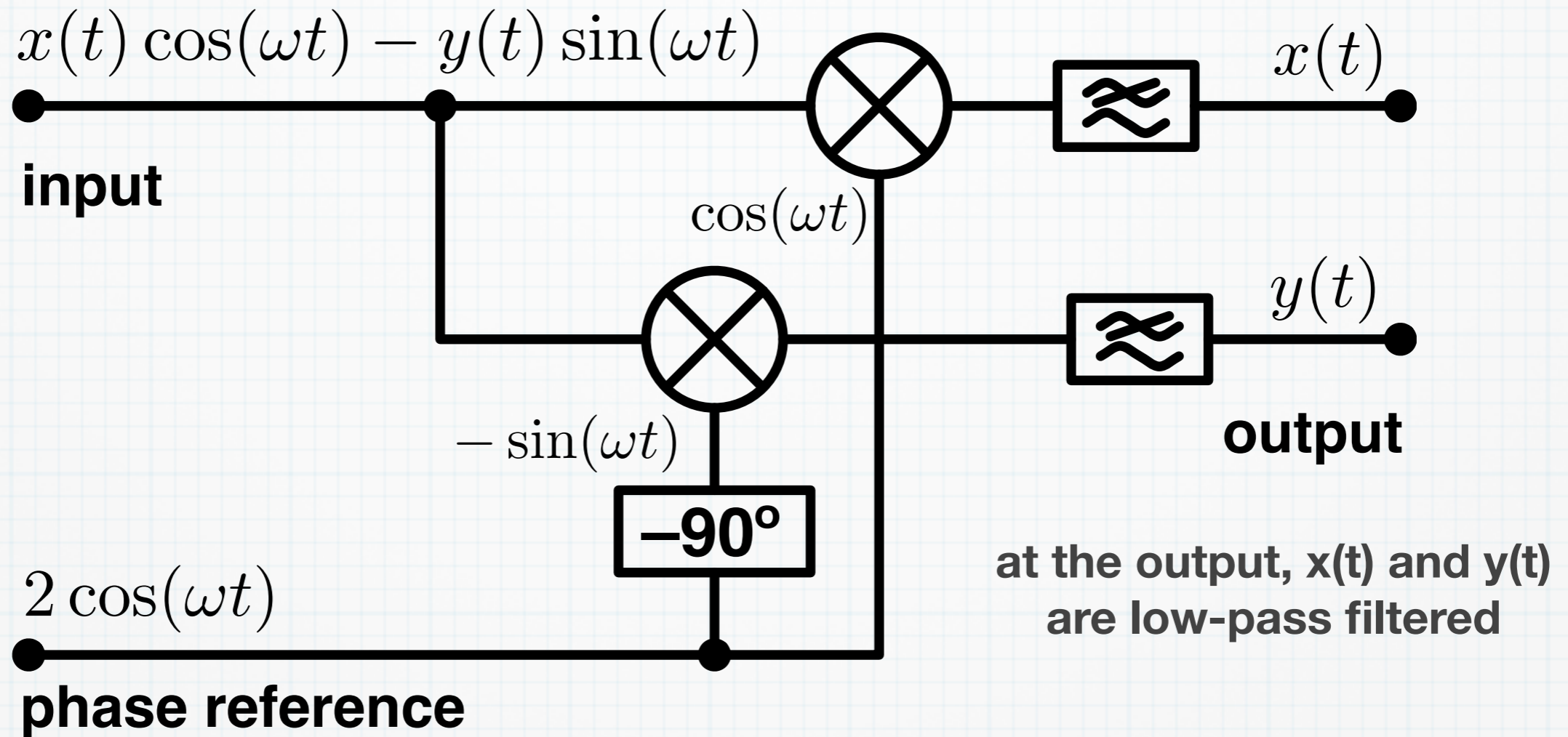
$$+ \frac{|V_0|^2}{2R_0} 2J_0(m)J_1(m) \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n} \sin(\Omega t)$$

error signal

# The lock-in amplifier



# Two-channel lock-in amplifier



error  $\longrightarrow v_e = \frac{|V_0|^2}{2R_0} 2J_0(m)J_1(m) \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n}$

diagnostic  $\longrightarrow v_d = -\frac{|V_0|^2}{2R_0} J_1^2(m)$

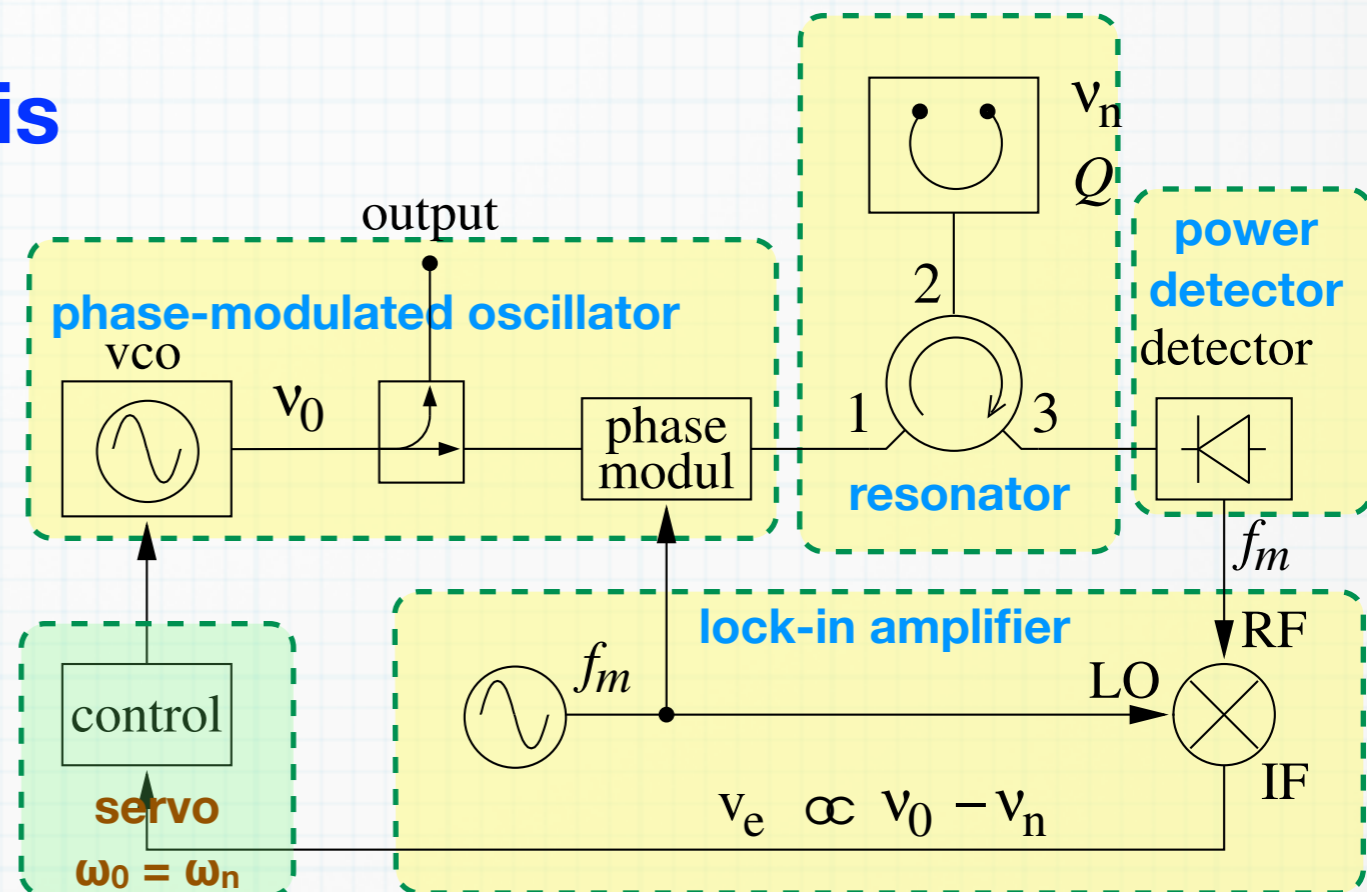


# In synthesis

The frequency discriminant  $D$  is proportional to

- Oscillator power  $P_0$
- Modulation index  $m$
- Resonator's  $Q_0/\omega_n$
- Power-detector gain  $k_d$  [V/W]
- RF gain at the detector output (not shown)
- Gain of the lock-in amplifier (not accounted for in equations)

...And affected by the coupling coefficient  $g$



error signal

$$v_e = D(\omega_0 - \omega_n)$$



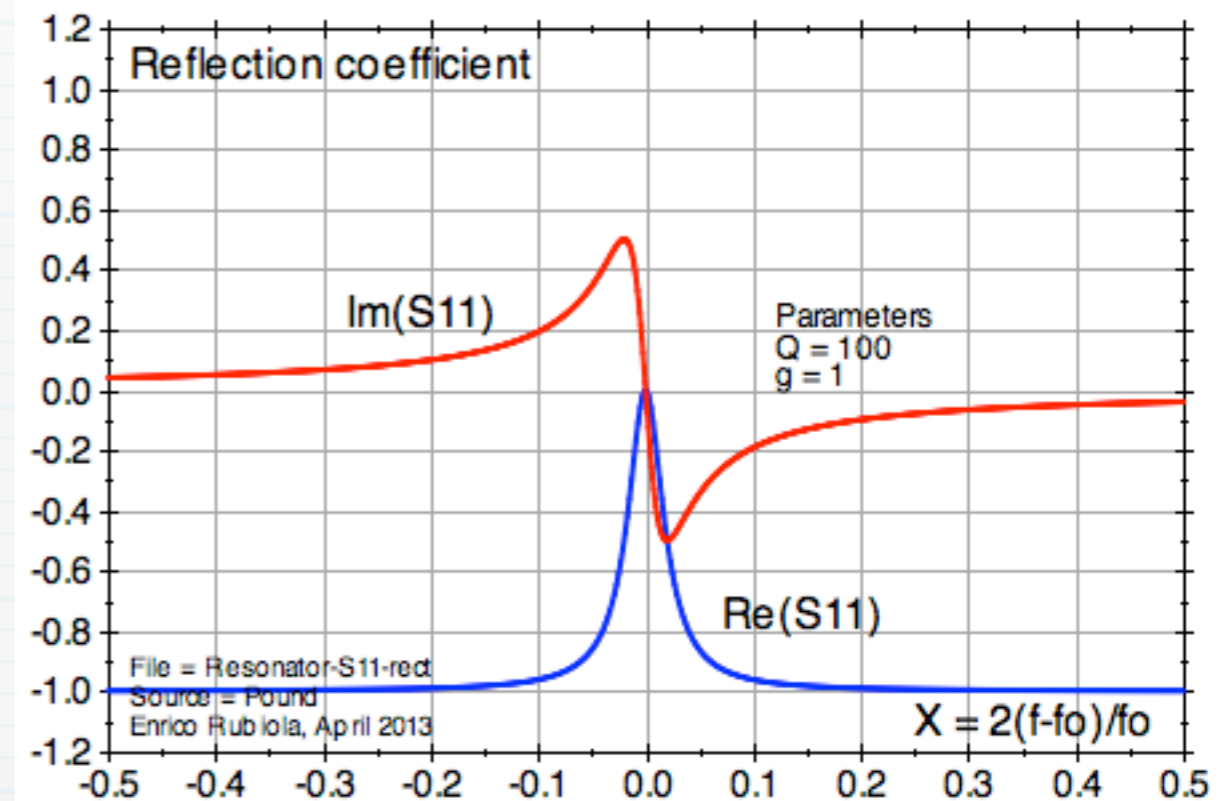
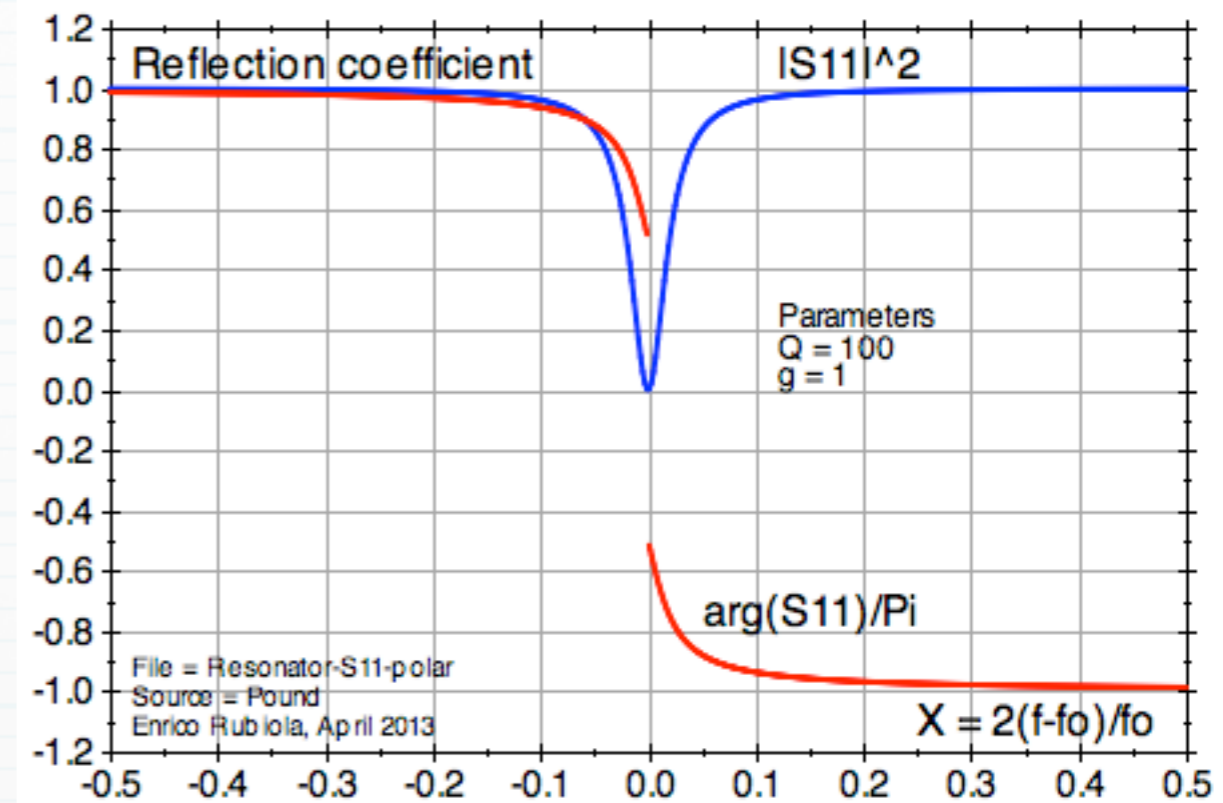
# Key ideas

# Use a power (intensity) detector

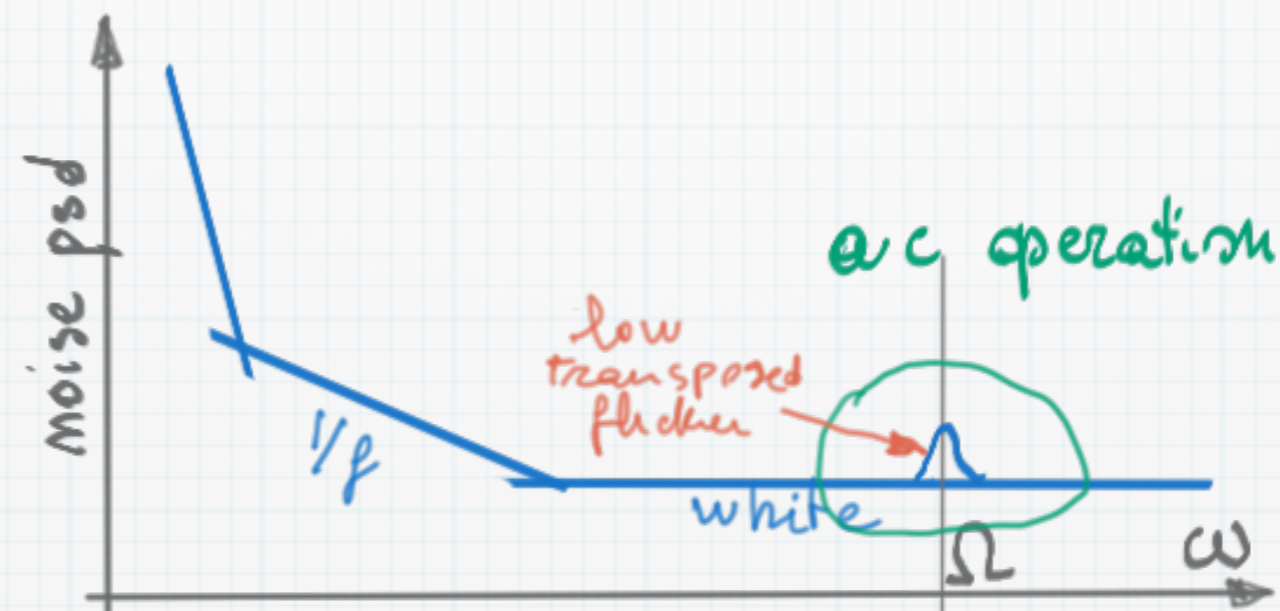
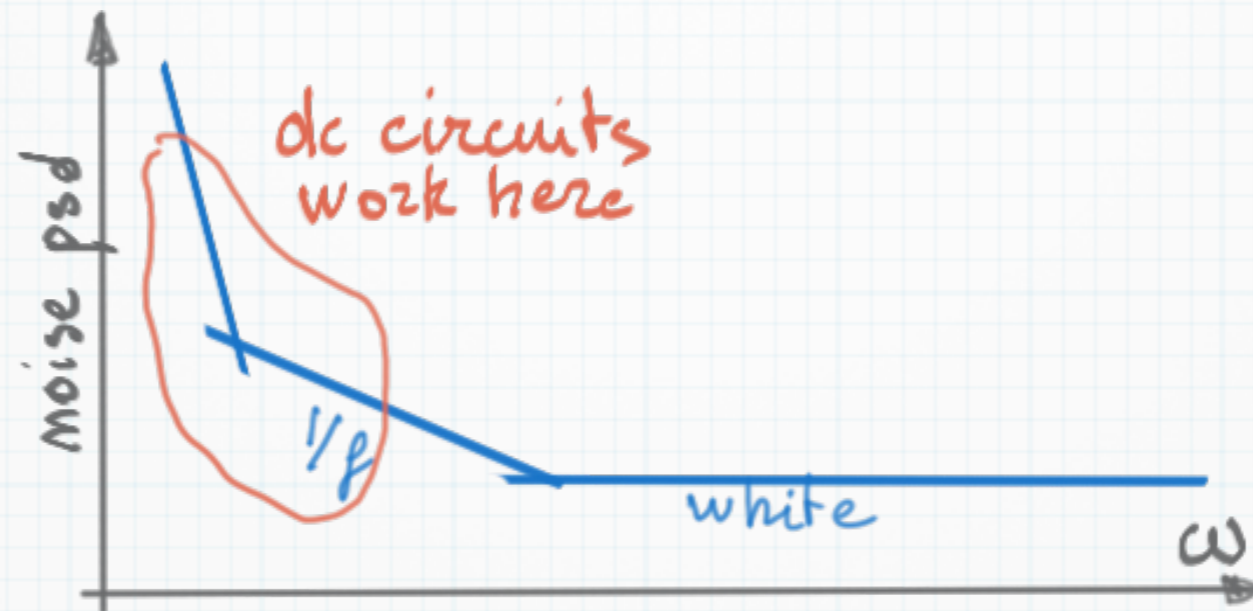
- **Power detectors are available in the widest frequency range**
  - **Sub-audio to UV, and more**
  - **Including the THz band**
- **The power detector has quadratic response to voltage – or to electric field**

# Even function vs odd function

- The detector provides a signal proportional to the power (intensity)
  - **Even function at  $\omega_0$**
  - Unmodulated signal not suitable to feedback control
  
- The modulation mechanism provides a signal proportional to the imaginary part
  - **Odd function at  $\omega_0$**
  - Great for feedback control







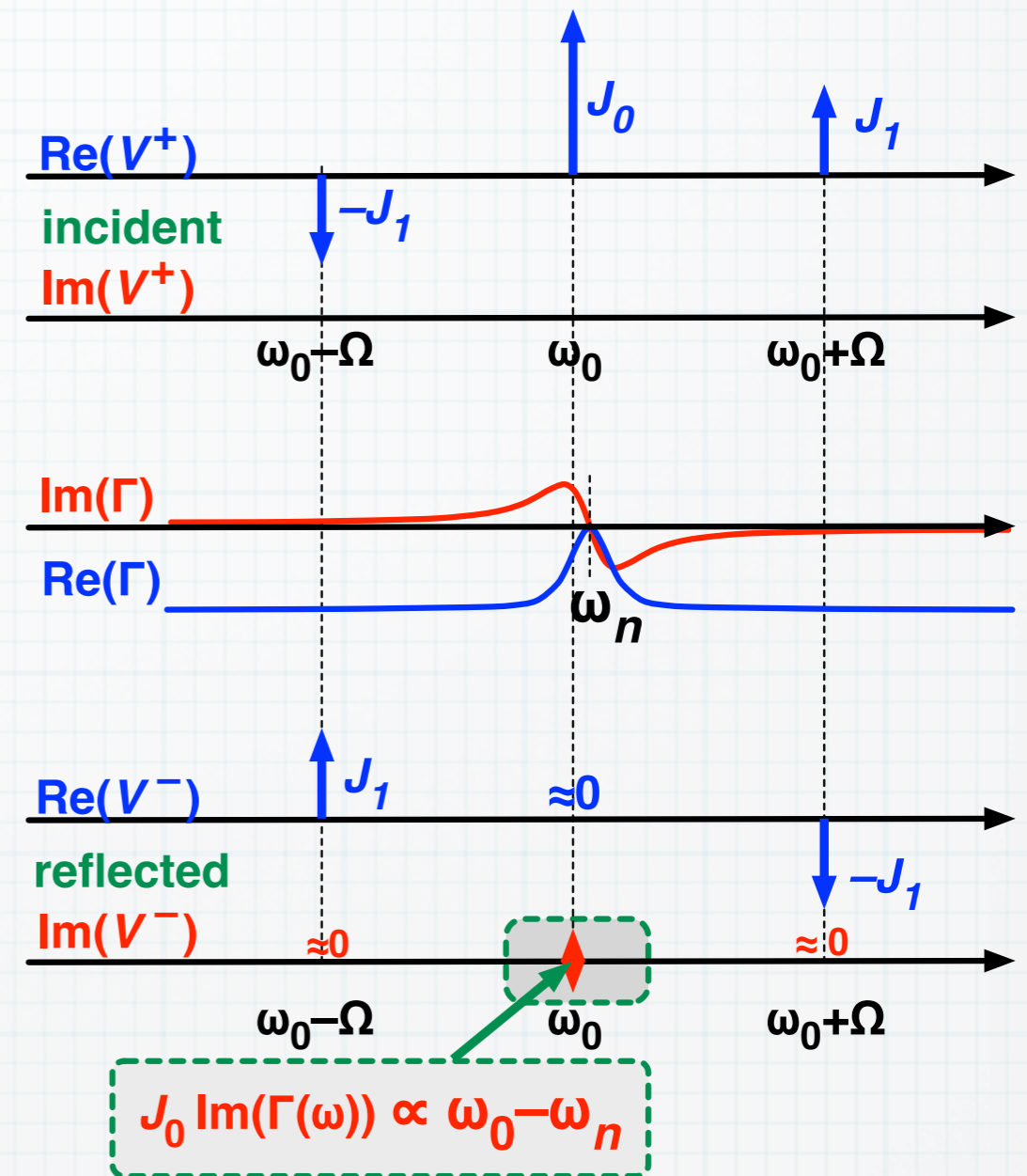
# Modulation and flicker



**Get out of the flicker & drift region**

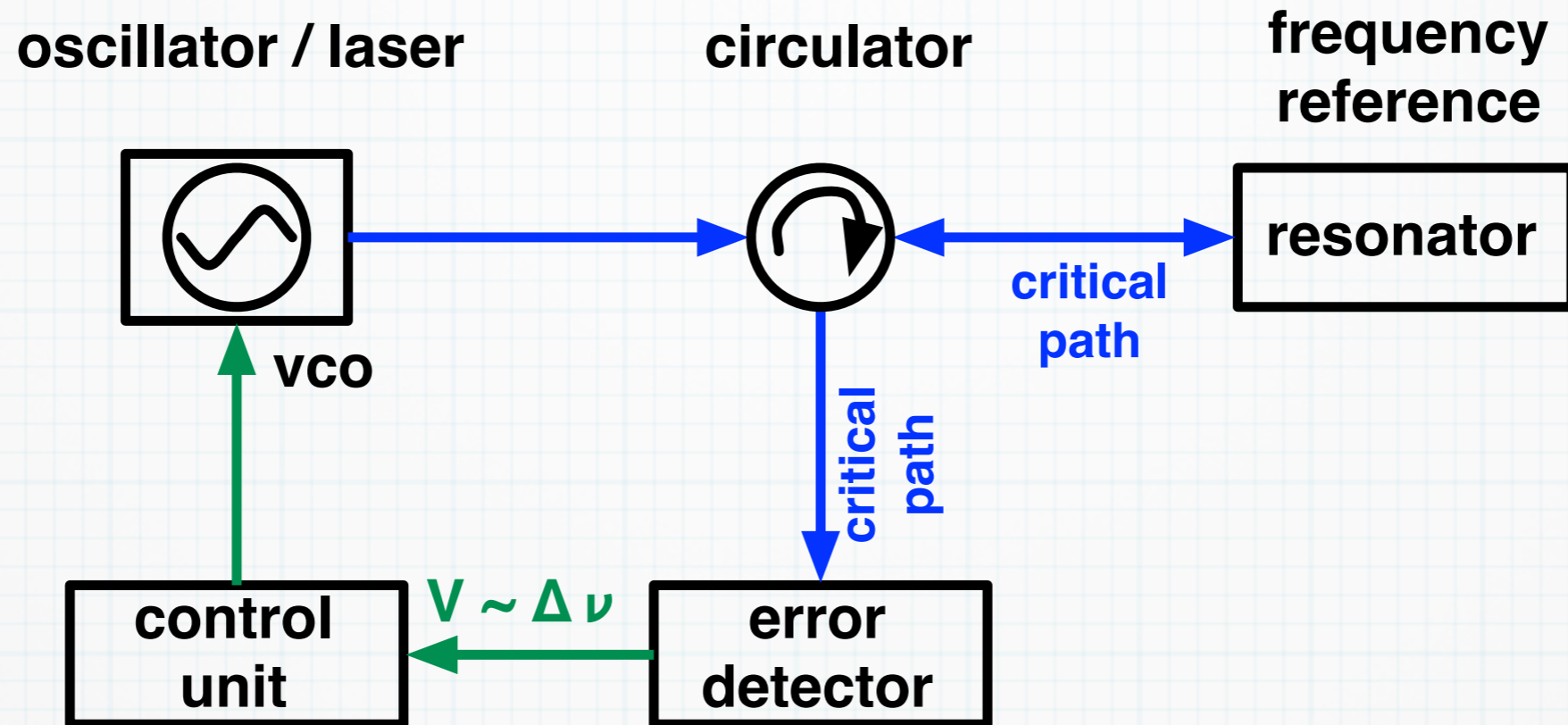
# Null measurement

- 
 • **Absolute measurements** rely on the “brute force” of instrument accuracy
- 
 • **Differential measurements** rely on the difference of two nearly equal quantities, something like  $q_2 - q_1$ . However similar, this is not our case!
- 
 • **Null measurements** rely on the measurement of a quantity as close as possible to zero – ideally zero.
- 
 • **The Pound scheme detects**
  - Null of  $\text{Im}(\Gamma(\omega))$
  - AC regime, after down-converting to  $\Omega$





# In insensitive to the critical path



**A length fluctuation does not affect**

- **The phase and amplitude relations between carrier and sidebands**
- **In turn, the measurement of  $\Delta\omega$**

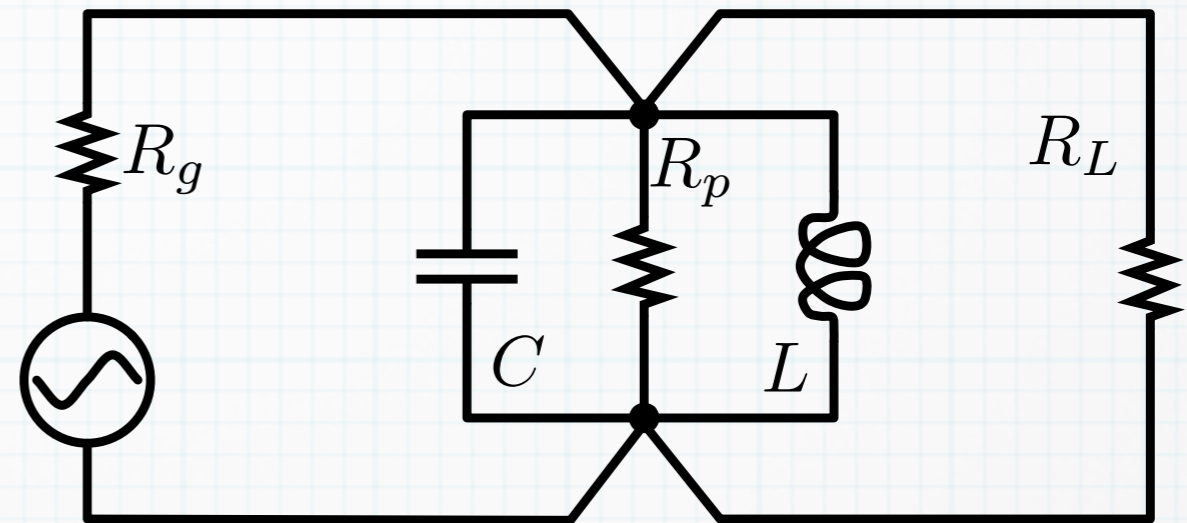
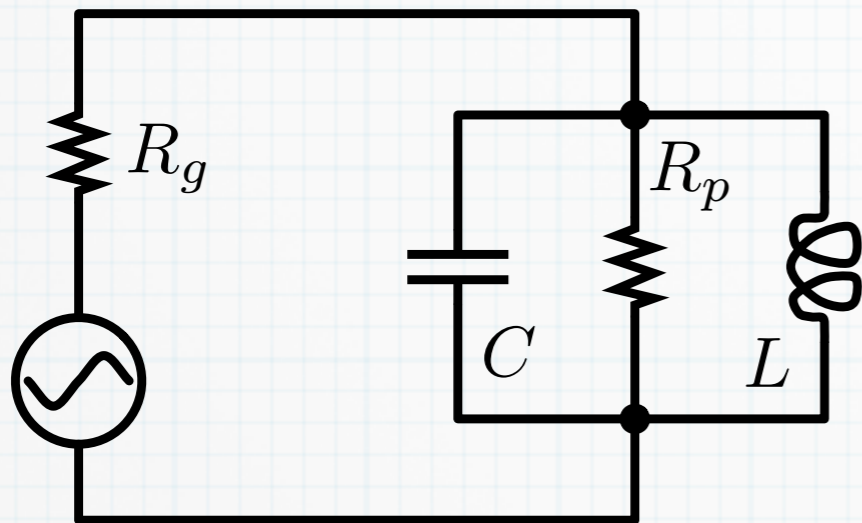
(No longer true in the presence of dispersion)

The mechanism is the same of radio emission



# One-port vs two-port resonator

— one port is better than two —



- **Electrical**
  - **Smaller dissipation than the two-port resonator**
  - **Hence higher Q**
- **Physical / System level – Simpler**
  - **Vacuum**
  - **Cryogenic environment**
  - **Resonator far from the oscillator**

# Control loop

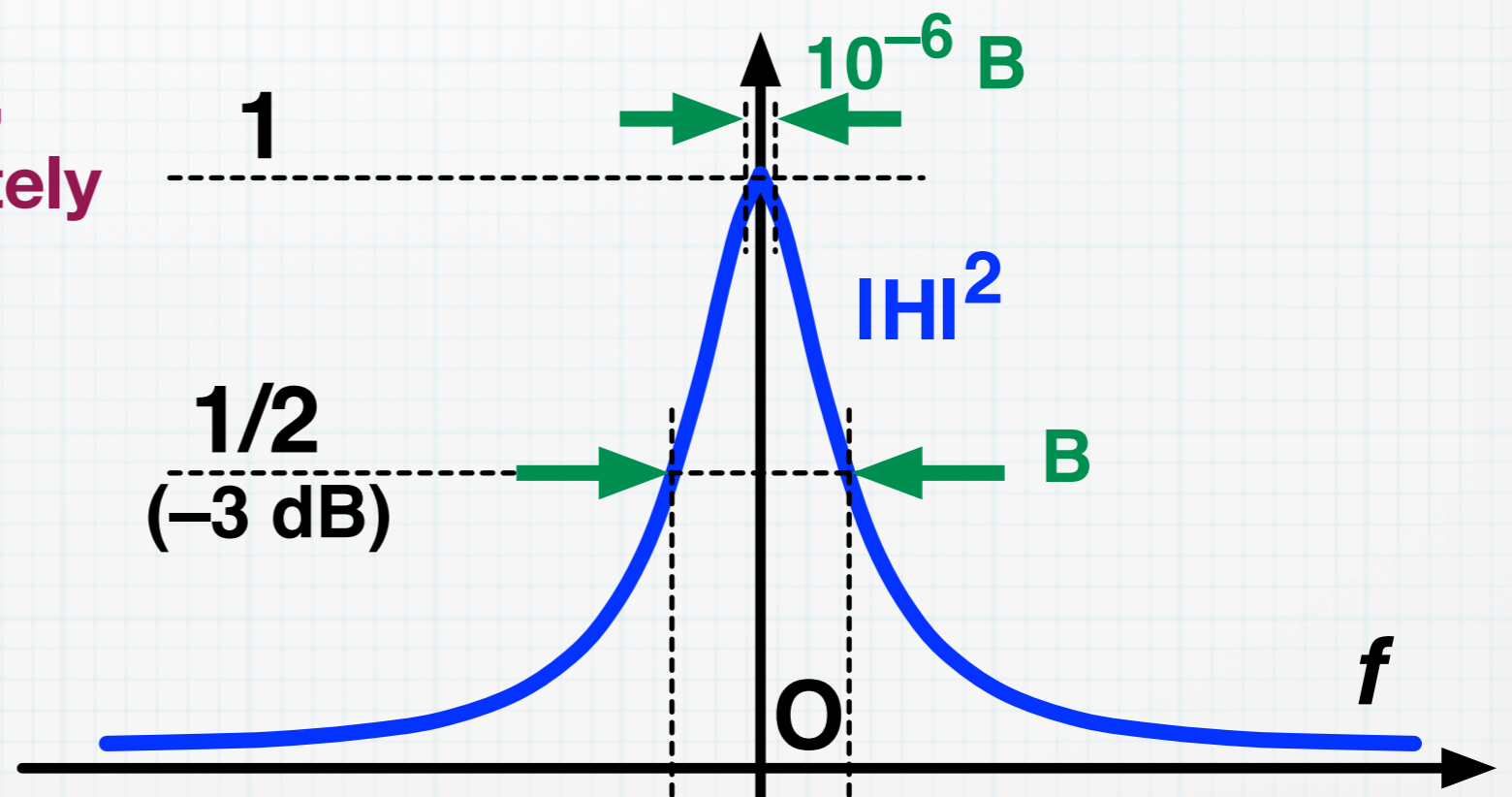
## Featured book

- K.J. Åström, R.M. Murray, Feedback Systems, Princeton 2008  
– **Caveat: however outstanding, does not focus on TF applications** –

# The $10^{-6}$ golden rule

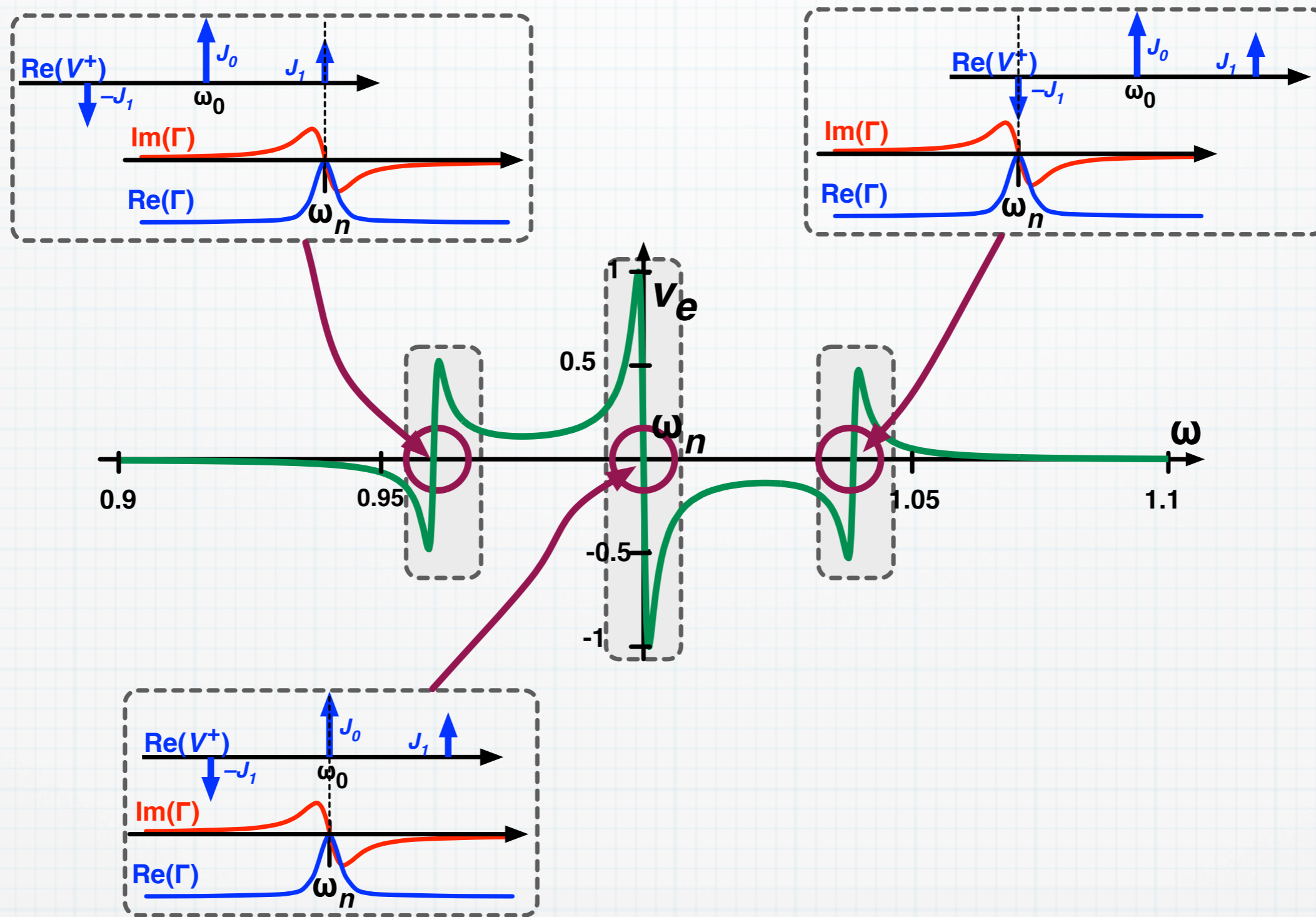
- It is generally agreed that a microwave frequency control loop can lock within  $10^{-6}$  of the bandwidth
  - Cs standard:  $10^{-6} \times (100\text{Hz}/9.2\text{GHz}) \approx 10^{-14}$  stability
  - Cryogenic sapphire:  $10^{-6} \times (10\text{Hz}/10\text{GHz}) \approx 10^{-15}$  stability
- In optics, the  $10^{-6}$  rule yields still unachieved stability
  - Optical FP:  $10^{-6} \times (10\text{kHz}/200\text{THz}) \approx 5 \times 10^{-19}$  stability

- The resonator fluctuation is not a part of the control, and accounted for separately



# Sweep the oscillator frequency

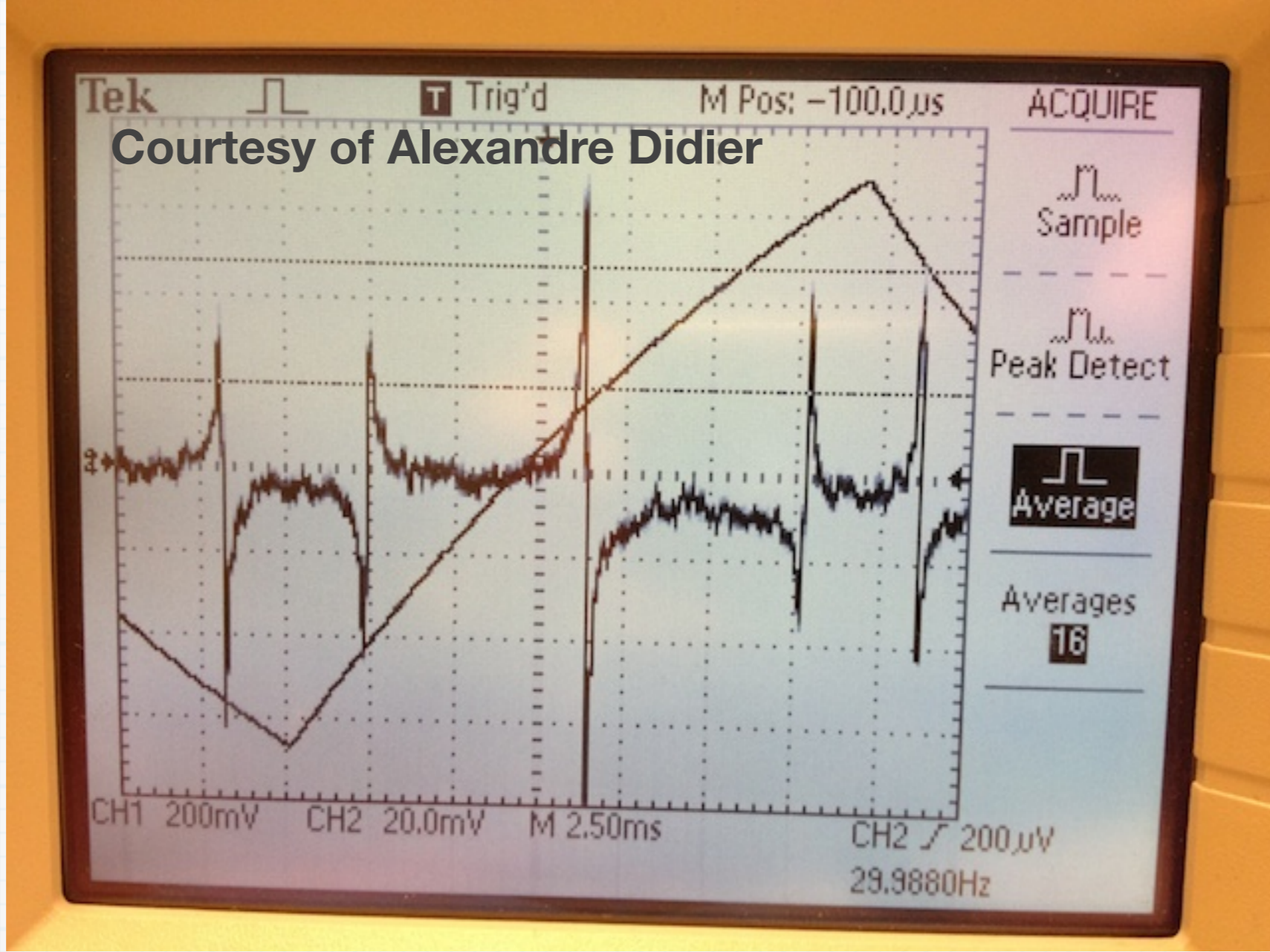
## – High modulation frequency –





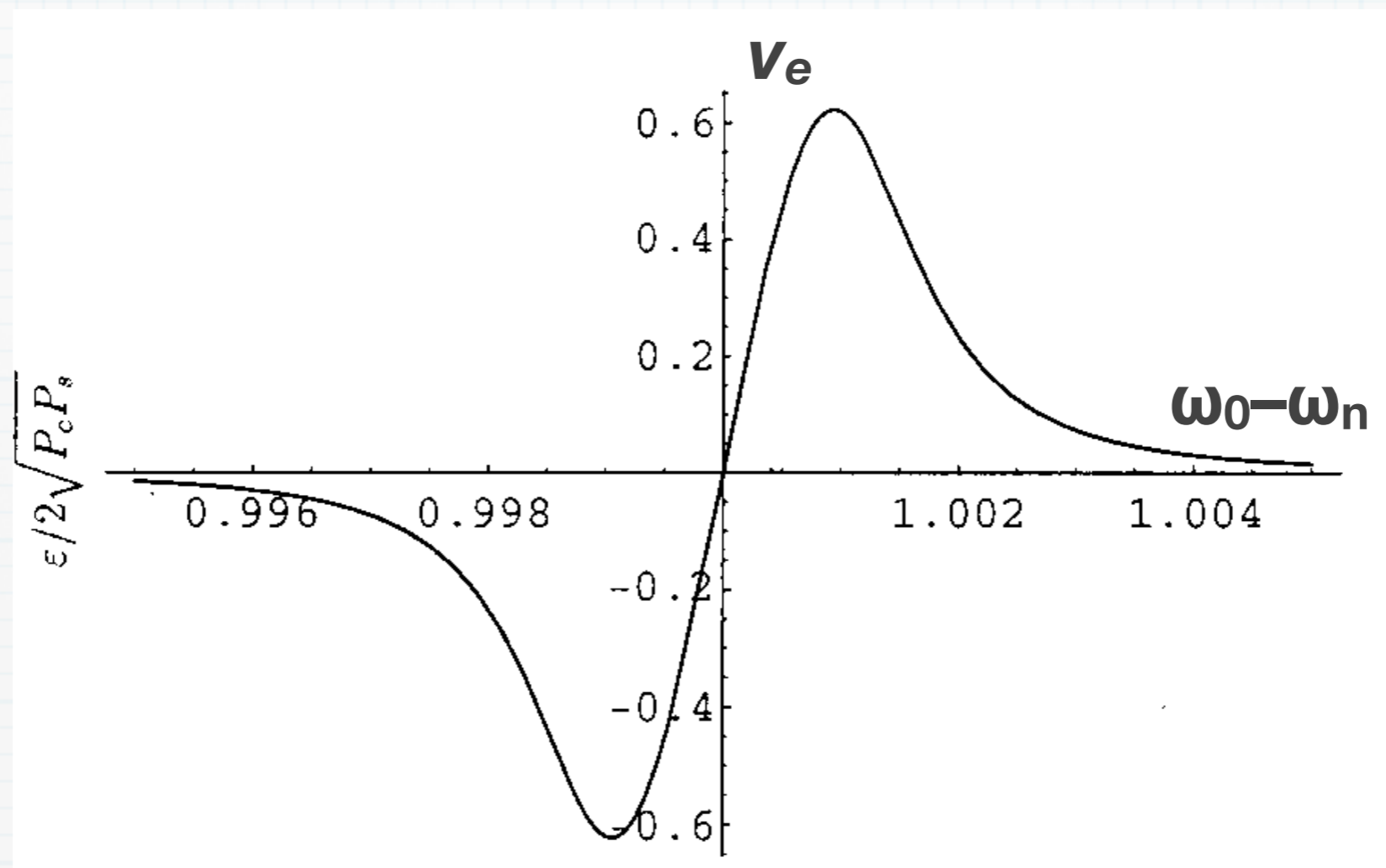
# Sweep the oscillator frequency

- High modulation frequency -



# Low modulation frequency

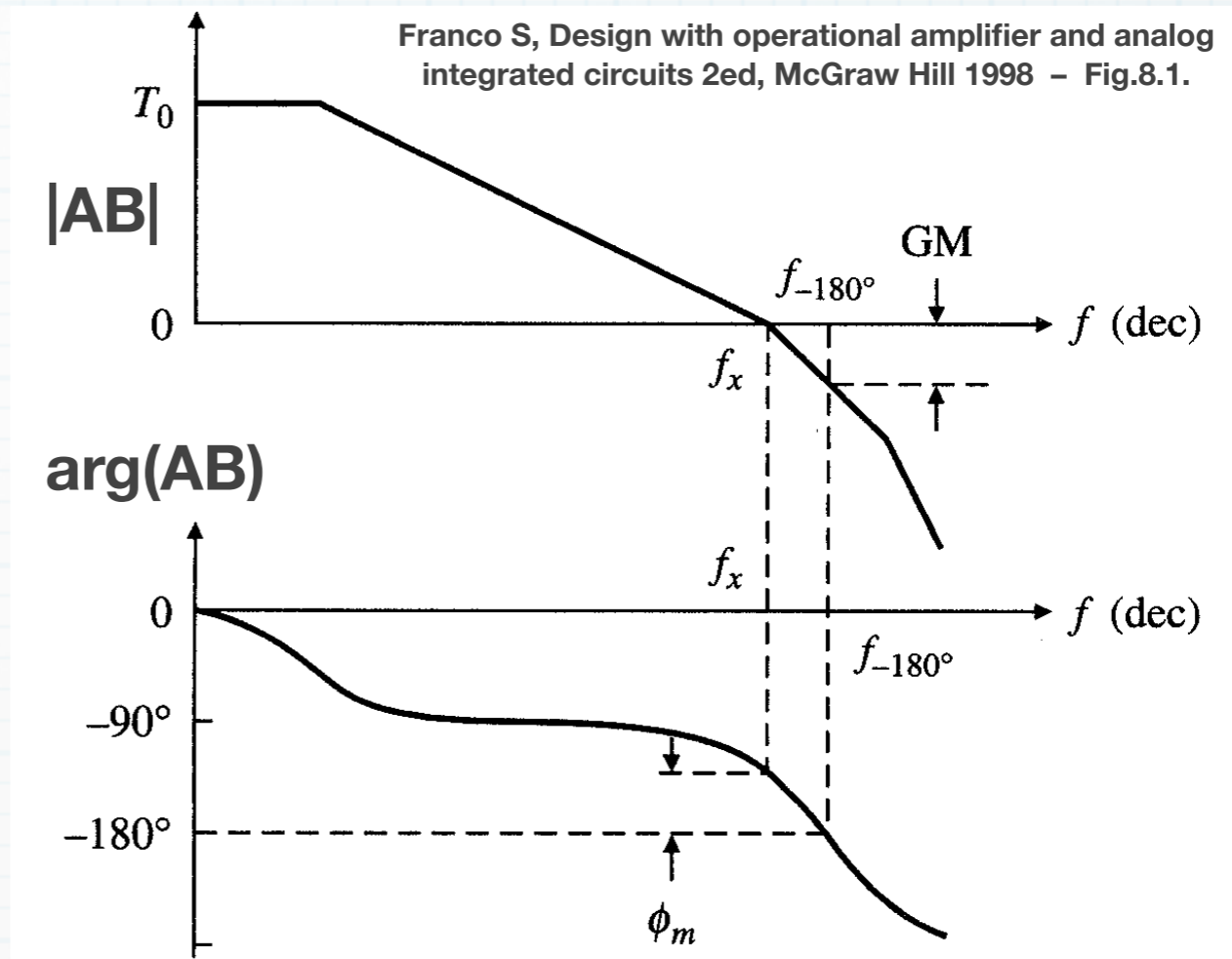
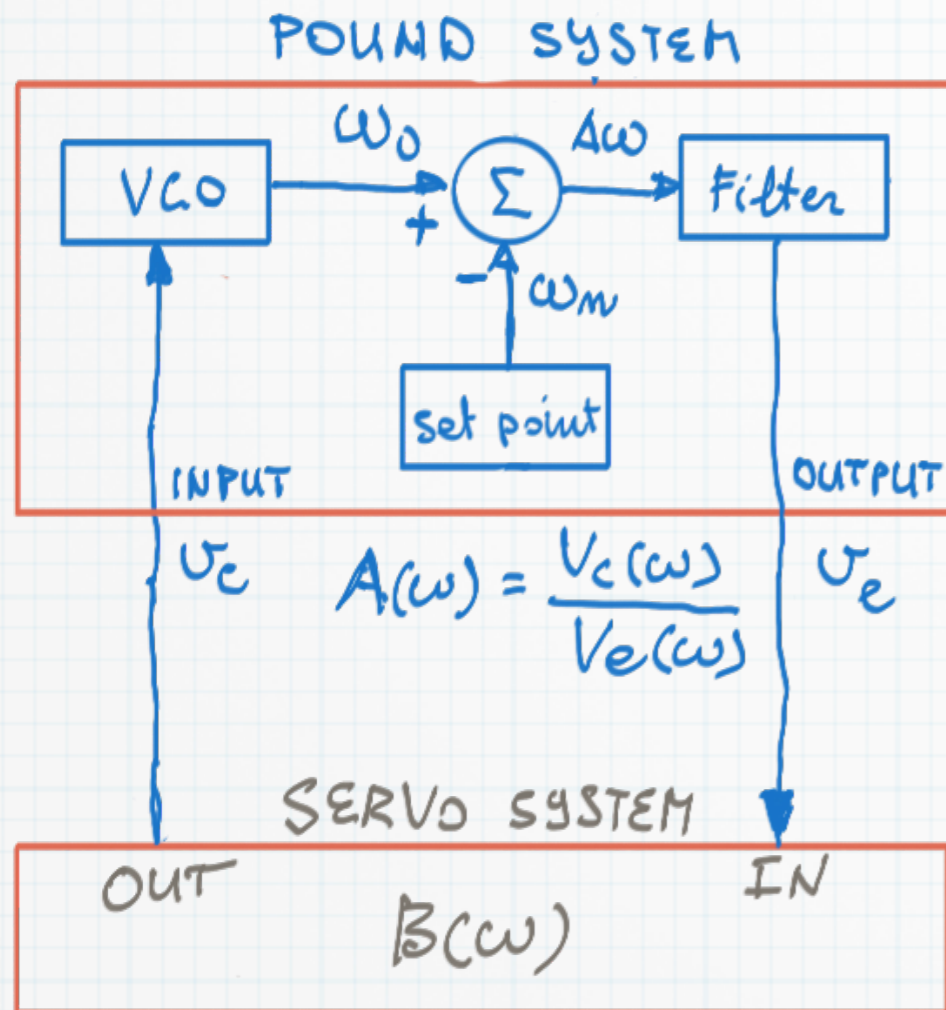
Featured article – Black ED, An introduction to Pound–Drever–Hall laser frequency stabilization, Am J Phys 69(1) January 2001 – Fig.6



Carrier and PM sidebands are in the resonance bandwidth

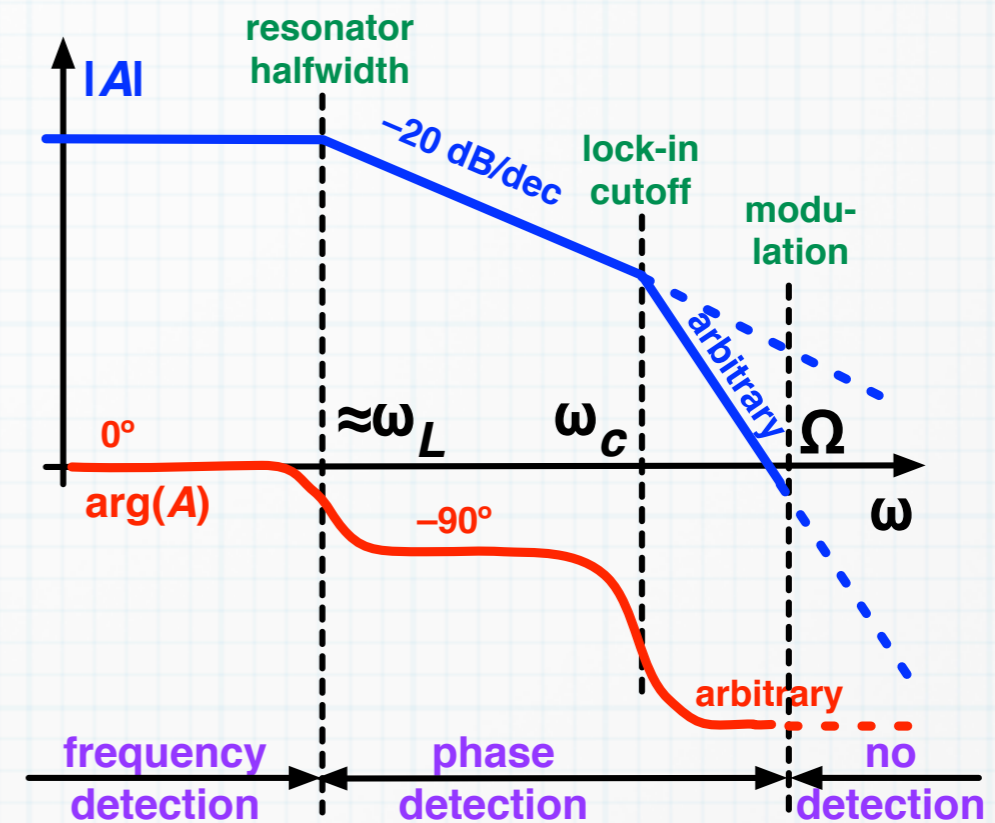
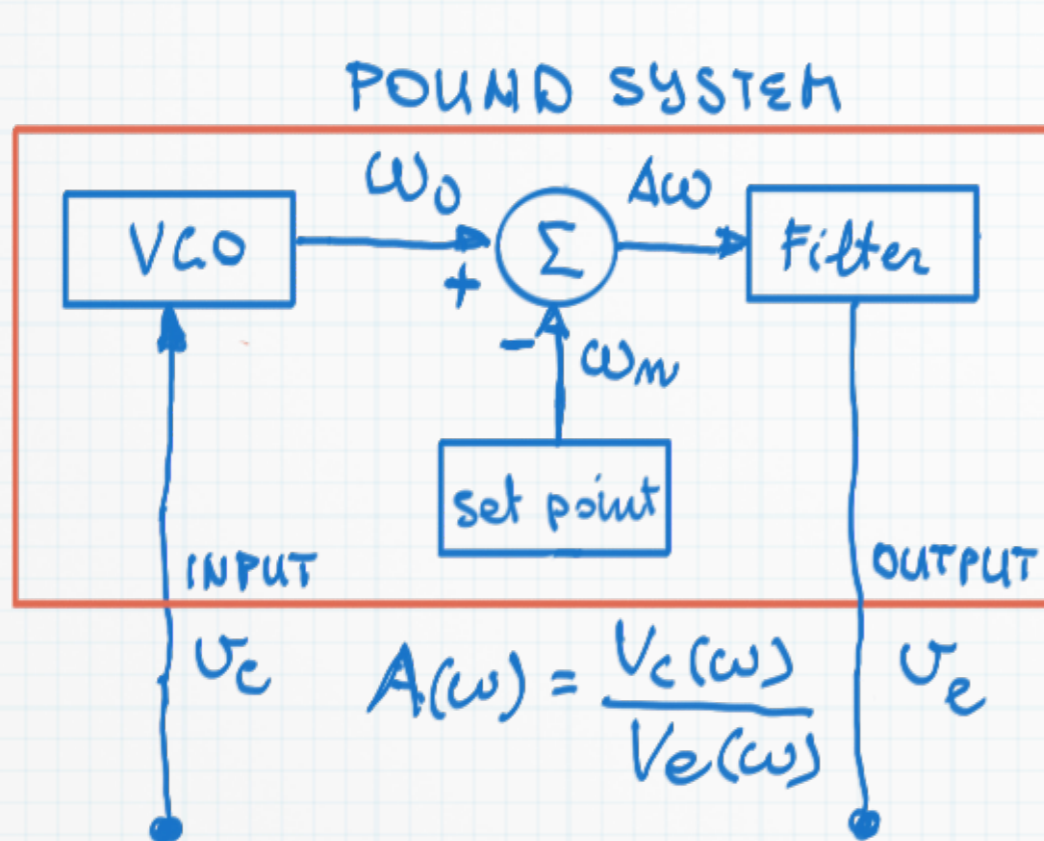


# Control loop



- The control loop must be stable
  - $|AB| < 1$  at the critical frequency where  $\arg(AB) = \pi$
  - In practice,  $\geq \pi/4$  ( $45^\circ$ ) phase margin is needed
- Higher dc gain provides higher accuracy

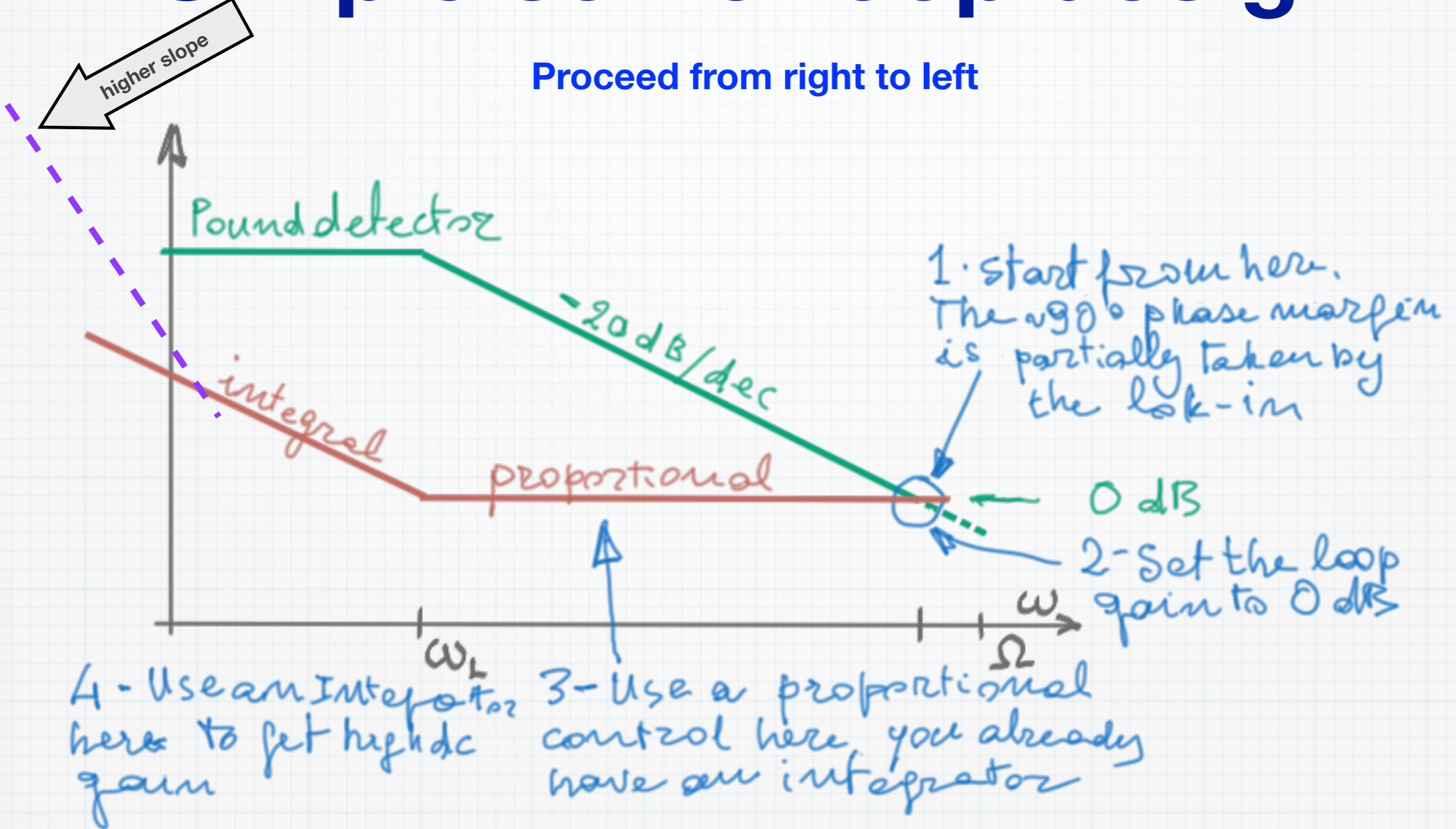
# Pound-detector transfer function



- **Quasi-static operation at  $\omega < \omega_L$  (resonator half-width)**
  - Oscillator frequency-noise detection (as discussed)
- **At  $\omega > \omega_L$ , the resonator reflects the noise sidebands**
  - Oscillator phase-noise detection at  $\omega_L < \omega < \Omega$  (integrator)
  - The internal lock-in filter rolls off at  $\omega > \omega_c$
  - The lock-in amplifier stops working at  $\omega \approx \Omega$  and beyond

# Simple servo-loop design

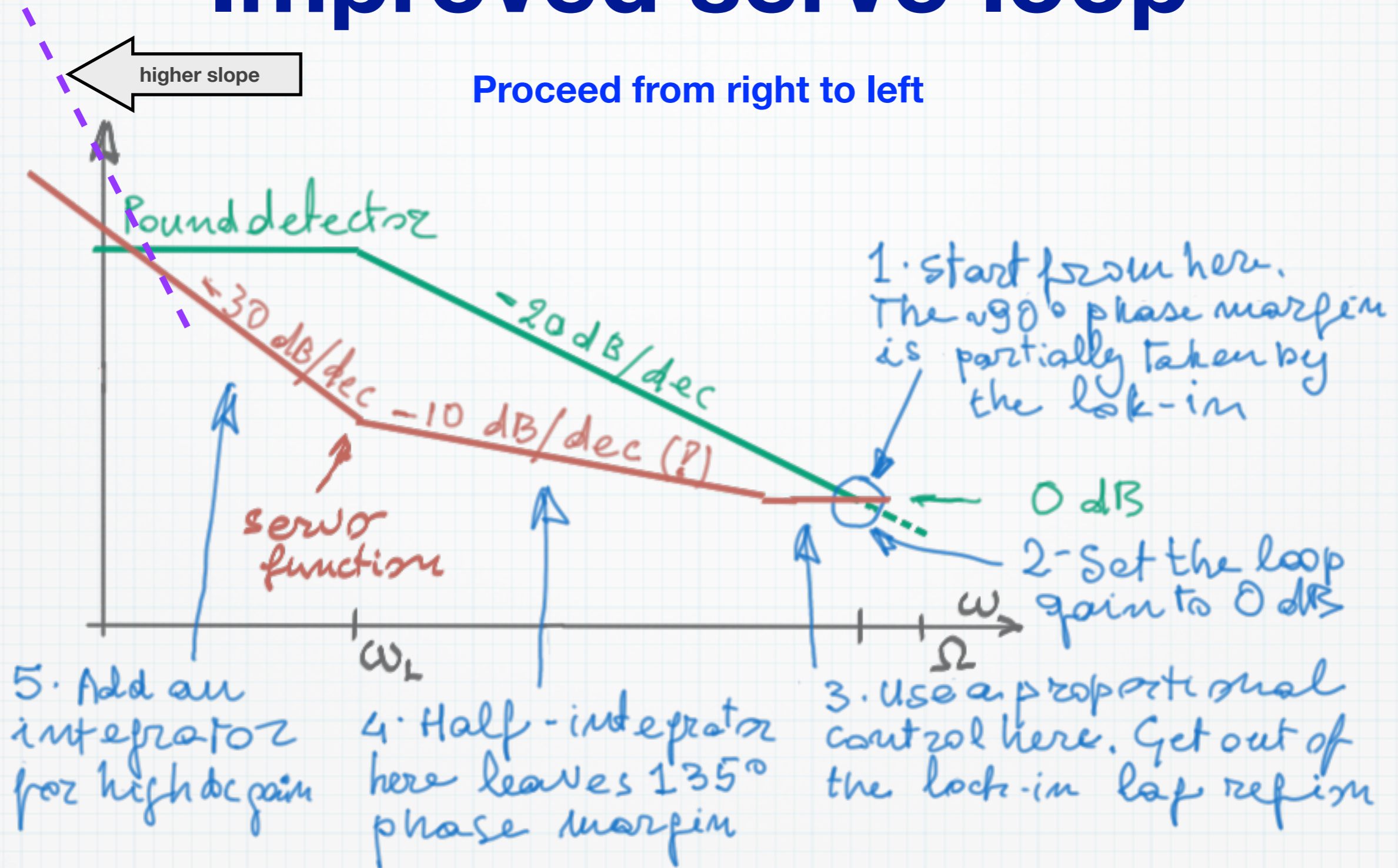
Proceed from right to left



- Start from  $\Omega$  (or  $\omega_c$ ) and go leftwards
  - Set phase margin  $\approx \pi/4$  ( $45^\circ$ )
- Design the transfer function



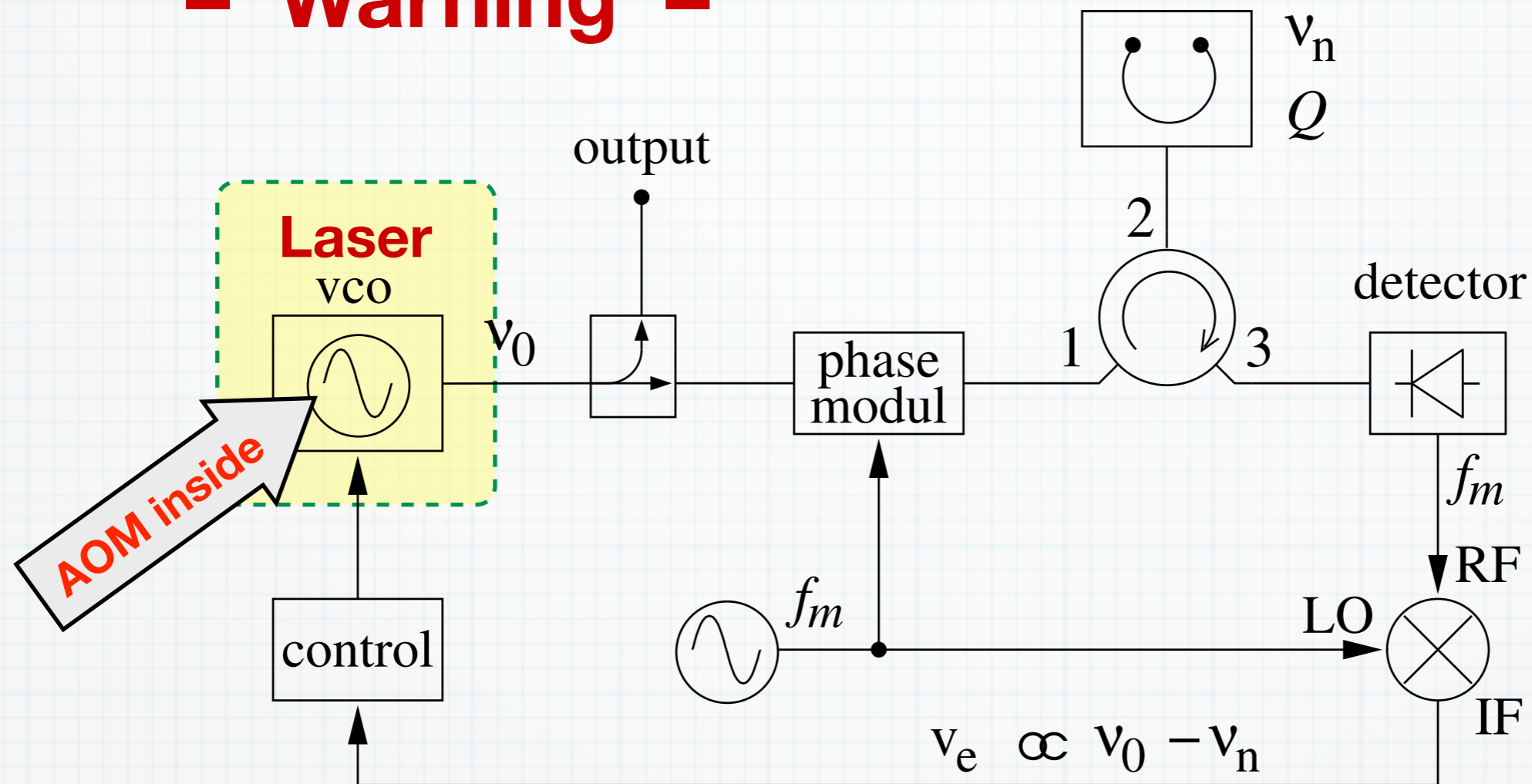
# Improved servo loop



- Resonator -20 dB/decade → 90° phase lag
- Half integrator 10 dB/decade → 45° phase lag
- 45° phase margin (to 180°), independent of gain

# Acousto-optic modulator delay

– Warning –



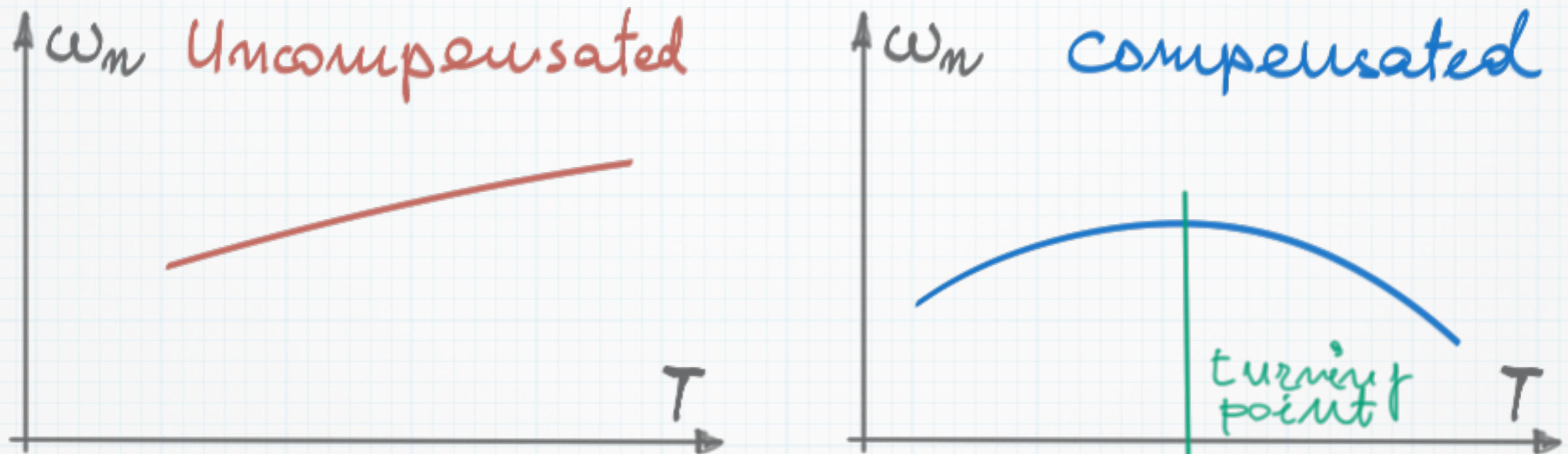
- Acousto-optic modulators are often used to control the laser frequency (together with piezo modulators)
- The AOM introduces a delay – a few  $\mu\text{s}$  typical
- The delay limits the maximum speed of the control



# Resonator stability

Temperature and flicker

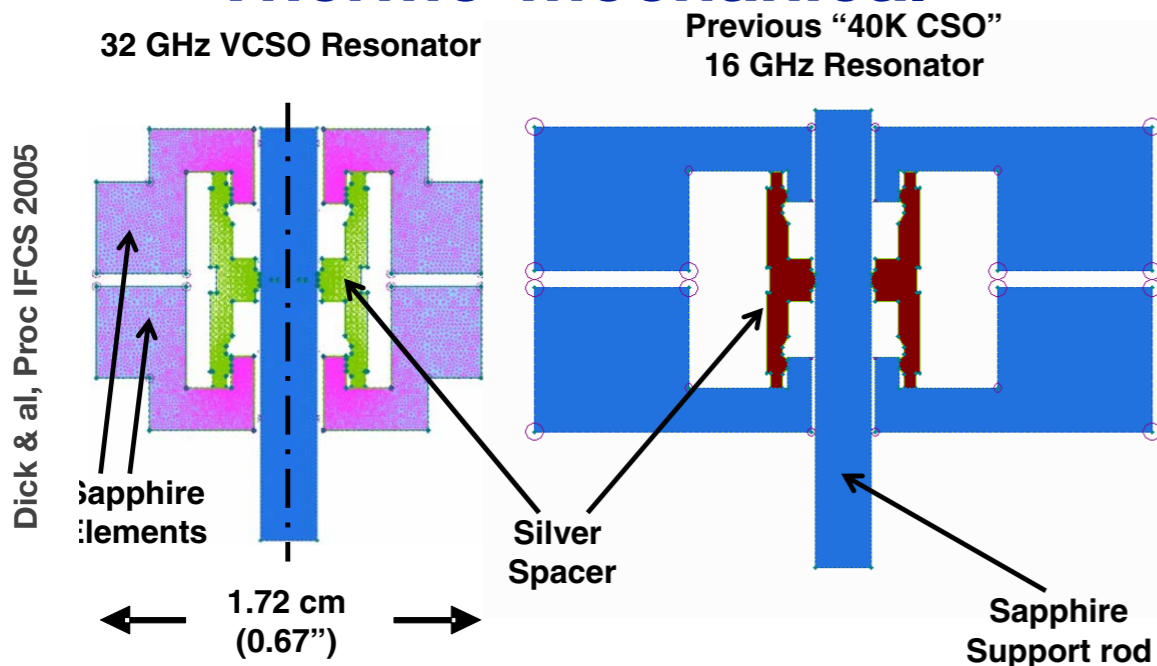
# Temperature compensation



- **Most solids (room temperature)**
  - dielectric permittivity  $\epsilon$   $\rightarrow$  coefficient of 5–100 ppm/K
  - length  $\rightarrow$  coefficient of 5–25 ppm/K
- **Temperature stability  $< 10\text{--}100 \mu\text{K}$  challenging / impossible**
- **A turning point is mandatory for high stability**

# Thermal compensation – examples

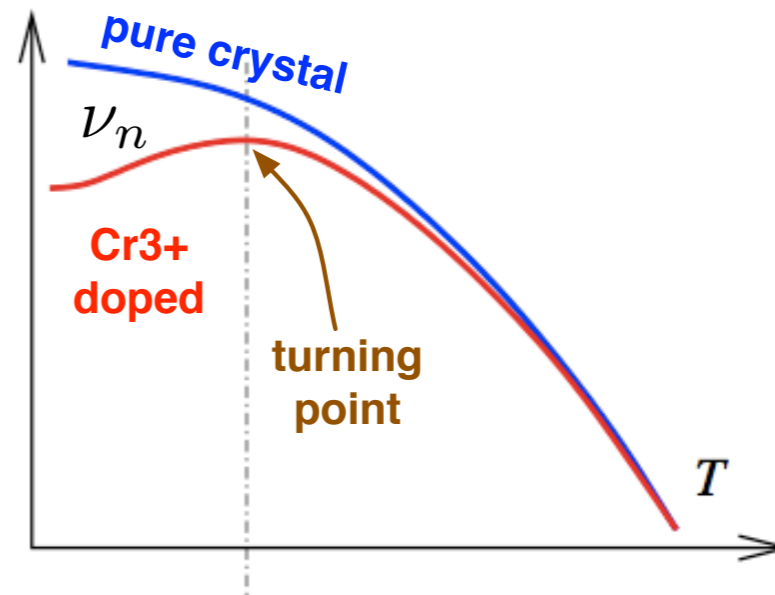
## Thermo-mechanical



JPL Sapphire (J.Dick)

Derived from the old Lampkin oscillator

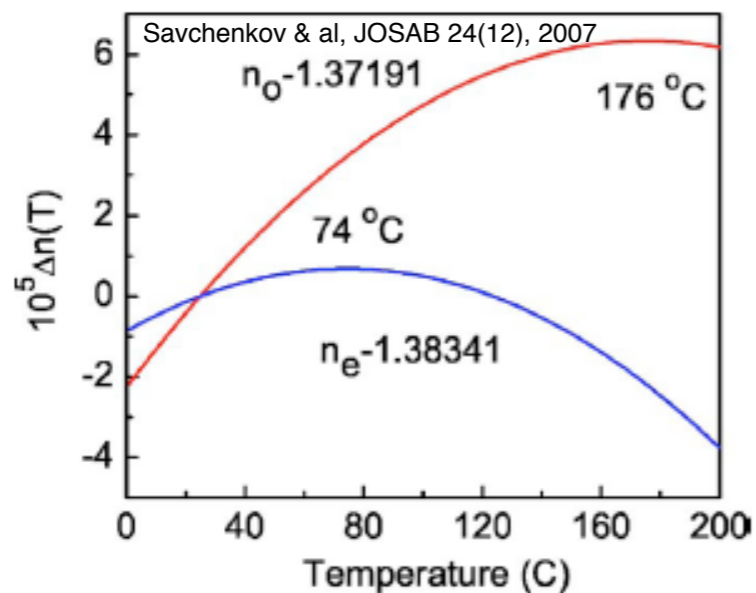
## Paramagnetic



Sapphire Cr<sup>3+</sup> impurities @ 6K (V.Giordano / M.Tobar)

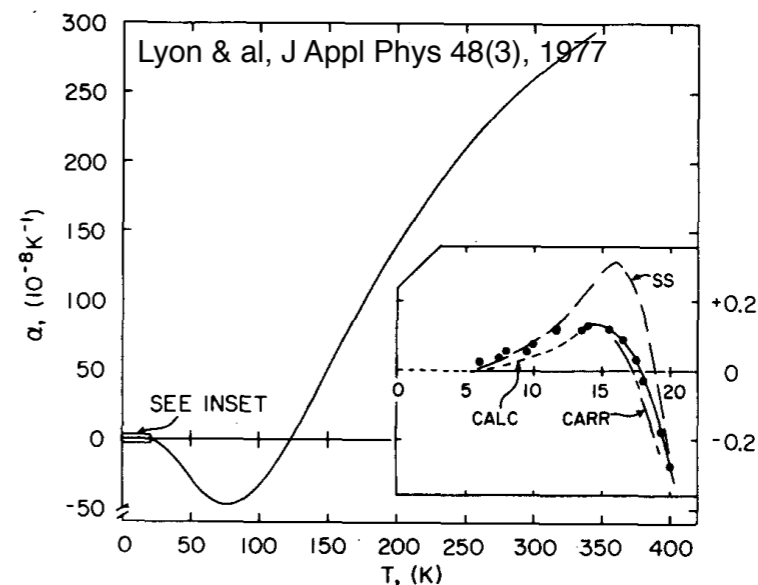
Also rutile/sapphire compound @ 80 K (V.Giordano)

## Natural – Refraction index



MgF<sub>2</sub> whispering gallery (A. Savchenkov)

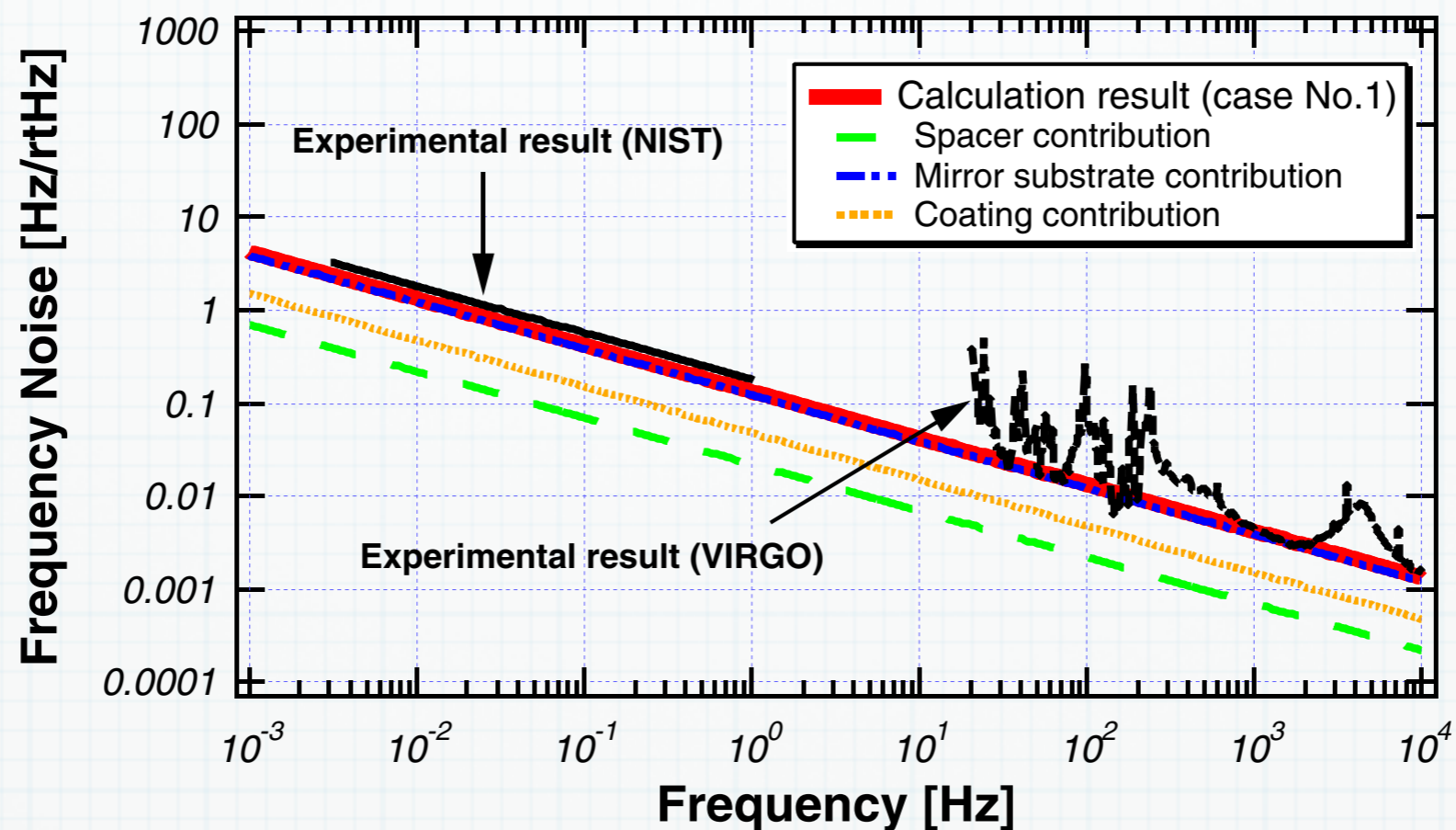
## Natural – Thermal expansion



Semiconductor-grade Si @ 124 K (PTB)

@ 17 K (In progress)

# In some fortunate cases, the origin of $1/f$ frequency noise is known



Numata K, Kemery A, Camp J, Thermal-noise limit in the frequency stabilization of lasers with rigid cavities, PRL 93(25) 250602, Dec 2004

# 1/f noise – structural damping

$$\ddot{x} + 2\zeta\omega_n\dot{x} + \omega_n^2 x = 0 \quad \text{homogeneous}$$

dry friction

$$F = -c \operatorname{sgn}(\dot{x})$$

viscous

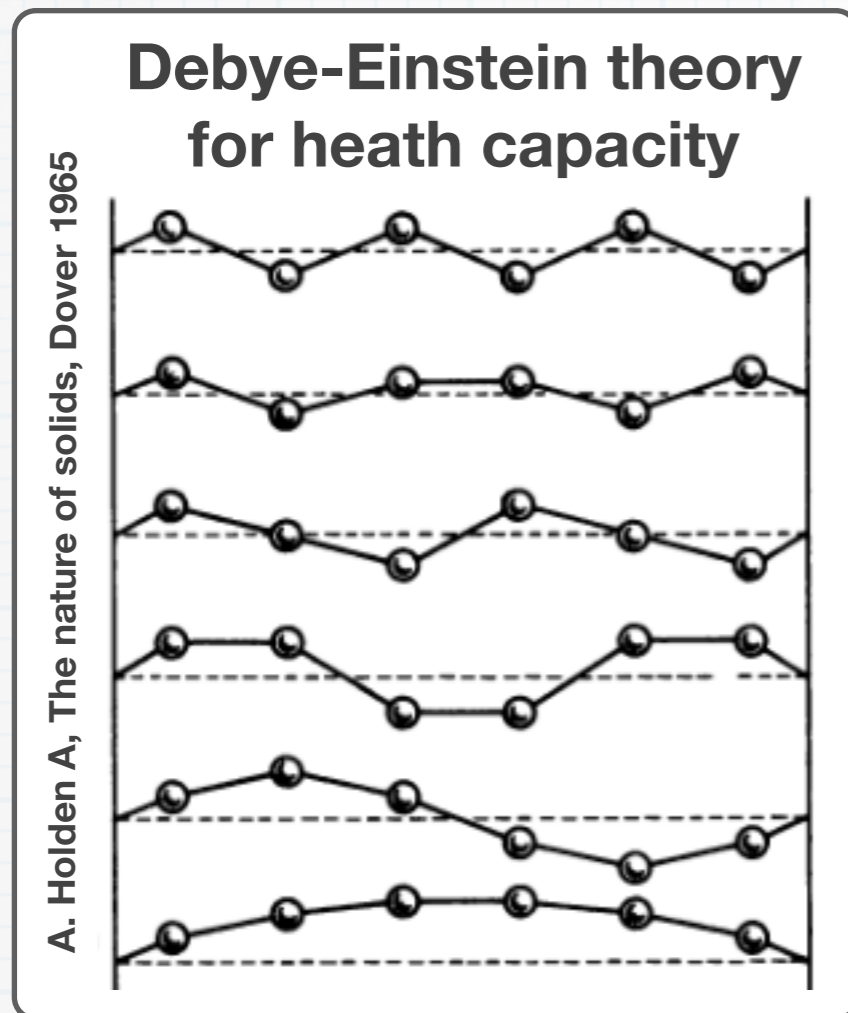
$$F = -H\dot{x}$$

Structural dissipation  
 $\approx$  in-phase with  $x$



# 1/f noise and FD theorem

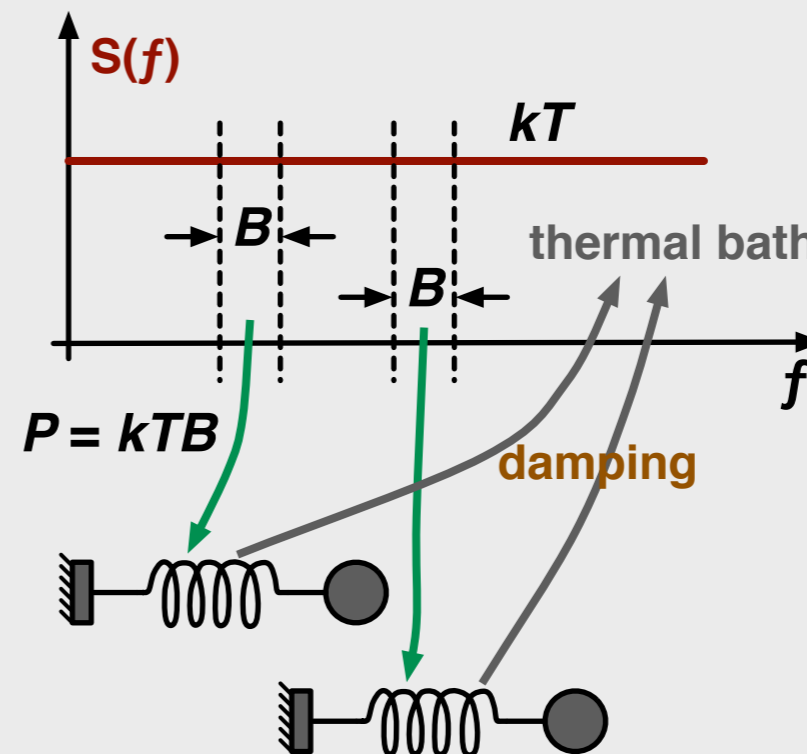
Flicker (1/f) dimensional fluctuation is powered by thermal energy



**A single theory explains**

- Heat capacity
- Elasticity
- Thermal expansion
- ... and its fluctuations

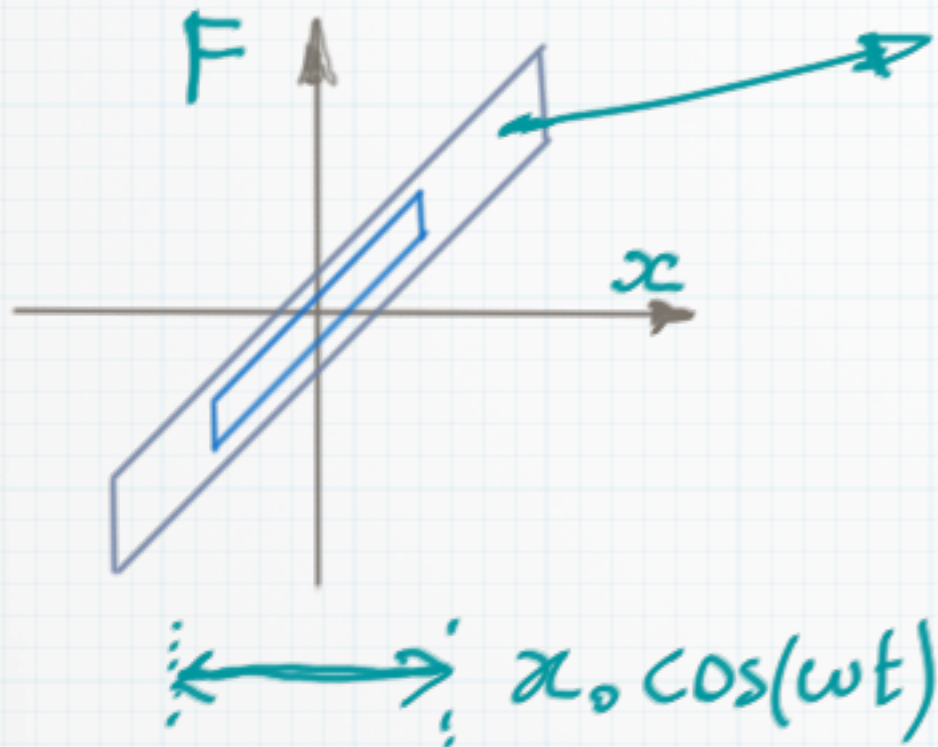
## Fluctuation Dissipation theorem in a nutshell



Thermal equilibrium applies to all portions of spectrum

# Thermal 1/f from the FD theorem

Look at one vibration mode



Area = Energy  
dissipated in 1 cycle

Small-vibration regime,  
The hysteresis cycle scales with  $x_0$

$$E = \mu x_0^2$$

Energy in  
one cycle

$$P = \frac{\omega}{2\pi} \mu x_0^2$$

Average  
power

- Structural dissipation occurs at chemical-bond scale
- Virtually instantaneous
- Thermal equilibrium
  - $P = kT$  in 1 Hz BW
  - $x^2 \sim 1/f \rightarrow$  flicker

$$x_0^2 \sim 1/\omega \text{ Flicker!}$$

$$S_x(f) \sim 1/f$$

Damping force in phase with  $x$  (not with  $\dot{x}$ )  $\rightarrow$  equivalent to imaginary spring constant

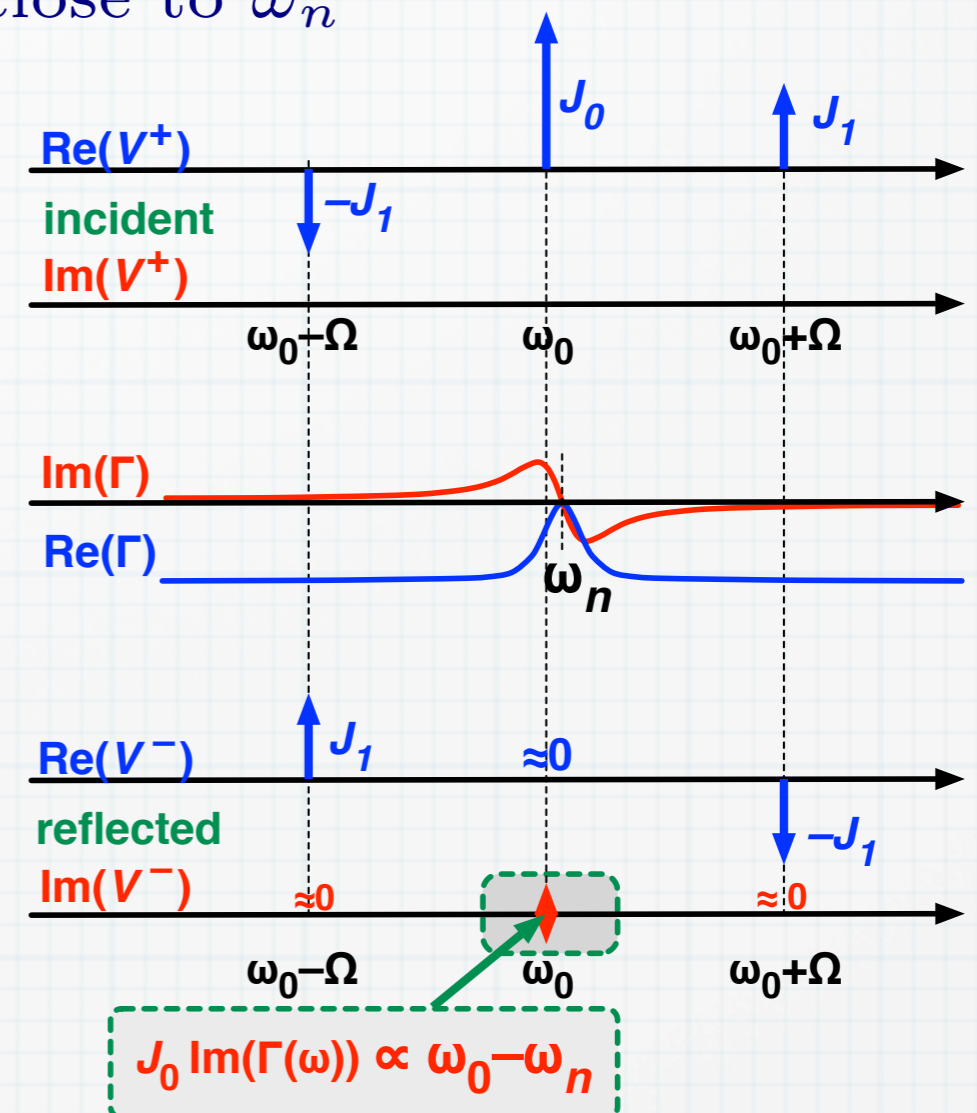
# Optimization Issues

# Critical coupling ( $g = 1$ )

- **Maximum gain.**  
Immediately seen on  $\text{Im}\{\Gamma\}$
- **Lowest “useless” power in the quadratic detector.**  
Immediately seen on  $\text{Re}\{\Gamma\}$
- **The frequency error due to residual AM vanishes**  
Some maths – not shown

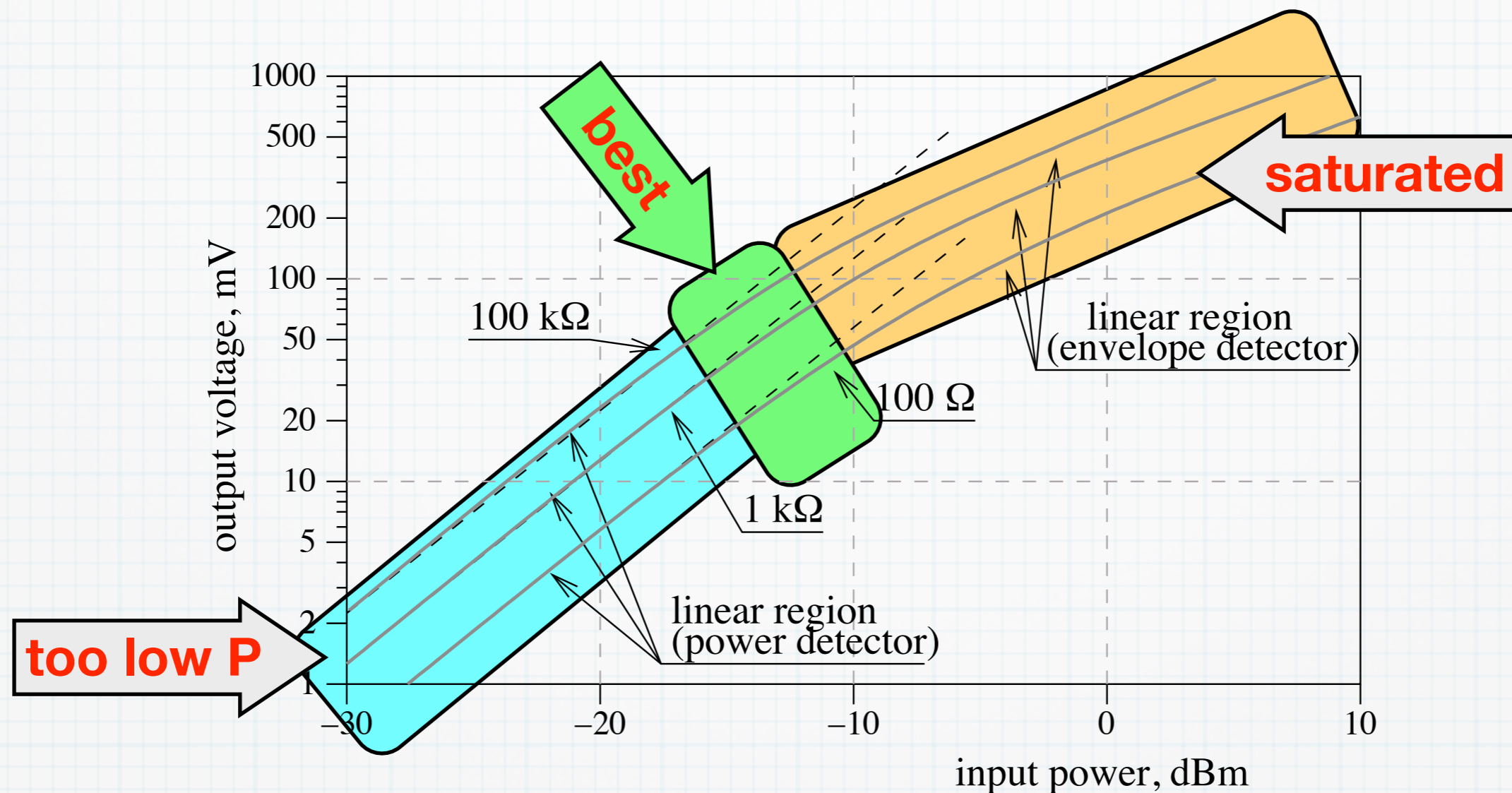
$$\Gamma \simeq \frac{g - 1}{g + 1} - i \frac{4Q_0 g}{(g + 1)^2} \frac{\Delta\omega}{\omega_n}$$

close to  $\omega_n$





# Detector responsivity



$$(a+b+c)^2 = a^2 + b^2 + c^2 + 2ab + 2ac + 2bc$$

- The error signal comes from the **2ac + 2bc** terms
- Highest sensitivity just below the corner



# Maximum power in the resonator

- **Dissipated P → Thermal instability (obvious)**
- **Traveling P → Instability**
  - **Dielectric constant**
  - **Radiation-pressure**  
Chang & al., ...radiation pressure effect..., PRL 79(11) 1997
- **Difficult to lock ( $\omega_n$  runaway)**
  - **Control instability and failure**
- **“Maximum P” applies to the carrier, not to sidebands**
  - **The carrier gets in the resonator, the sidebands are reflected**
- **Look carefully at the resonator physics**
  - **Loss and dissipation are not the same thing**

# Modulation index

- **The sidebands are reflected**
- **High modulation index  $\rightarrow$  high sideband power**
  - **Higher gain without increasing  $P$  inside the resonator**
- **Effect of higher-order sidebands ( $\pm 2\Omega$ ,  $\pm 3\Omega$ , etc.)**
  - **Not documented – though conceptually simple**
- **DSB modulation, instead of true PM**
  - **A pair of sidebands is simpler than true PM**
  - **Modulator  $1/f$  noise?**

# Modulation frequency

## Lower bound for $\Omega$

- Total reflection at  $\omega_n \pm \Omega$  is necessary
  - Thus,  $\Omega \gg B/2\pi$ ,  $B = \text{resonator bandwidth}$

## Why to choose the largest possible $\Omega$

- Larger control bandwidth
  - Higher dc gain  $\rightarrow$  higher stability

## Why *not* to choose the largest possible $\Omega$

- Avoid dispersion (PM  $\rightarrow$  AM conversion)
- Technical issues / Design issues

## My experience – at Femto-ST

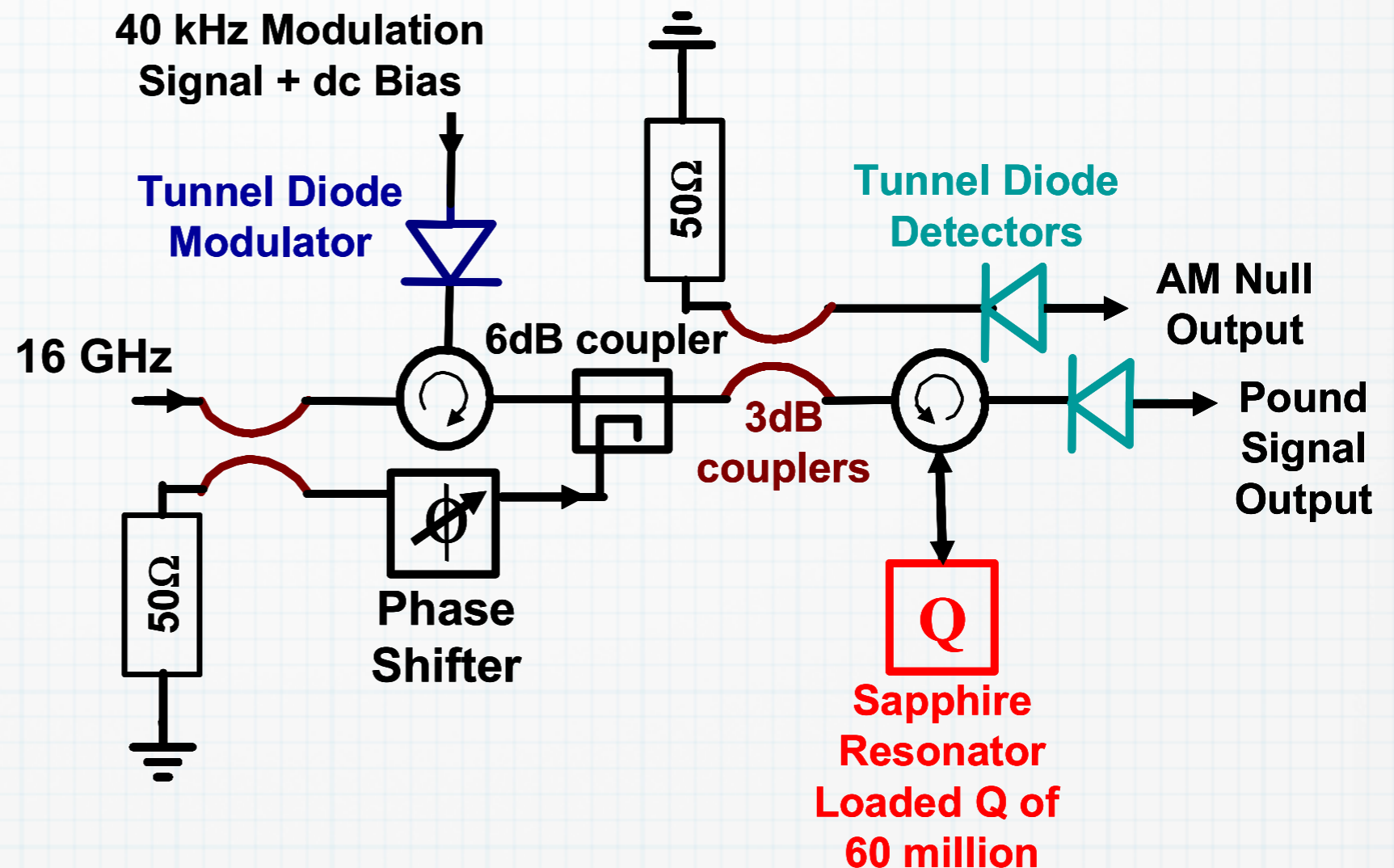
- 95–99 kHz for the sapphire oscillators (10 GHz,  $B=10$  Hz)
- 22 MHz for the optical FP (193 THz,  $B \approx 30$  kHz)

# Residual AM

- Residual AM yields a detected signal at the modulation frequency  $\Omega$
- Generally poorer operation
- Frequency error  $\rightarrow \omega_0 \neq \omega_n$  at the null point
- Frequency fluctuation if the AM fluctuates

# Removing the residual AM

- Additional detector enables nulling the AM in closed loop
- The power detector is reversible
- Reversed, is used as a variable stub





# Filter the detector output

dc terms

$$P = \frac{|V_0|^2}{2R_0} \left\{ J_1^2(m) + \frac{1}{2} J_0^2(m) \left[ \frac{g-1}{g+1} \right]^2 + \frac{1}{2} J_0^2(m) \left[ \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n} \right]^2 \right\} +$$

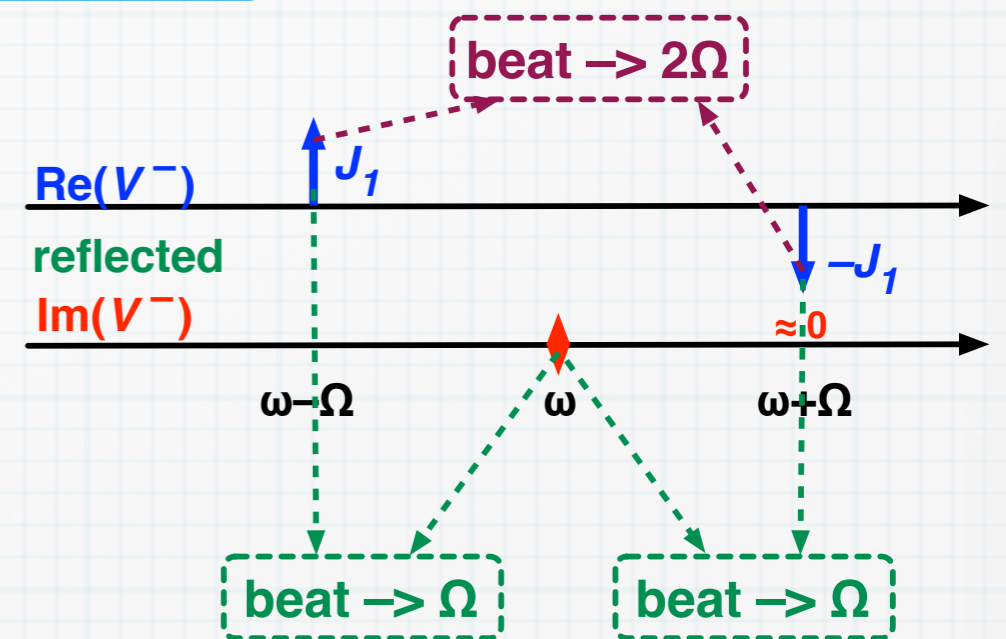
$$- \frac{|V_0|^2}{2R_0} J_1^2(m) \cos(2\Omega t) + \frac{|V_0|^2}{2R_0} 2J_0(m)J_1(m) \frac{4Q_0}{g+1} \frac{\Delta\omega}{\omega_n} \sin(\Omega t)$$

diagnostic

error signal

Large,  $2\Omega$

Small,  $\Omega$



Separating the  $\Omega$  and  $2\Omega$  signals with passive filter helps in getting clean, simple and effective electronics

# More optimization issues

- **Given the laser power → best modulation index (Eric Black)**
- **Detector saturation power → best modulation scheme**
- **Resonator max power → best modulation**
- **Quadrature modulation ( $\mu$ waves) – does it really make sense?**

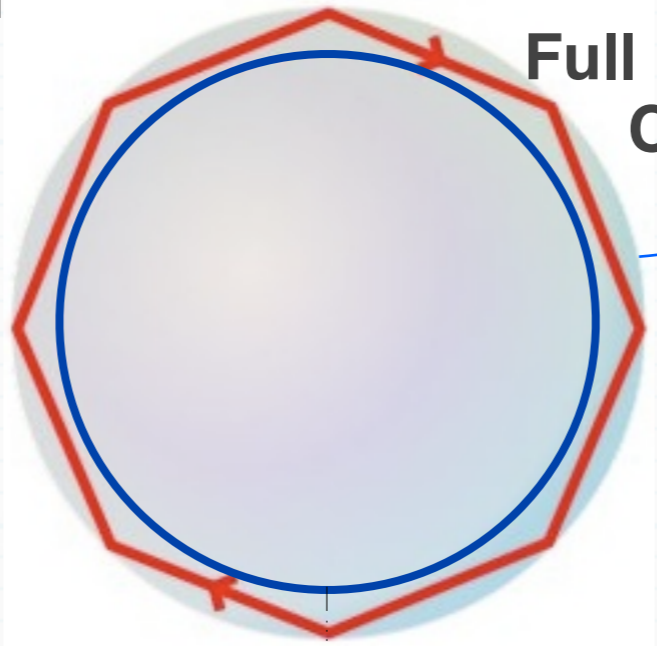
# Applications

**Resonators and oscillators**

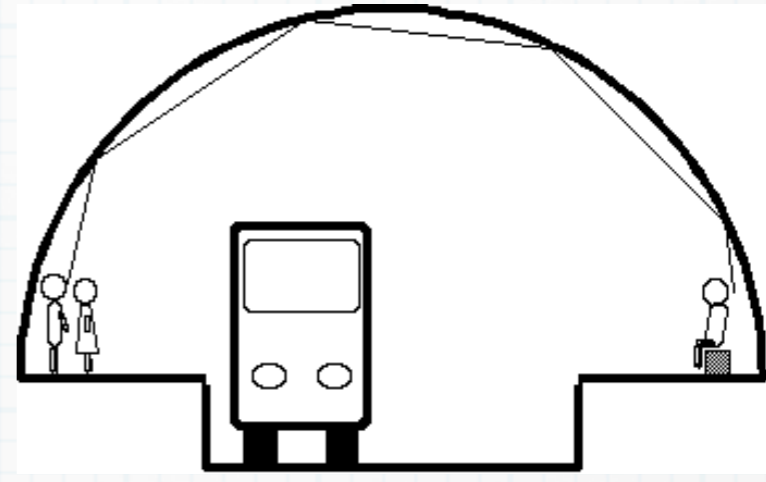
# Microwaves

# Whispering gallery resonator

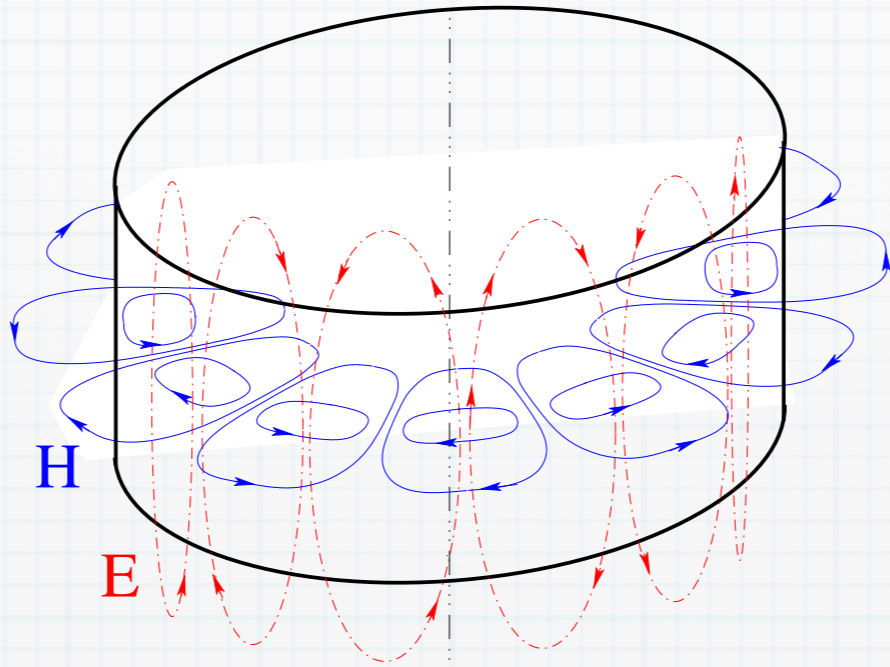
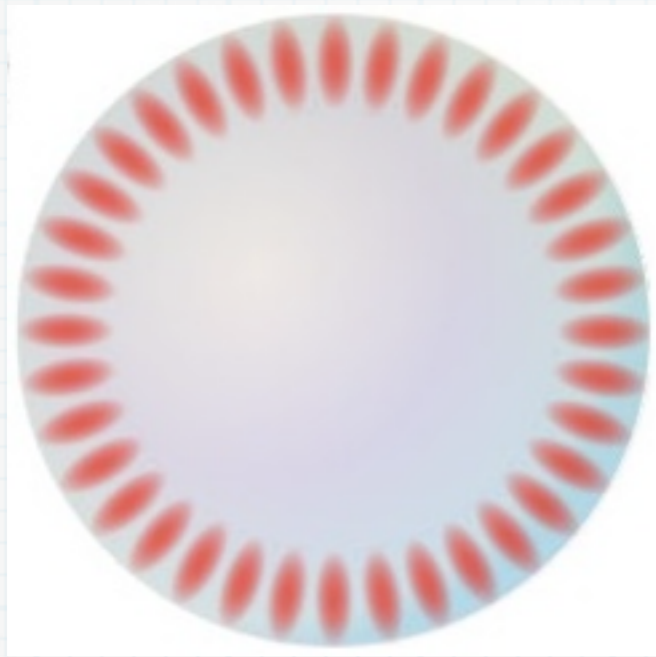
Geometrical optics interpretation



Full reflexion  
Confinement inside the dielectric



Electromag. fields



WGH mode

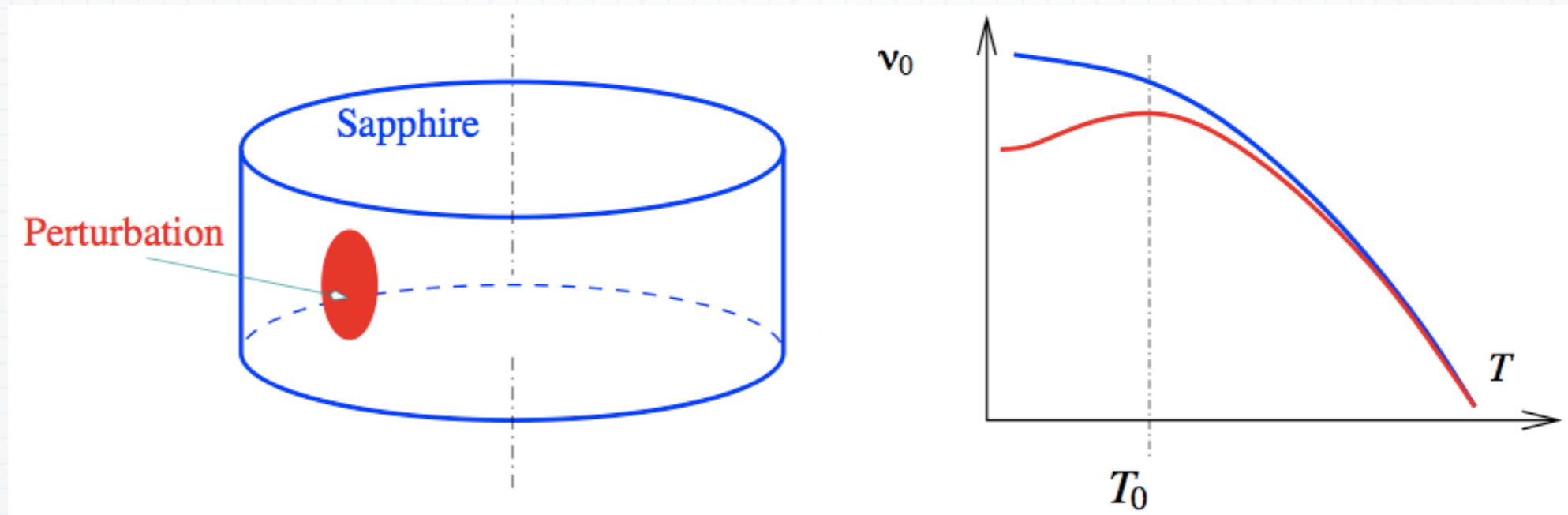
$$Q_0 \sim \frac{1}{\text{tg}\delta} \rightarrow \sim 10^9 @ 4K$$



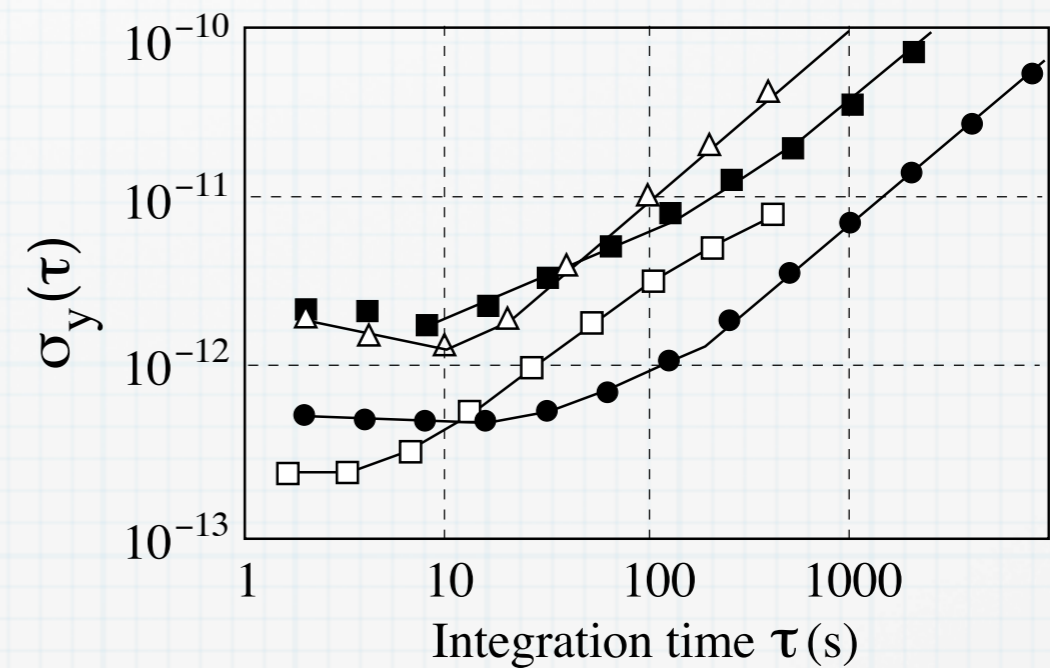
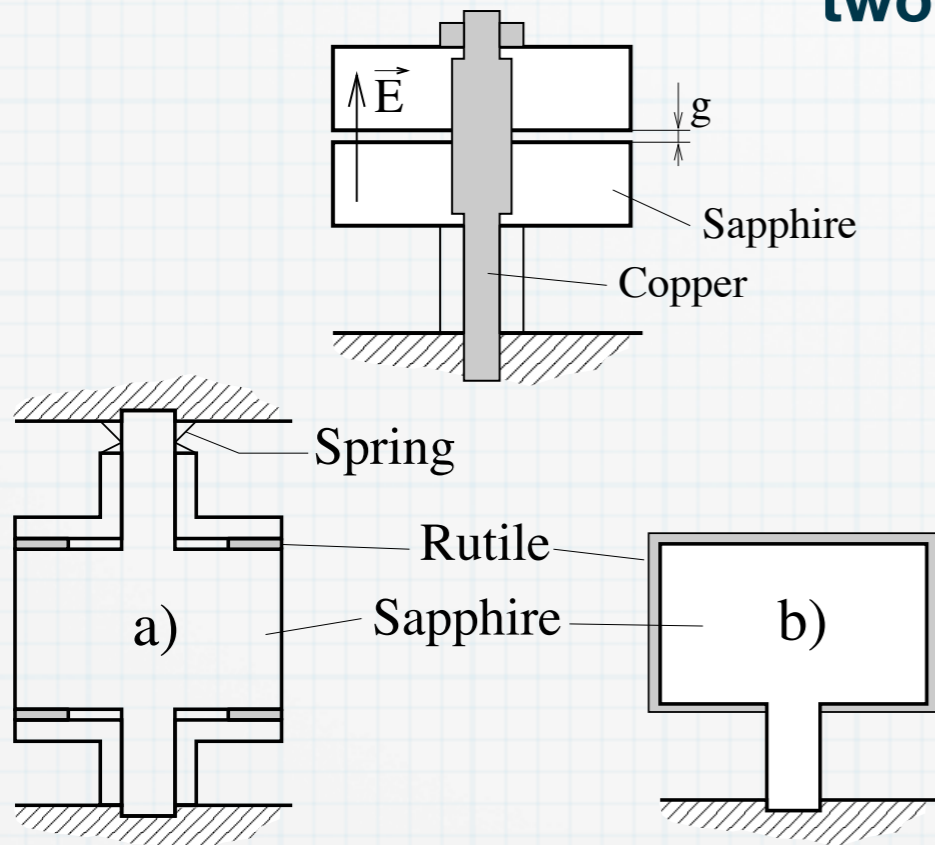


# Temperature compensation

Compensation exploiting impurities,  $\approx 6$  K

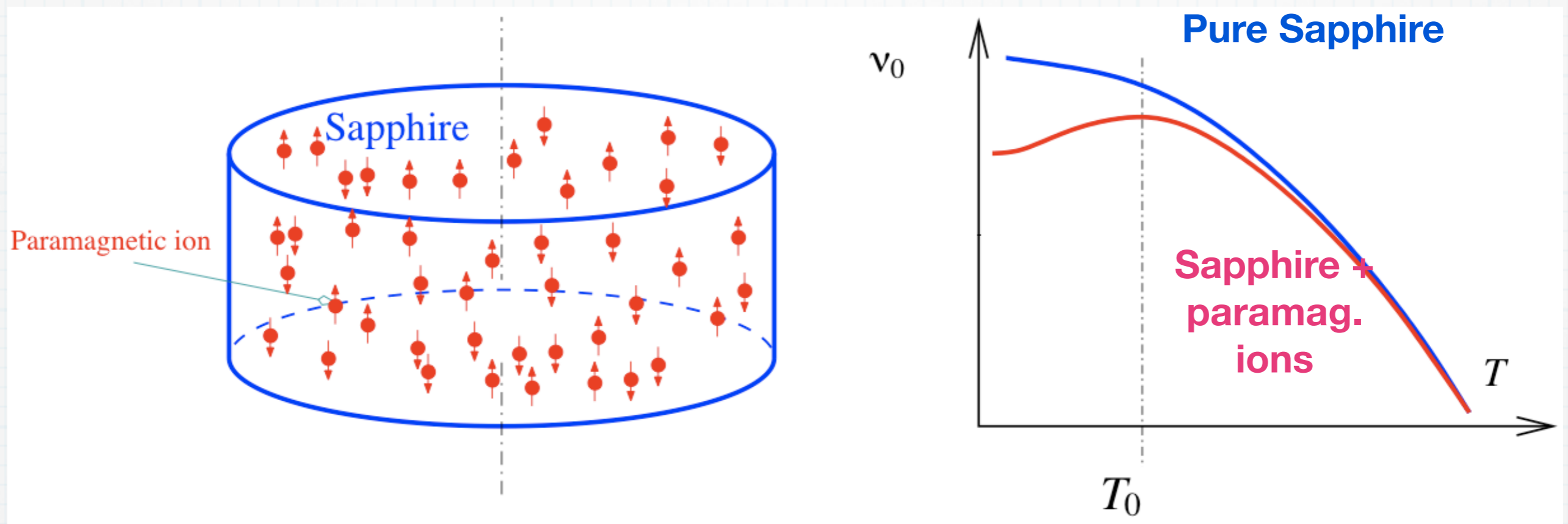


two ideas tested above 30K



# Temperature compensation

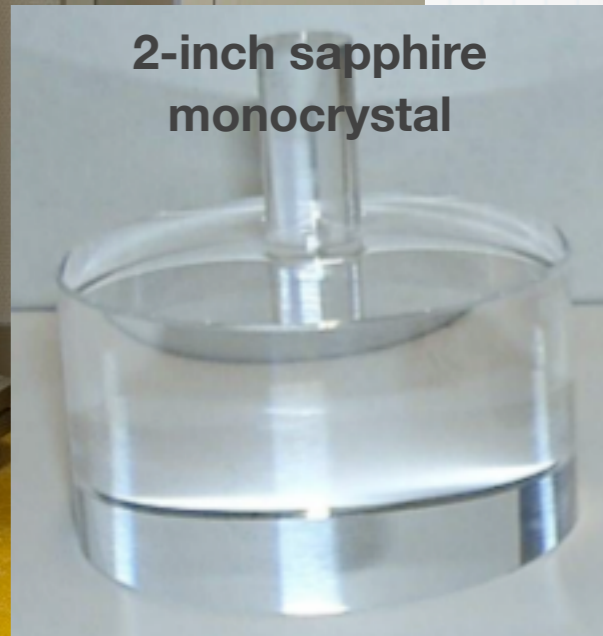
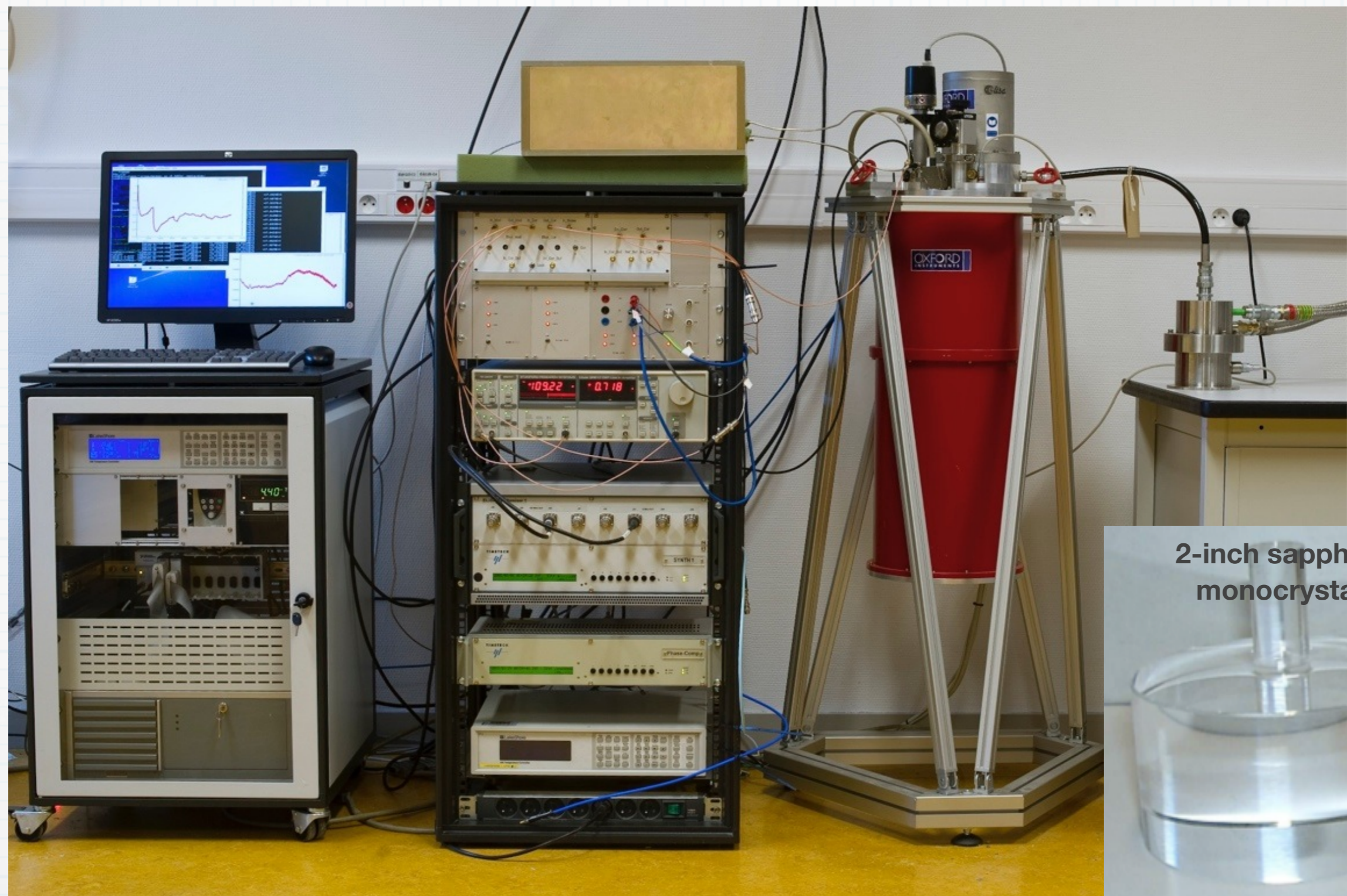
paramagnetic impurities:  $\text{Fe}^{3+}$   $\text{Cr}^{3+}$ ,  $\text{Mo}^{3+}$ ,  $\text{Ti}^{3+}$



$$T_0 \sim 6 \text{ K}$$



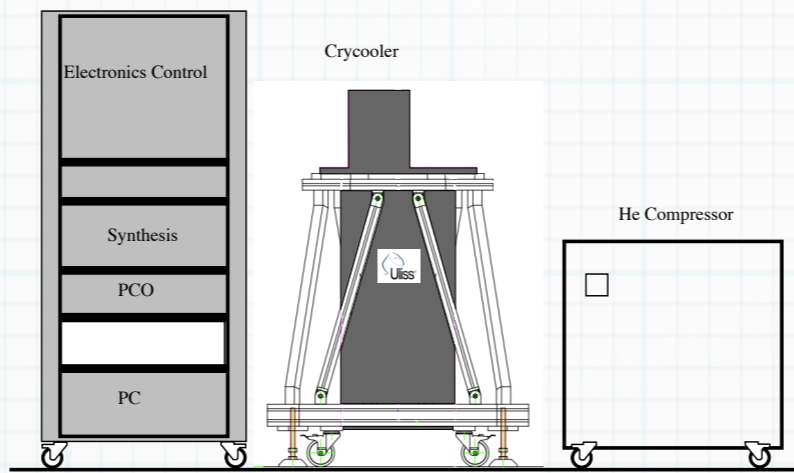
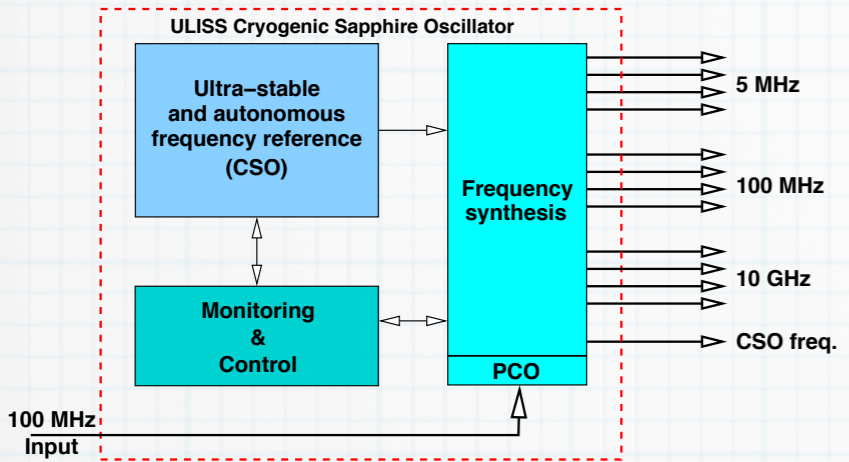
# Elisa, before going to Argentina



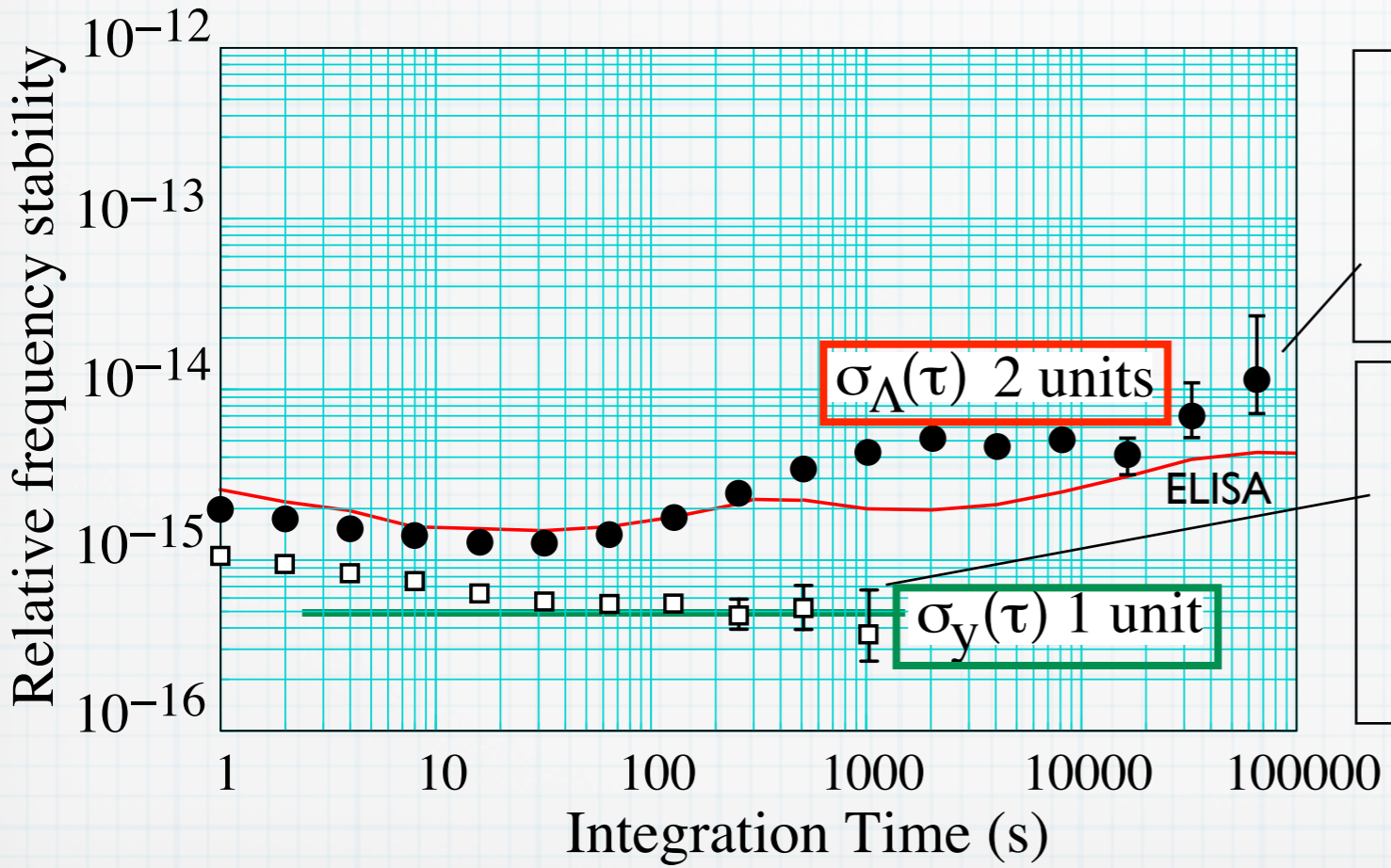
2-inch sapphire monocrystal



# Uliss



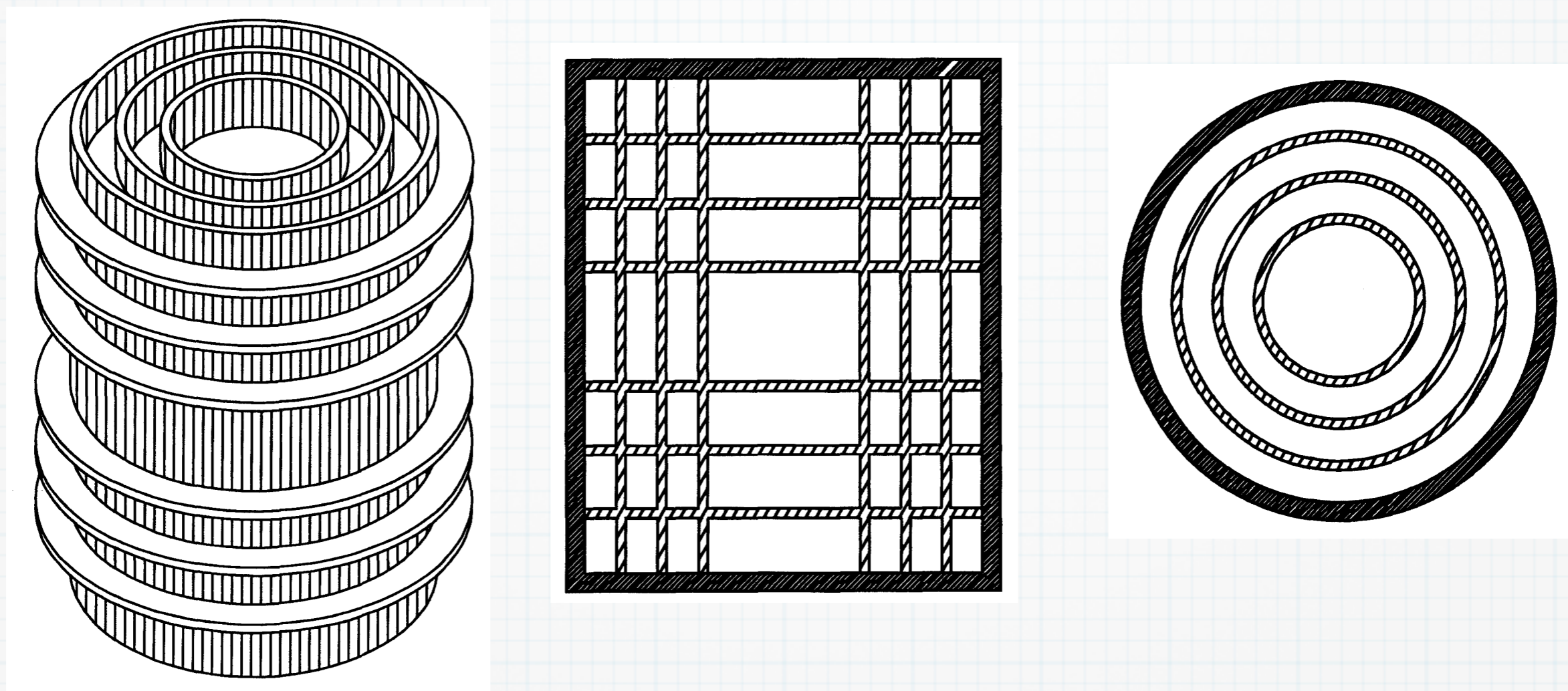
## ADEV measurement ELISA/ULISS



3 days measurement without post-processing  
 Perturbed environment:  
 - Technical university (ENSMM),  $\geq 800$  students  
 - Air conditioning still not operational during measurements

3 hours extracted from the entire data set  
 - Quiet environment, nighttime  
 - Take away 3dB for two equal units  
 -  $\Lambda$ -counter compensated: for flicker:  $\sigma_{\Lambda}(\tau) \approx 1.3 \times \sigma_y(\tau)$   
 flicker floor:  $4 \times 10^{-16}$   $10 \text{ s} < \tau < 1,000 \text{ s}$

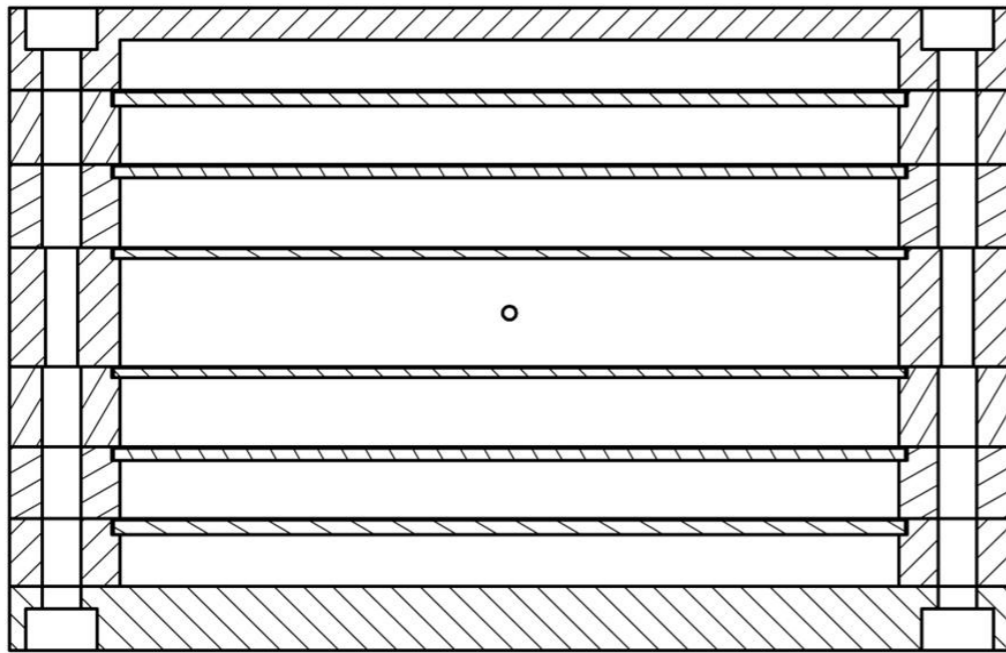
# Flory-Taber Bragg resonator



- Measured  $Q = 6.5 \times 10^5$  at 9 GHz, and  $4.5 \times 10^5$  at 13.2 GHz
- Oscillator stability and noise not reported (yet)
- Project dropped

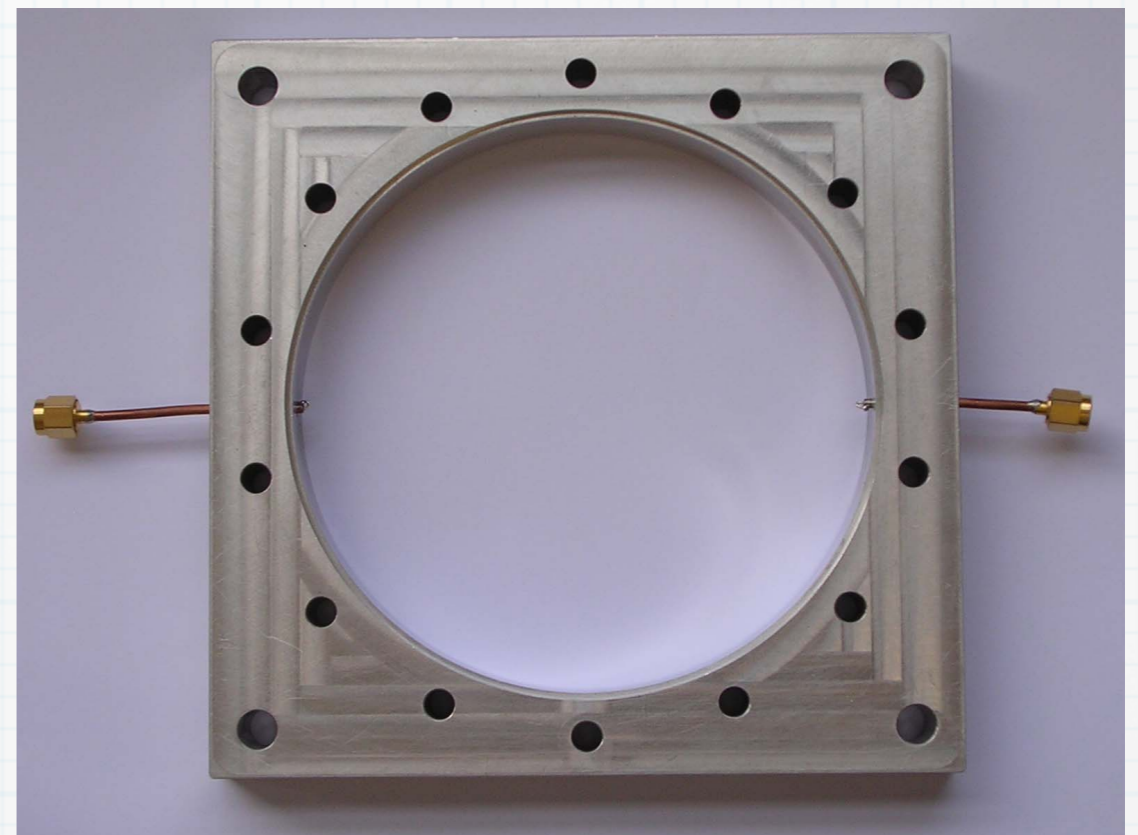


# The Bale-Everard Aperiodic Bragg resonator



**Suitable to Pound lock**

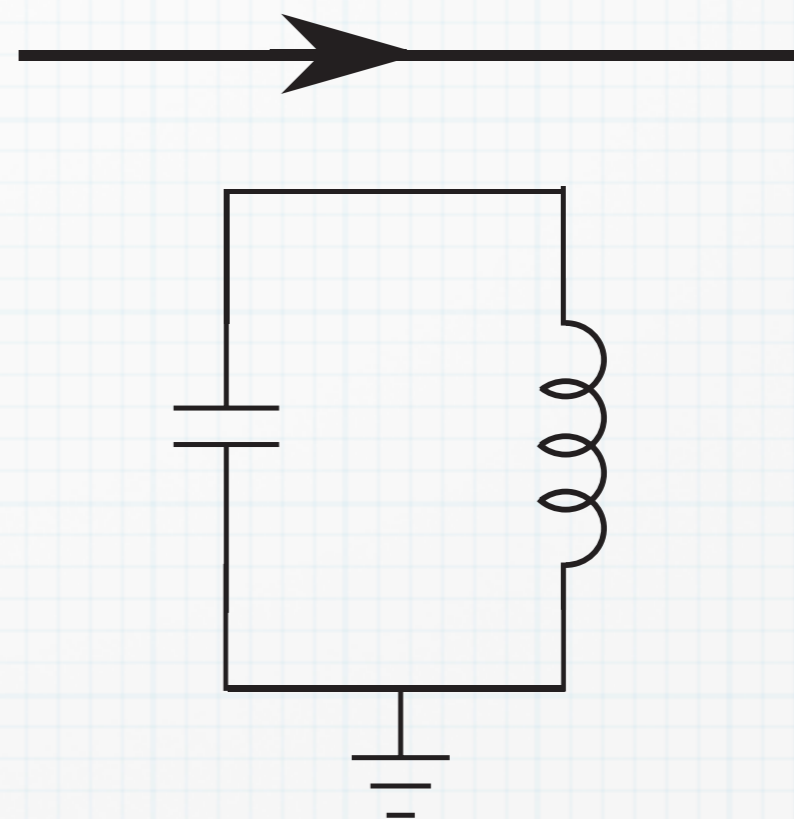
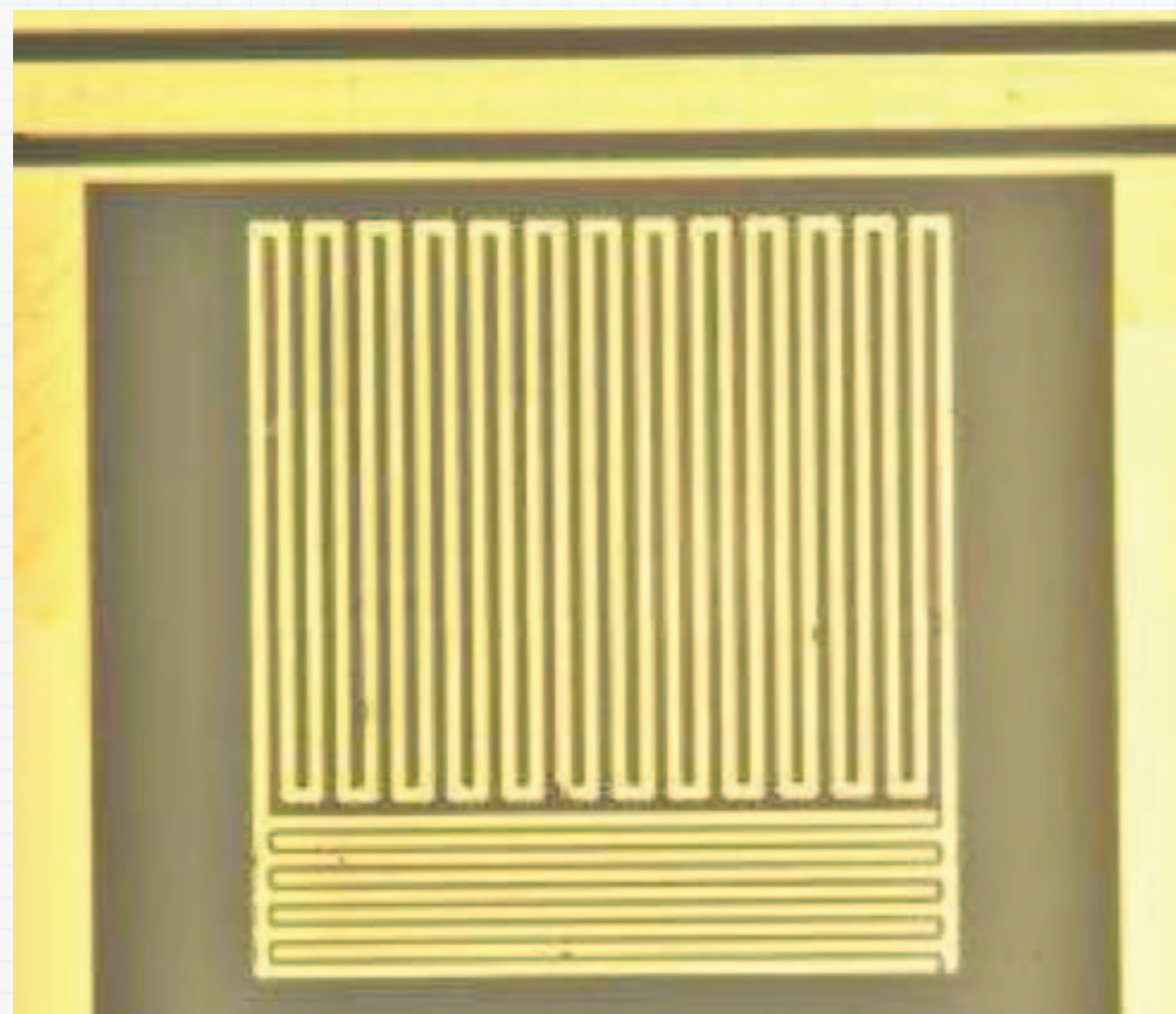
- **6-plates 10 GHz resonator**
  - $Q > 3 \times 10^5$  (simulated)
  - $Q \approx 2 \times 10^5$  (measured)
- **Oscillator stability and noise not reported yet**



# Small superconducting resonator

Superconducting resonator (NPL, UK)

Nb on Al<sub>2</sub>O<sub>3</sub>, 300x300  $\mu\text{m}^2$ . 7.5 GHz,  $Q = 5\text{E}4$ ,



Lindstrom, Oxborrow & al, Rev Sci Instrum 82, 104706 (2011)

# Optics



# Stabilization of the FS comb

The FS comb enables frequency synthesis from RF/ $\mu$ waves to optics

- Major breakthrough
- 2005 Nobel prize, R.Glauber, J.Hall, T.Haensch

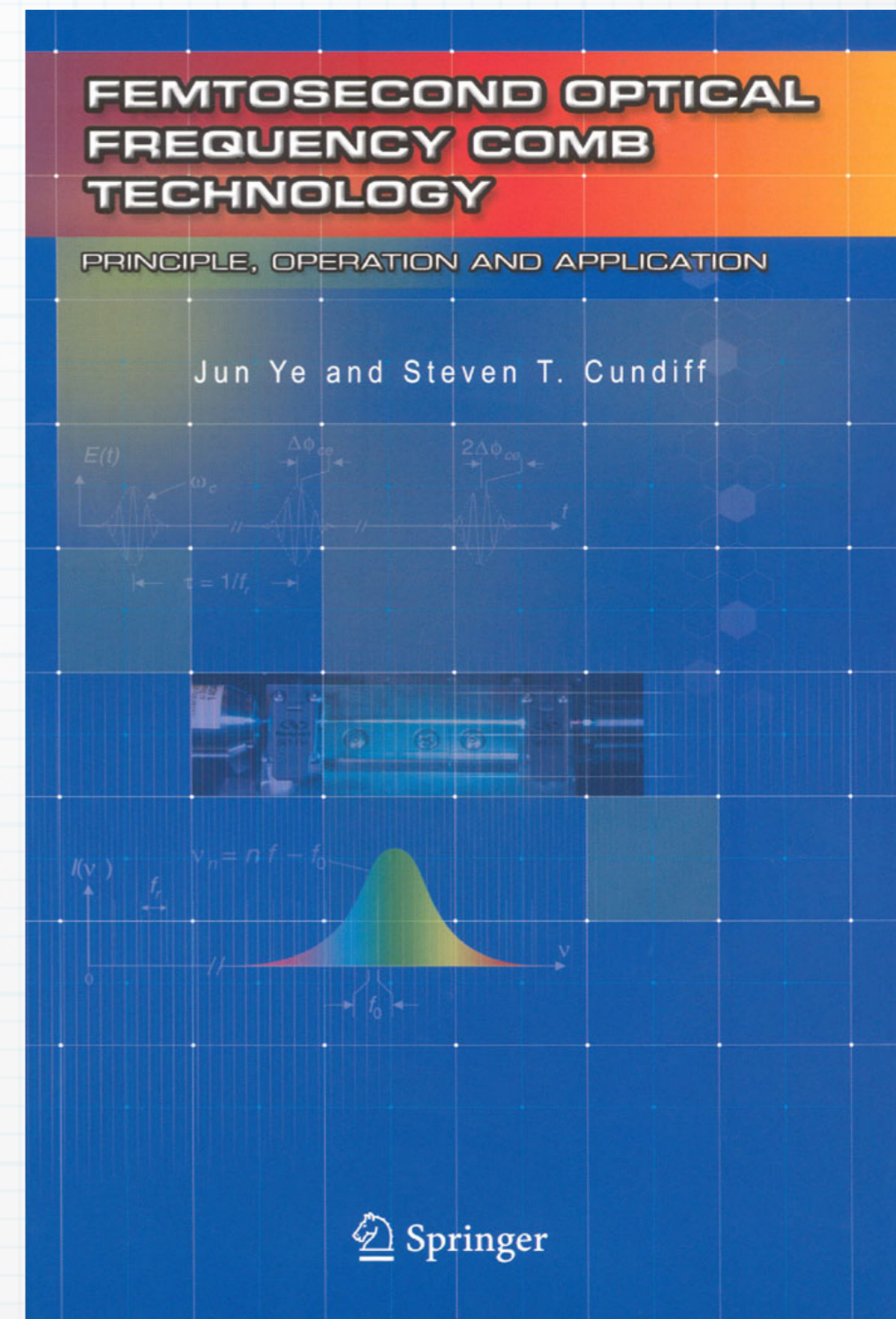
## Stability and noise

- Low noise in the sub-millisecond region
- Drift and walk
- Need stabilization

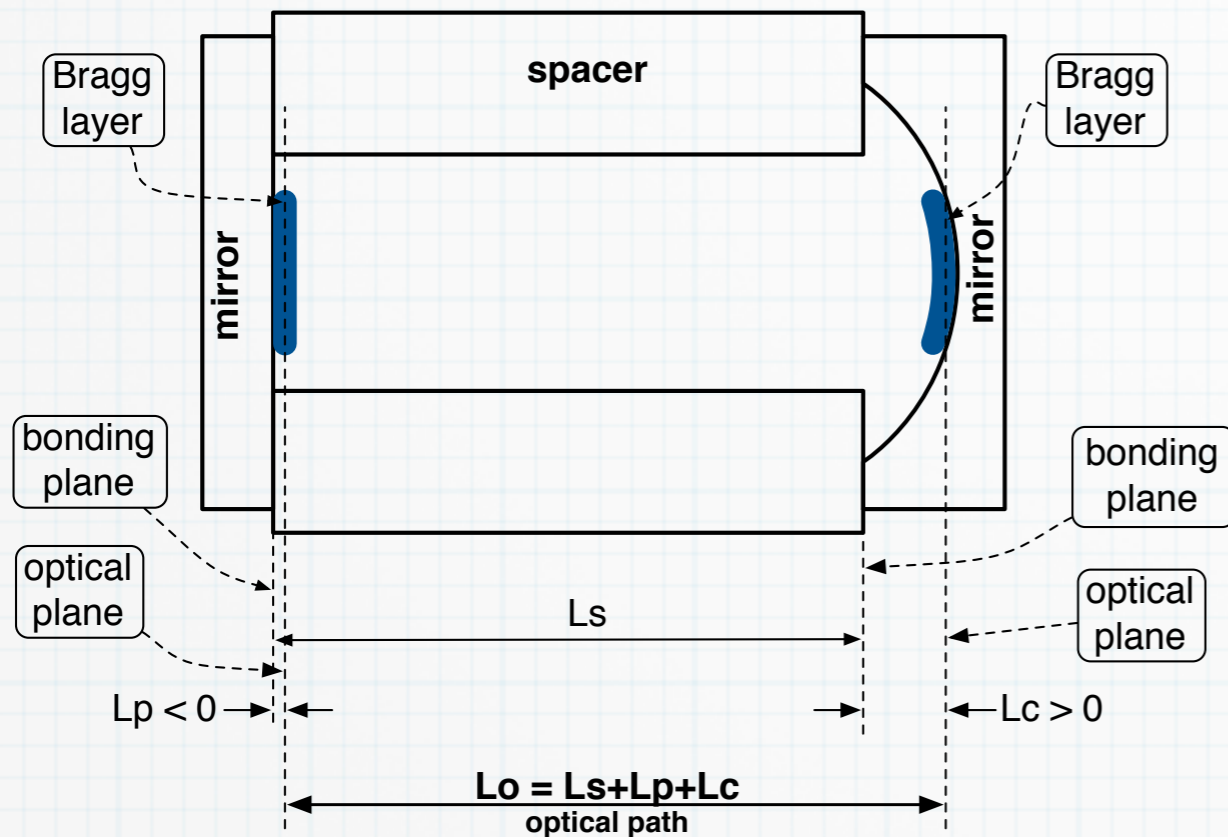
## Common practice

- CW laser stabilized to a FP etalon
- PDH control – of course
- Compare/stabilize the FS comb to the CW laser

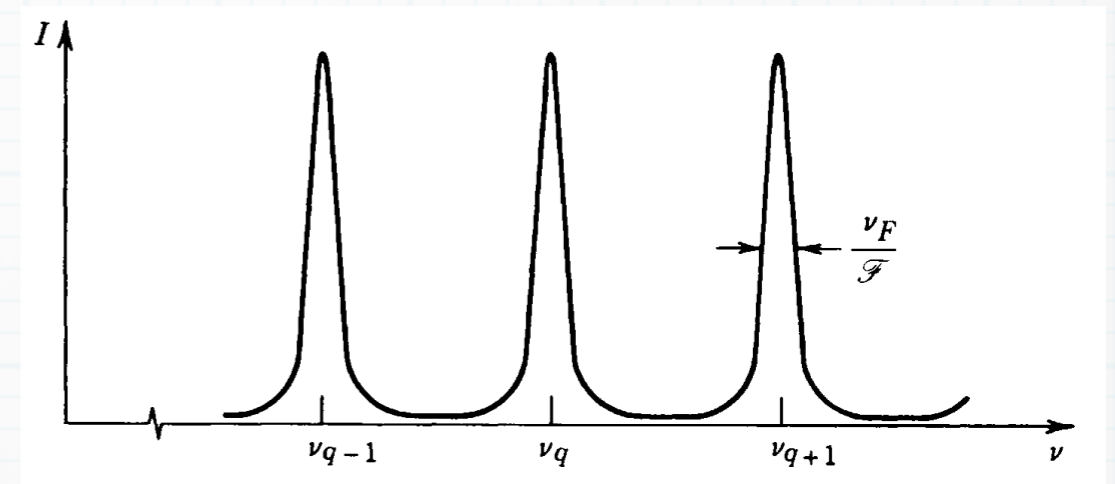
## Featured book



# Fabry Perot cavity



## Optical transmission



- **Smart design of the spacer provides**
  - **Low sensitivity to acceleration**
  - **Temperature compensation**
    - **ULE and Zerodur**
    - **Many materials (Si, Ge, ...) have natural turning point**
- **High Q is possible,  $\geq 10^{10}$  ( $\approx 10$  kHz optical bandwidth)**



# The JILA bicone spacer

March 15, 2007 / Vol. 32, No. 6 / OPTICS LETTERS 641

## Compact, thermal-noise-limited optical cavity for diode laser stabilization at $1 \times 10^{-15}$

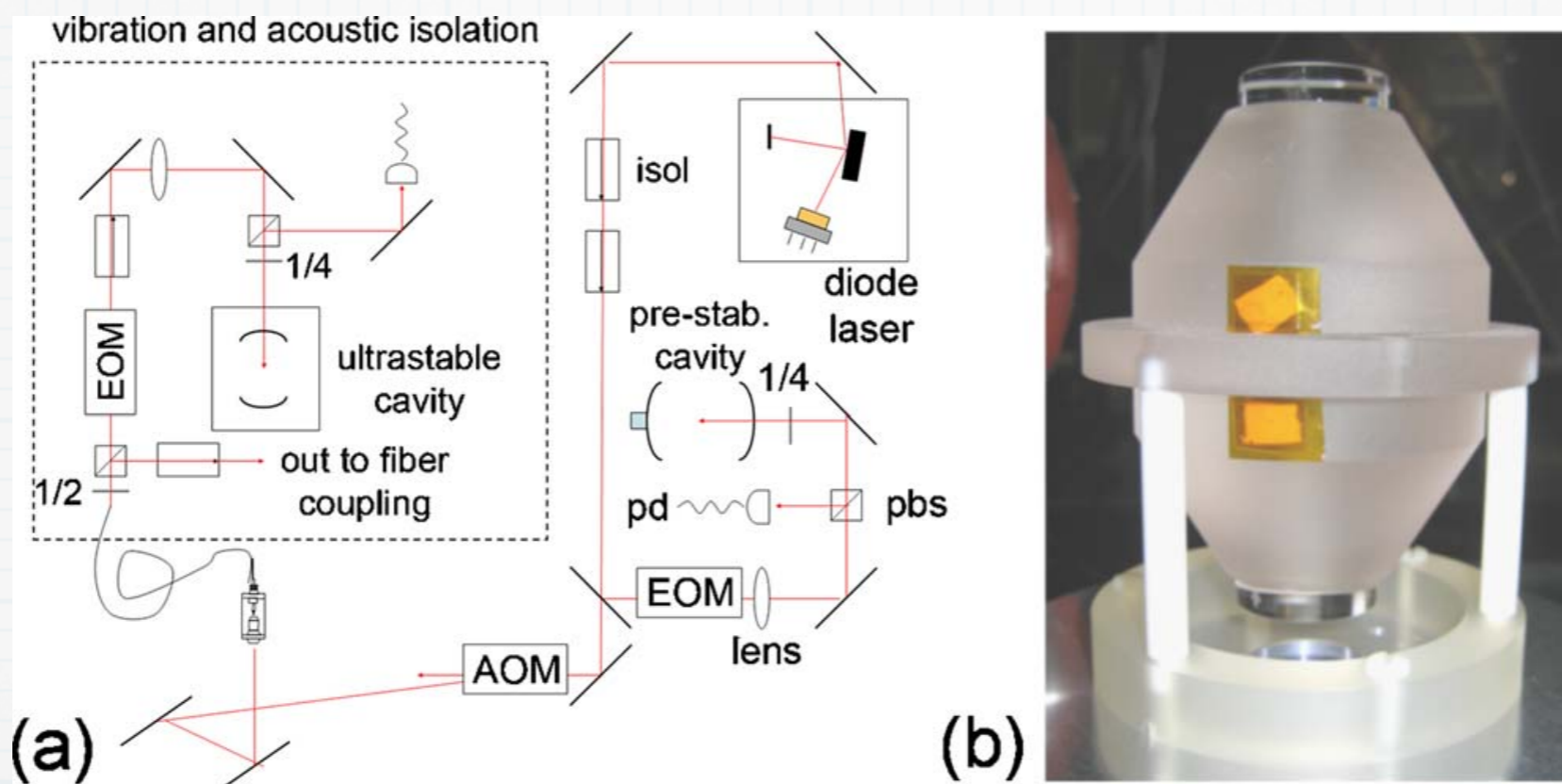
A. D. Ludlow, X. Huang,\* M. Notcutt, T. Zanon-Willette, S. M. Foreman, M. M. Boyd, S. Blatt, and J. Ye

*JILA, National Institute of Standards and Technology, and University of Colorado Department of Physics,  
University of Colorado, Boulder, Colorado 80309-0440, USA*

Received October 30, 2006; accepted November 25, 2006;  
posted December 20, 2006 (Doc. ID 76598); published February 15, 2007

We demonstrate phase and frequency stabilization of a diode laser at the thermal noise limit of a passive optical cavity. The system is compact and exploits a cavity design that reduces vibration sensitivity. The subhertz laser is characterized by comparison with a second independent system with similar fractional frequency stability ( $1 \times 10^{-15}$  at 1 s). The laser is further characterized by resolving a 2 Hz wide, ultranarrow optical clock transition in ultracold strontium. © 2007 Optical Society of America

OCIS codes: 140.2020, 030.1640, 300.6320.



# NIST spherical spacer

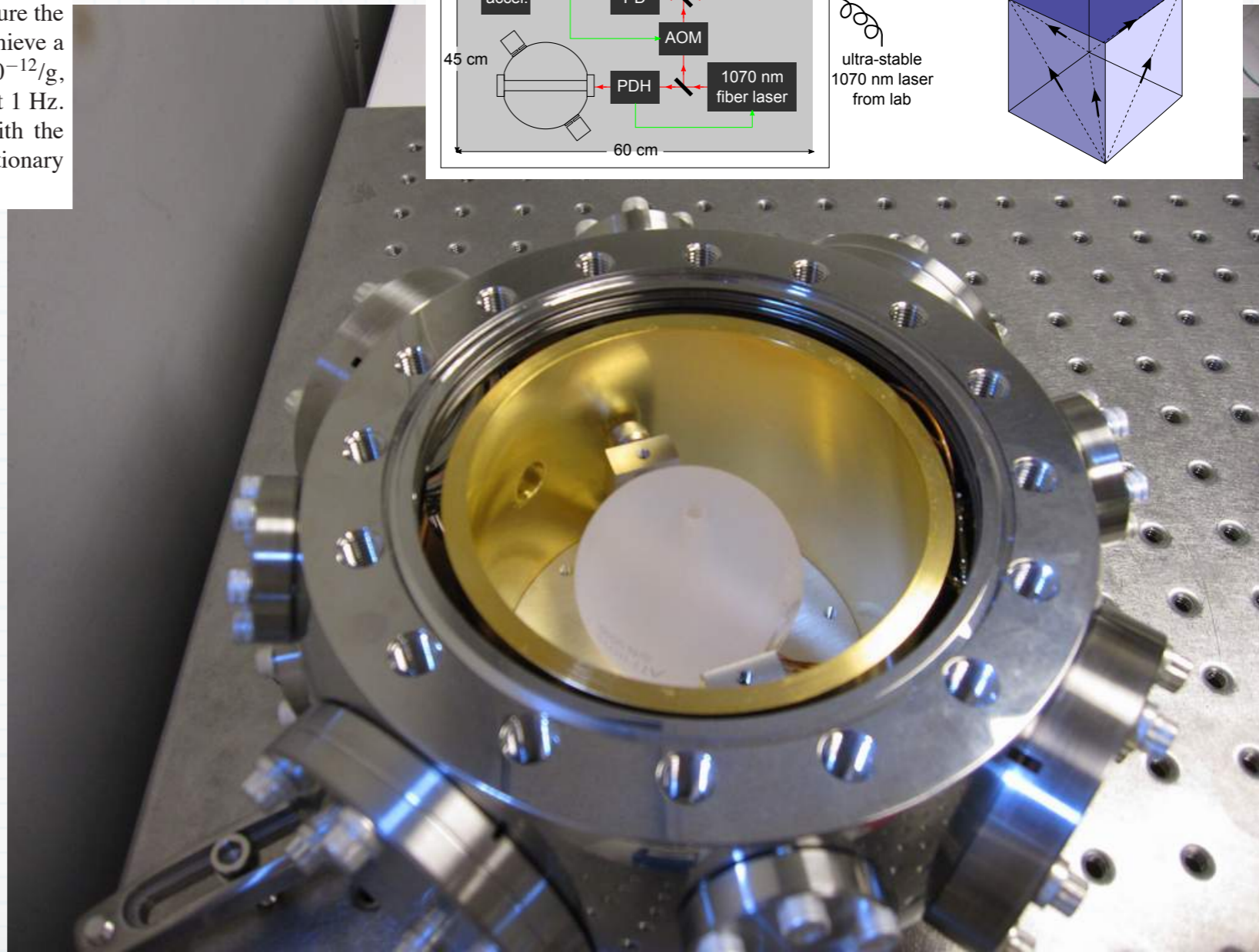
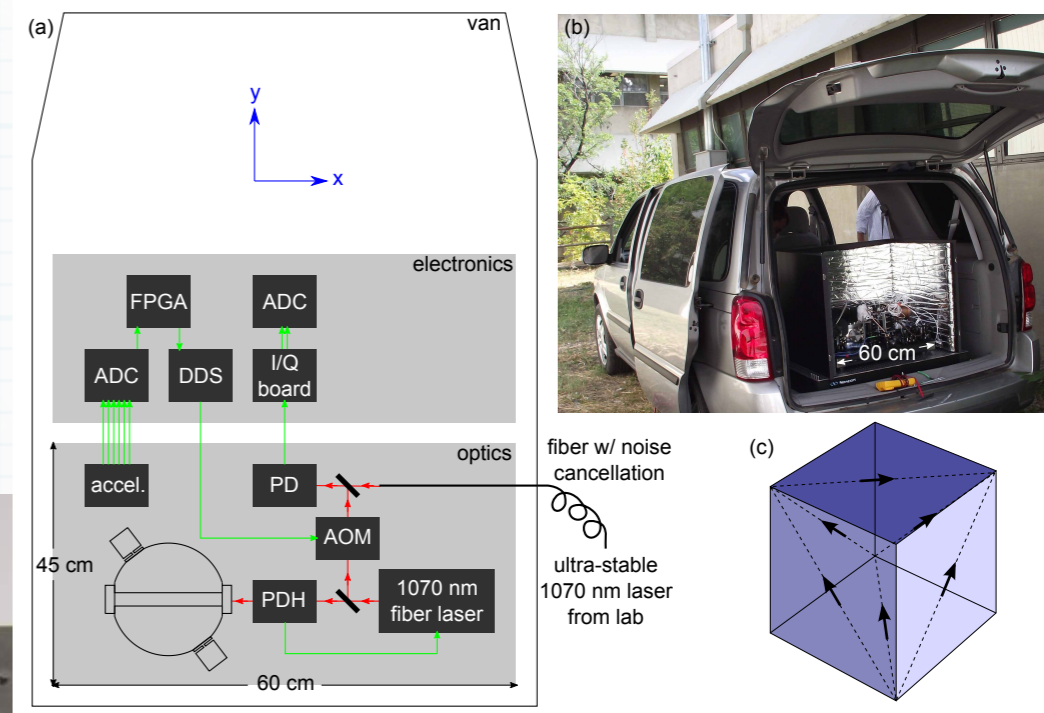
## Field-test of a robust, portable, frequency-stable laser

David R. Leibrandt,\* Michael J. Thorpe, James C. Bergquist, and Till Rosenband

National Institute of Standards and Technology, 325 Broadway Street, Boulder, Colorado 80305, USA

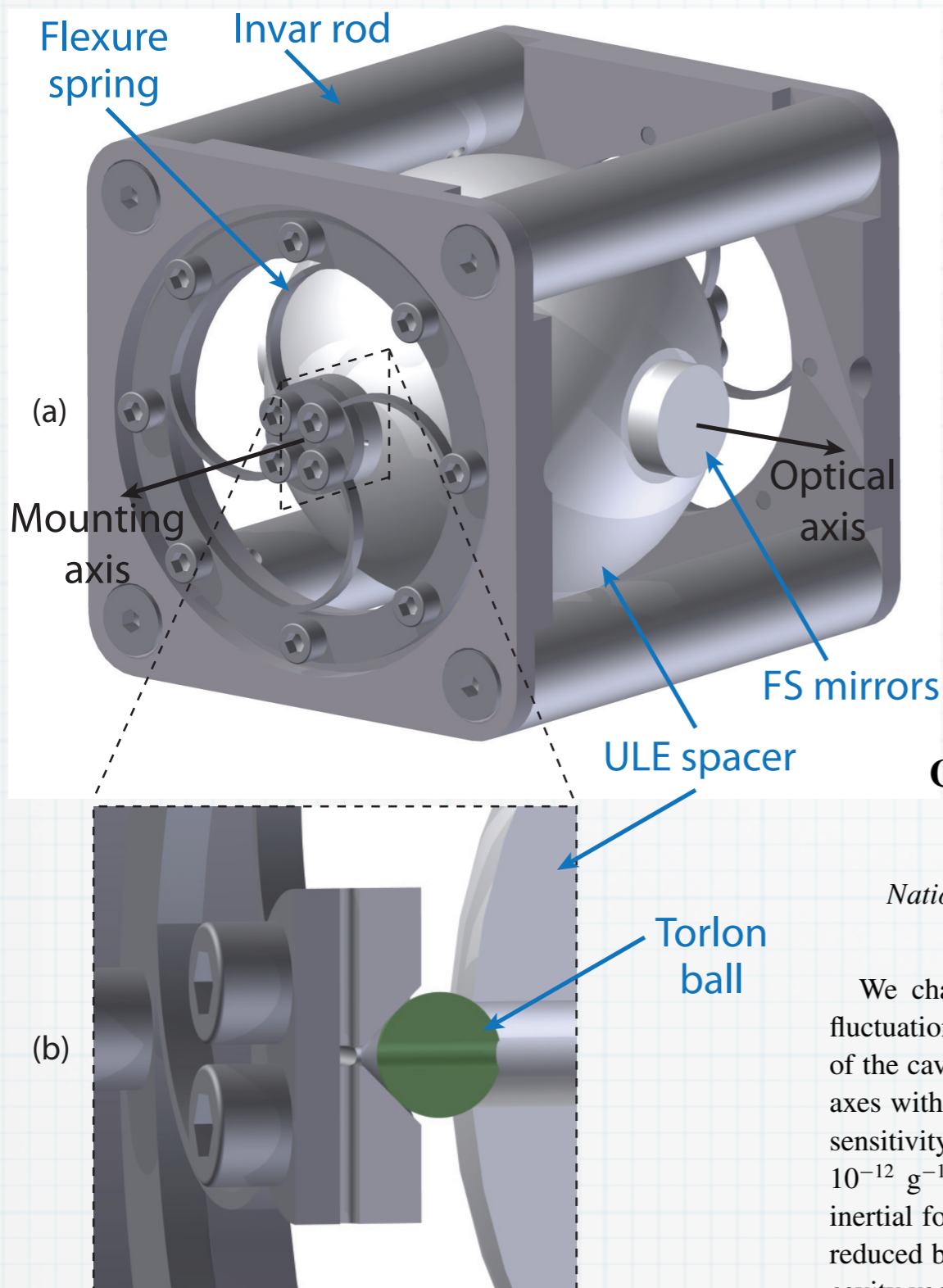
\*[david.leibrandt@nist.gov](mailto:david.leibrandt@nist.gov)

**Abstract:** We operate a frequency-stable laser in a non-laboratory environment where the test platform is a passenger vehicle. We measure the acceleration experienced by the laser and actively correct for it to achieve a system acceleration sensitivity of  $\Delta f/f = 11(2) \times 10^{-12}/g$ ,  $6(2) \times 10^{-12}/g$ , and  $4(1) \times 10^{-12}/g$  for accelerations in three orthogonal directions at 1 Hz. The acceleration spectrum and laser performance are evaluated with the vehicle both stationary and moving. The laser linewidth in the stationary vehicle with engine idling is 1.7(1) Hz.





# NIST improved spherical spacer



PHYSICAL REVIEW A **87**, 023829 (2013)

## Cavity-stabilized laser with acceleration sensitivity below $10^{-12} \text{ g}^{-1}$

David R. Leibrandt,<sup>\*</sup> James C. Bergquist, and Till Rosenband

*National Institute of Standards and Technology, 325 Broadway Street, Boulder, Colorado 80305, USA*

(Received 31 December 2012; published 21 February 2013)

We characterize the frequency sensitivity of a cavity-stabilized laser to inertial forces and temperature fluctuations, and perform real-time feedforward to correct for these sources of noise. We measure the sensitivity of the cavity to linear accelerations, rotational accelerations, and rotational velocities by rotating it about three axes with accelerometers and gyroscopes positioned around the cavity. The worst-direction linear acceleration sensitivity of the cavity is  $2(1) \times 10^{-11} \text{ g}^{-1}$  measured over 0–50 Hz, which is reduced by a factor of 50 to below  $10^{-12} \text{ g}^{-1}$  for low-frequency accelerations by real-time feedforward corrections of all of the aforementioned inertial forces. A similar idea is demonstrated in which laser frequency drift due to temperature fluctuations is reduced by a factor of 70 via real-time feedforward from a temperature sensor located on the outer wall of the cavity vacuum chamber.

DOI: [10.1103/PhysRevA.87.023829](https://doi.org/10.1103/PhysRevA.87.023829)

PACS number(s): 42.62.Eh, 42.60.Da, 46.40.–f, 07.07.Tw

# NPL horizontal cavity

PHYSICAL REVIEW A **75**, 011801(R) (2007)

## Vibration insensitive optical cavity

S. A. Webster, M. Oxborrow, and P. Gill

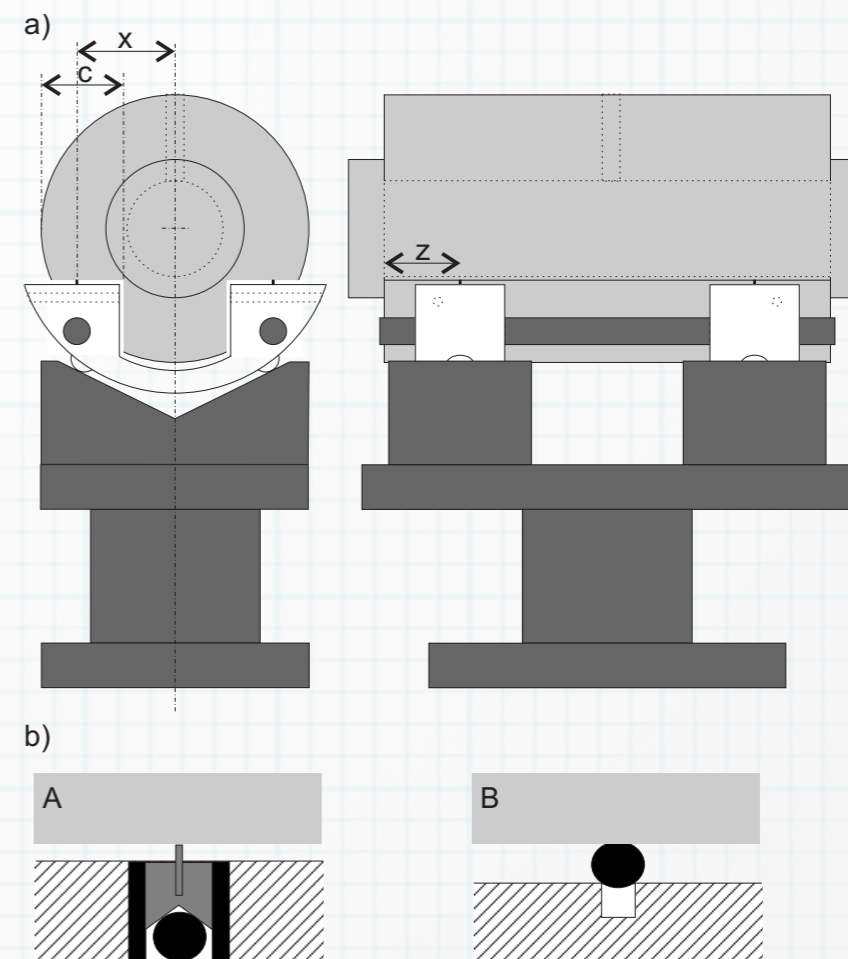
*National Physical Laboratory, Hampton Road, Teddington, Middlesex, TW11 0LW, United Kingdom*

(Received 31 October 2006; published 9 January 2007)

An optical cavity is designed and implemented that is insensitive to vibration in all directions. The cavity is mounted with its optical axis in the horizontal plane. A minimum response of 0.1 (3.7) kHz/ms<sup>-2</sup> is achieved for low-frequency vertical (horizontal) vibrations.

DOI: [10.1103/PhysRevA.75.011801](https://doi.org/10.1103/PhysRevA.75.011801)

PACS number(s): 42.60.Da, 07.60.Ly, 06.30.Ft



PHYSICAL REVIEW A **77**, 033847 (2008)

## Thermal-noise-limited optical cavity

S. A. Webster,<sup>1</sup> M. Oxborrow,<sup>1</sup> S. Pugla,<sup>2</sup> J. Millo,<sup>3</sup> and P. Gill<sup>1</sup>

<sup>1</sup>*National Physical Laboratory, Hampton Road, Teddington, Middlesex, TW11 0LW, United Kingdom*

<sup>2</sup>*Blackett Laboratory, Imperial College London, South Kensington Campus, London, SW7 2BZ, United Kingdom*

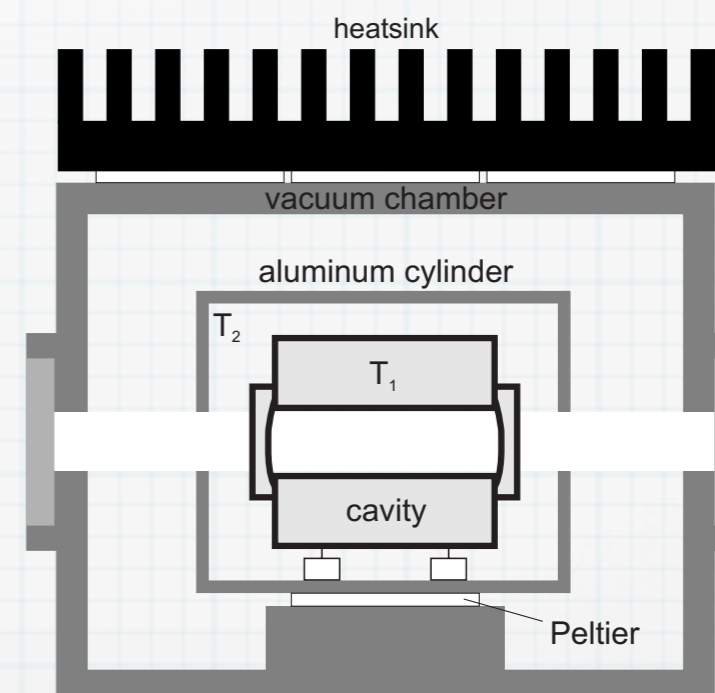
<sup>3</sup>*SYRTE, Observatoire de Paris, 61, Avenue de l'Observatoire, 75014, Paris, France*

(Received 31 October 2007; published 27 March 2008)

A pair of optical cavities are designed and set up so as to be insensitive to both temperature fluctuations and mechanical vibrations. With the influence of these perturbations removed, a fundamental limit to the frequency stability of the optical cavity is revealed. The stability of a laser locked to the cavity reaches a floor  $< 2 \times 10^{-15}$  for averaging times in the range 0.5–100 s. This limit is attributed to Brownian motion of the mirror substrates and coatings.

DOI: [10.1103/PhysRevA.77.033847](https://doi.org/10.1103/PhysRevA.77.033847)

PACS number(s): 42.60.Da, 07.60.Ly, 07.10.Fq, 06.30.Ft





# NPL small cubic cavity

## Force-insensitive optical cavity

Stephen Webster\* and Patrick Gill

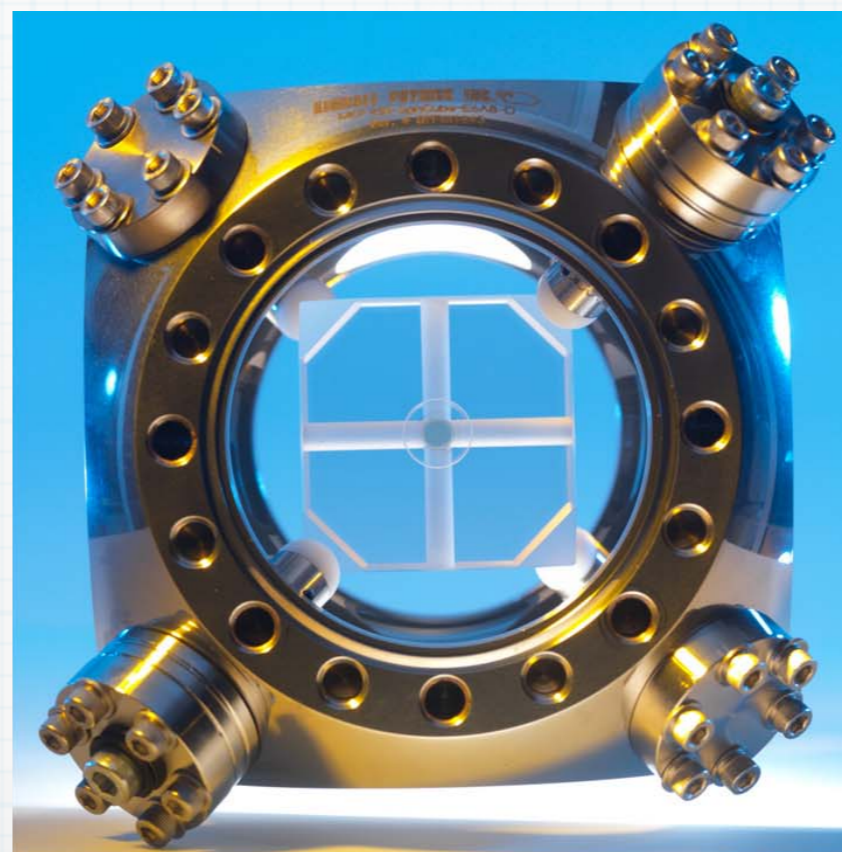
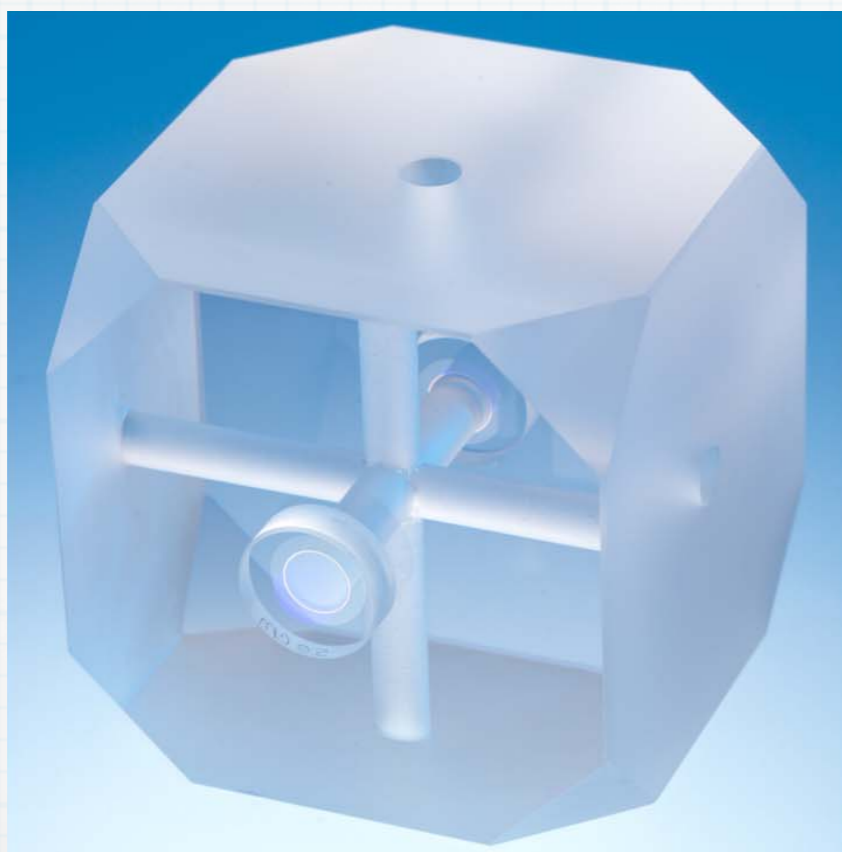
*National Physical Laboratory, Hampton Road, Teddington, Middlesex, TW11 0LW, UK*

*\*Corresponding author: [stephen.webster@npl.co.uk](mailto:stephen.webster@npl.co.uk)*

Received June 20, 2011; revised August 11, 2011; accepted August 11, 2011;  
posted August 12, 2011 (Doc. ID 149376); published September 9, 2011

We describe a rigidly mounted optical cavity that is insensitive to inertial forces acting in any direction and to the compressive force used to constrain it. The design is based on a cubic geometry with four supports placed symmetrically about the optical axis in a tetrahedral configuration. To measure the inertial force sensitivity, a laser is locked to the cavity while it is inverted about three orthogonal axes. The maximum acceleration sensitivity is  $2.5 \times 10^{-11}/g$  (where  $g = 9.81 \text{ ms}^{-2}$ ), the lowest passive sensitivity to be reported for an optical cavity. © 2011 Optical Society of America

*OCIS codes:* 140.4780, 140.3425, 120.3940, 120.6085.





# SYRTE horizontal cavity

PHYSICAL REVIEW A **79**, 053829 (2009)

## Ultrastable lasers based on vibration insensitive cavities

J. Millo, D. V. Magalhães, C. Mandache, Y. Le Coq, E. M. L. English,\* P. G. Westergaard, J. Lodewyck, S. Bize, P. Lemonde, and G. Santarelli

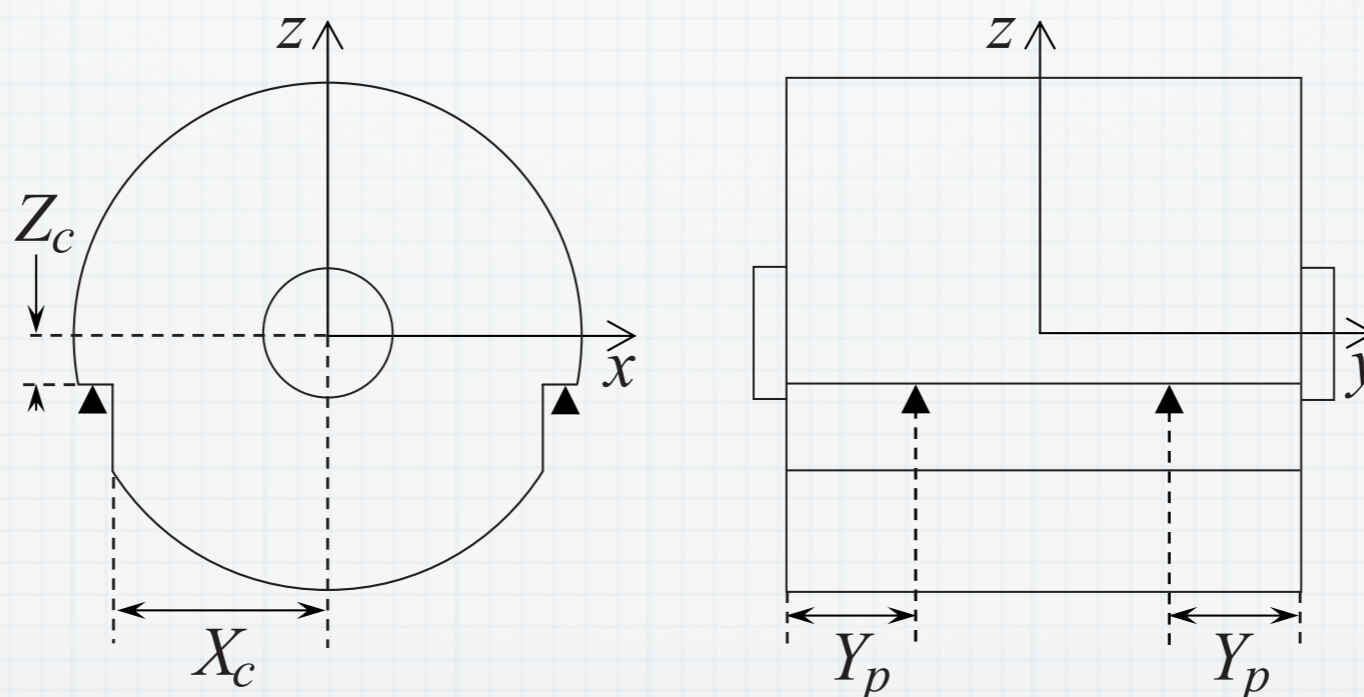
*LNE-SYRTE, Observatoire de Paris, CNRS, UPMC, 61 Avenue de l'Observatoire, 75014 Paris, France*

(Received 5 February 2009; published 18 May 2009)

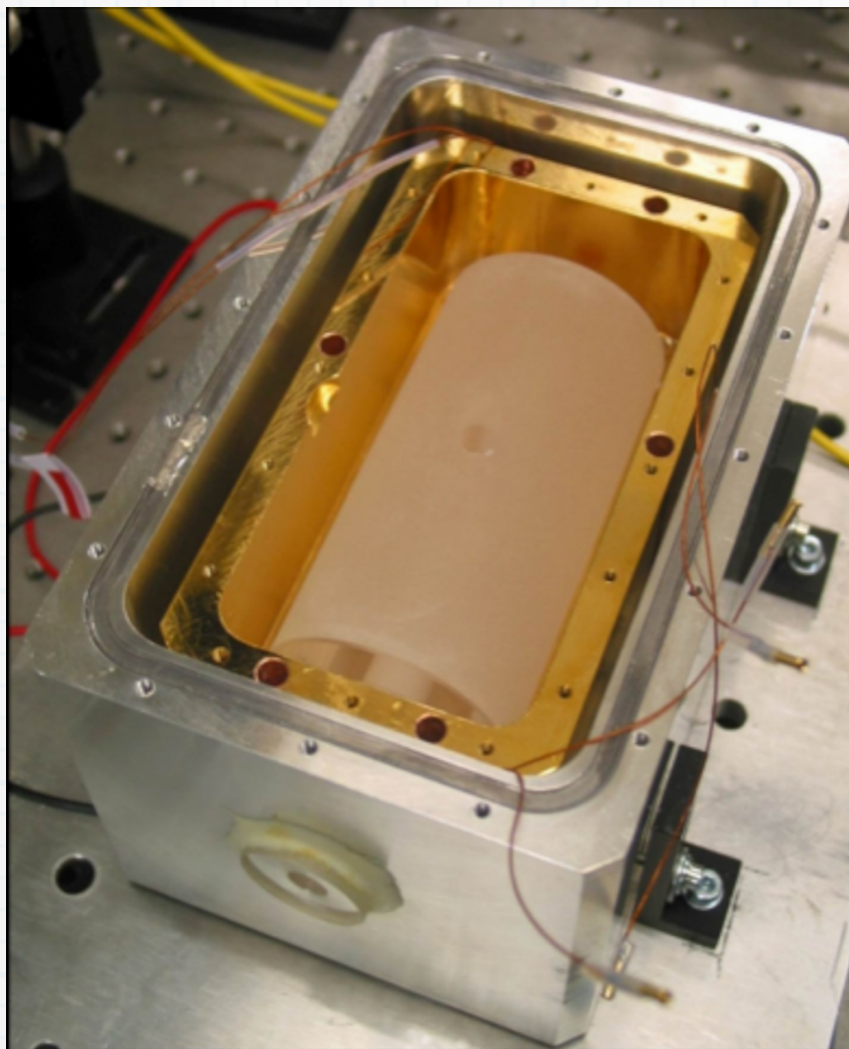
We present two ultrastable lasers based on two vibration insensitive cavity designs, one with vertical optical axis geometry, the other horizontal. Ultrastable cavities are constructed with fused silica mirror substrates, shown to decrease the thermal noise limit, in order to improve the frequency stability over previous designs. Vibration sensitivity components measured are equal to or better than  $1.5 \times 10^{-11}/\text{m s}^{-2}$  for each spatial direction, which shows significant improvement over previous studies. We have tested the very low dependence on the position of the cavity support points, in order to establish that our designs eliminate the need for fine tuning to achieve extremely low vibration sensitivity. Relative frequency measurements show that at least one of the stabilized lasers has a stability better than  $5.6 \times 10^{-16}$  at 1 s, which is the best result obtained for this length of cavity.

DOI: [10.1103/PhysRevA.79.053829](https://doi.org/10.1103/PhysRevA.79.053829)

PACS number(s): 42.60.Da, 07.60.Ly, 42.62.Fi



# PTB transportable laser



## Demonstration of a Transportable 1 Hz- Linewidth Laser

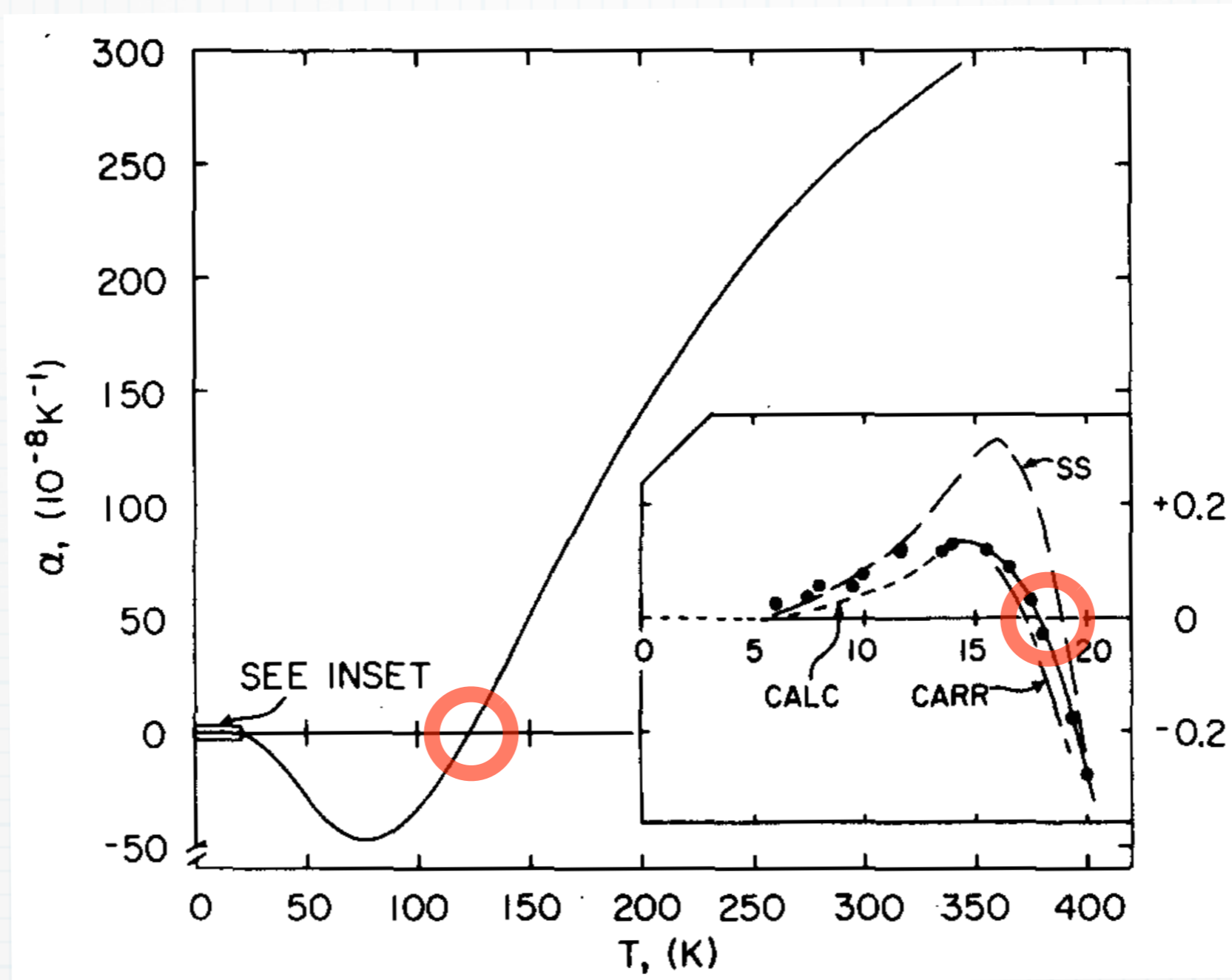
Stefan Vogt, Christian Lisdat, Thomas Legero, Uwe Sterr, Ingo Ernsting, Alexander Nevsky, Stephan Schiller

APPLIED PHYSICS B: LASERS AND OPTICS  
Volume 104, Number 4, 741-745, DOI: 10.1007/  
s00340-011-4652-7



# Si has zero expansion at 17 K and 124 K

T = 124 K → T. Kessler & al., PTB / QUEST - Proc. 2011 IFCS



K. G Lyon & al, Linear thermal expansion measurements on silicon from 6 to 340 K - J Appl. Phys 48(3) p.865, 1977

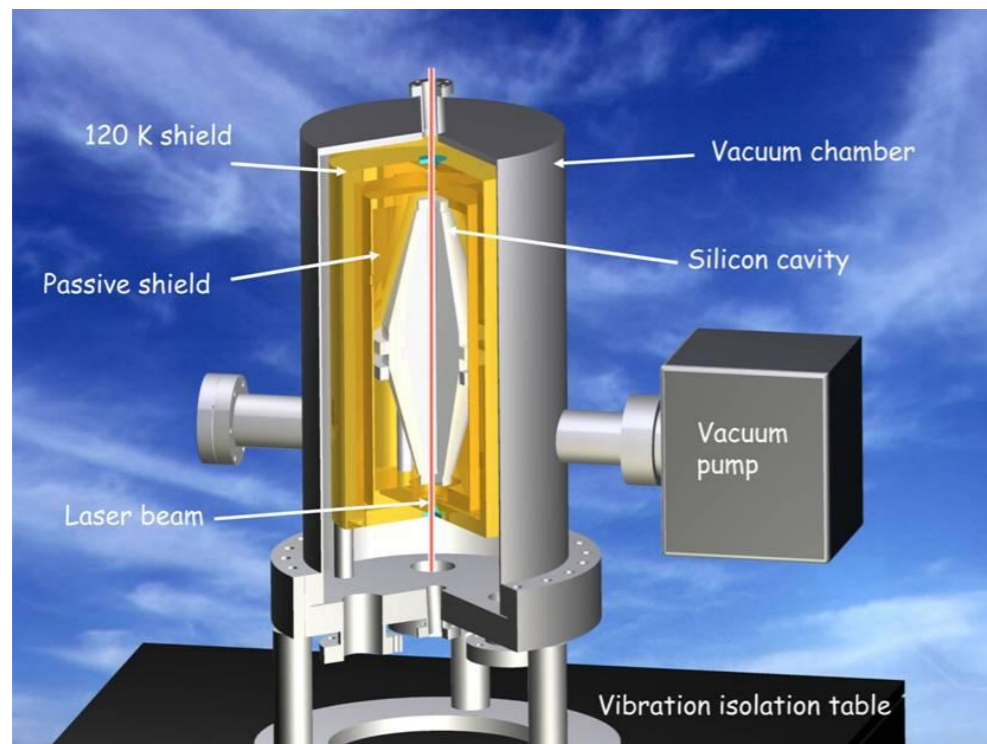
Swenson CA - Recommended values for the thermal expansivity of Silicon from 0 to 1000 K - JPCRD 12(2), 1983

# PTB 124-K Si cavity

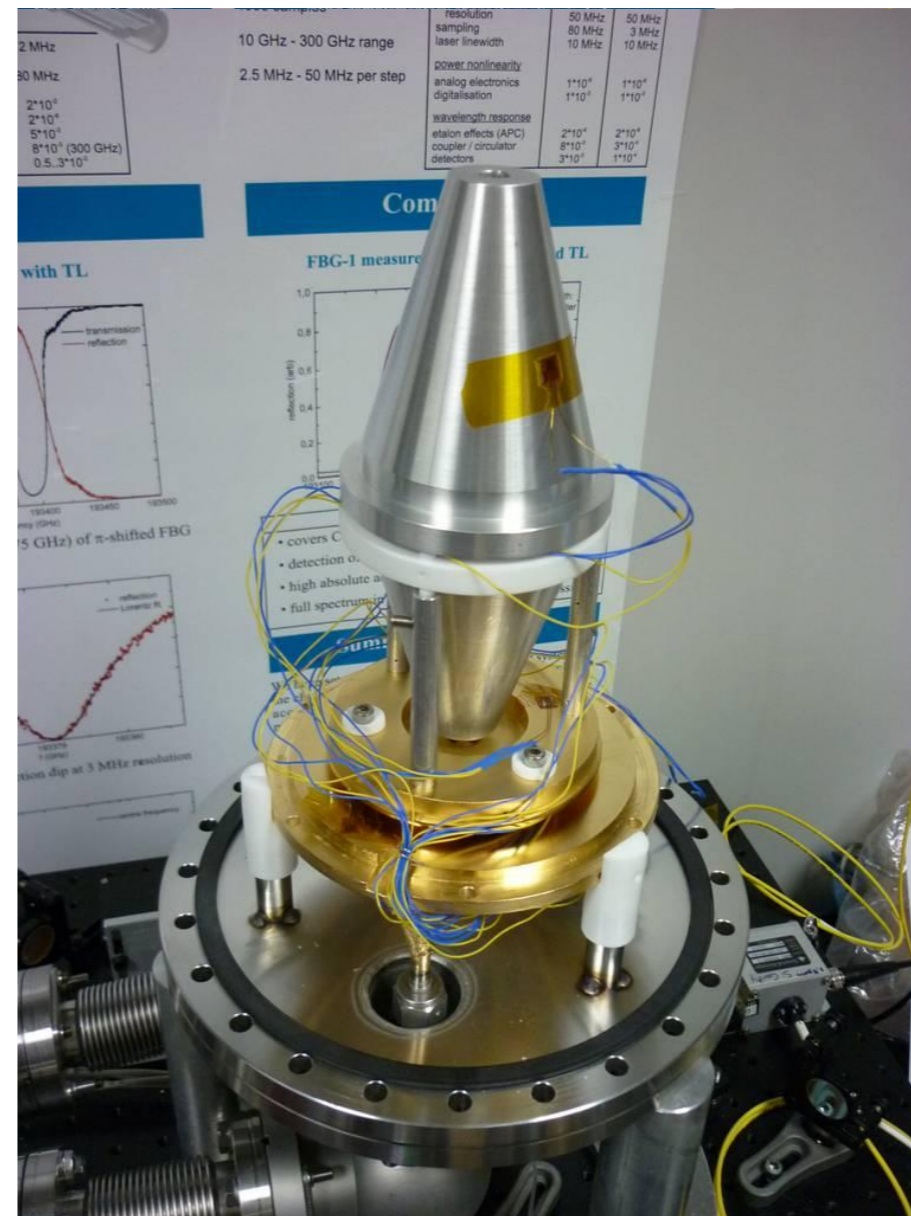
Christian Hagemann

QUEST - Centre for Quantum Engineering and Space-Time Research

## Experimental setup



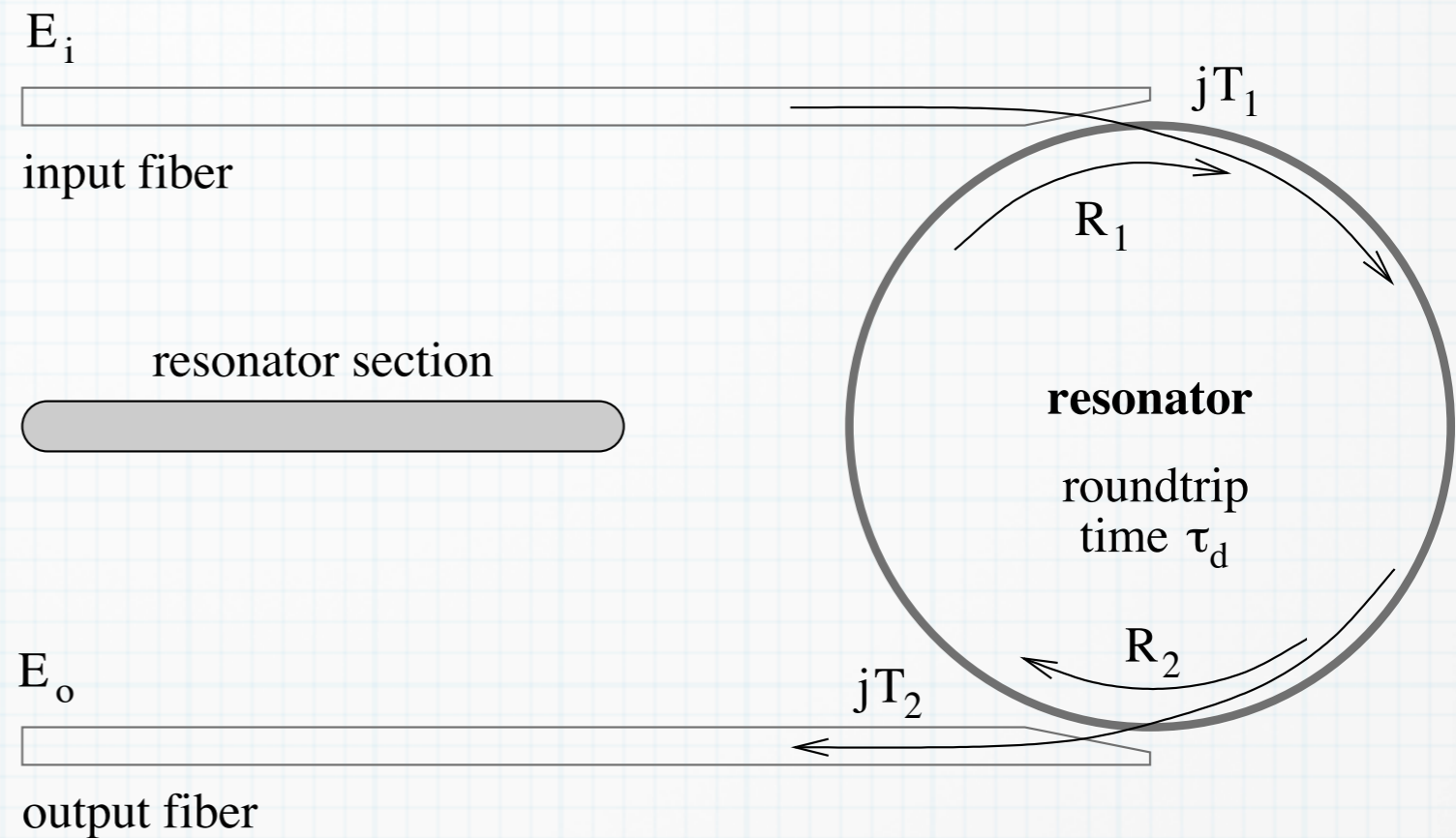
**Silicon cavity is thermally isolated by two gold-plated copper shields.**



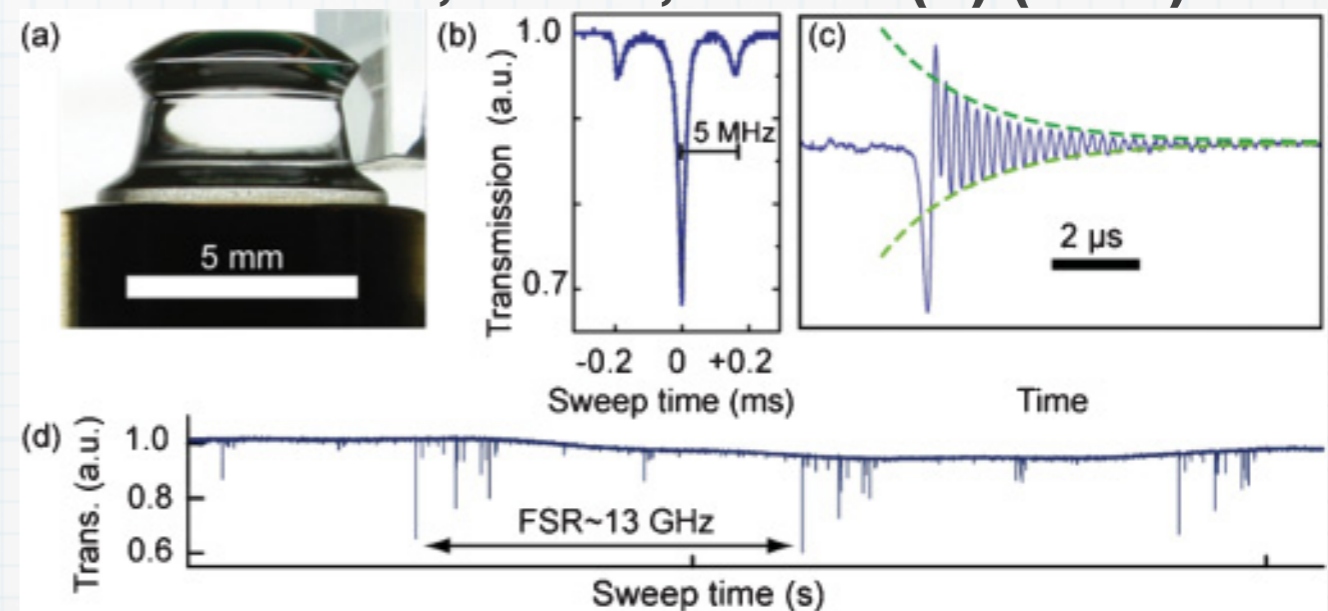


# Optical whispering gallery

- Pioneering work by Braginsky (Moscow)
- Made popular by Maleki and Ilchenko (JPL/OEwaves)
- Similar to a Fabry Perot
- $Q = 10^9 \dots 10^{11}$  has been reported
- Poor power handling
- Temperature compensation is challenging

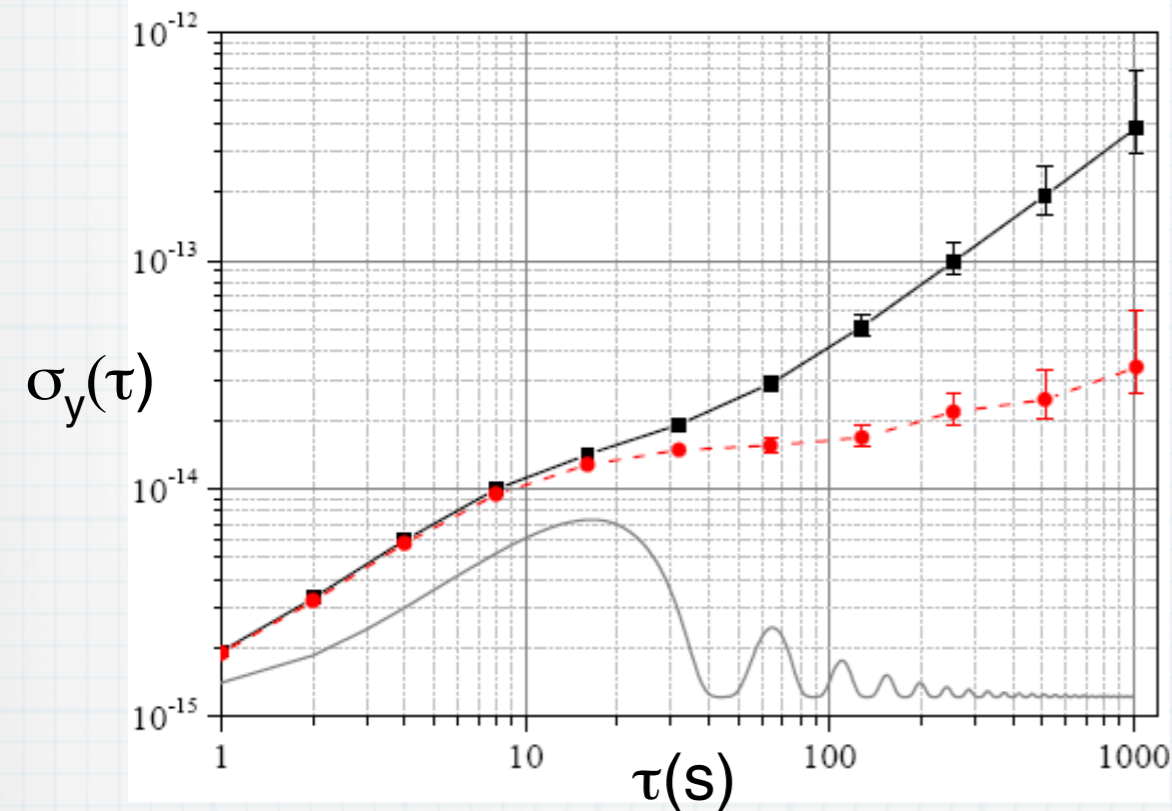
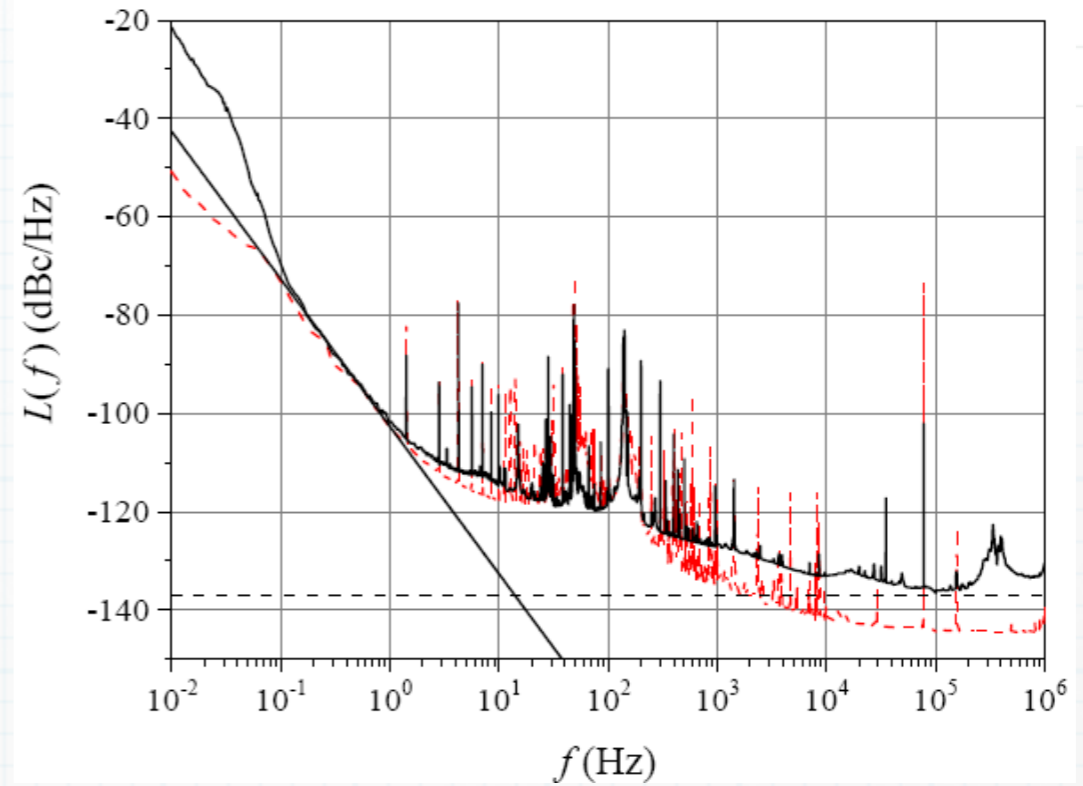
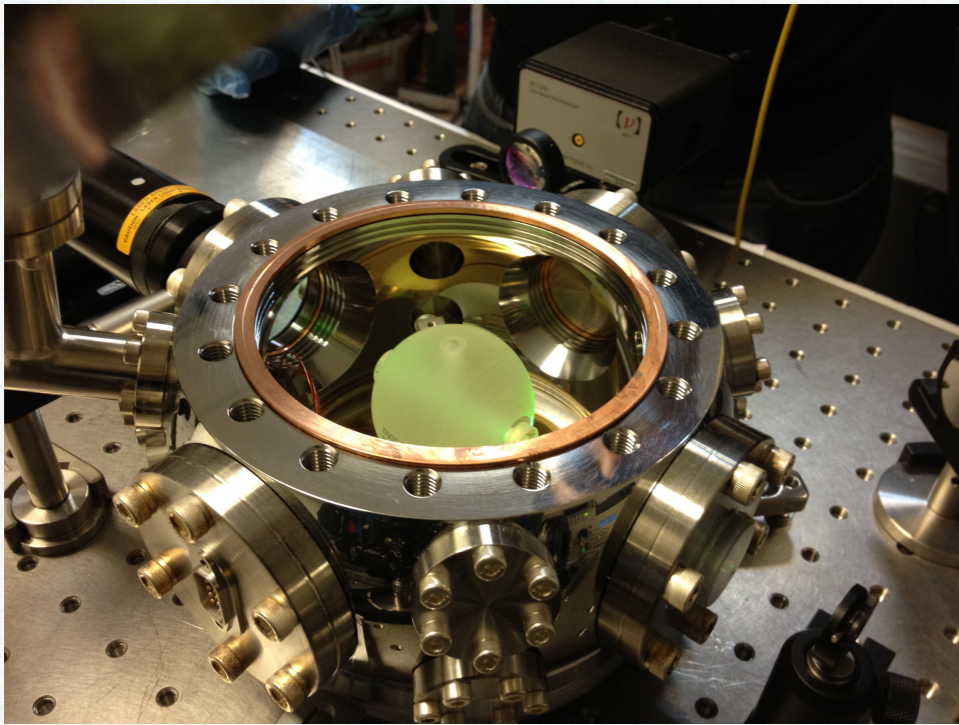


Alnis & al., PRA 4, 011804(R) (2011)



# Spherical FP Etalon

Target :  $\sigma_y(\tau) \approx 8 \times 10^{-16}$



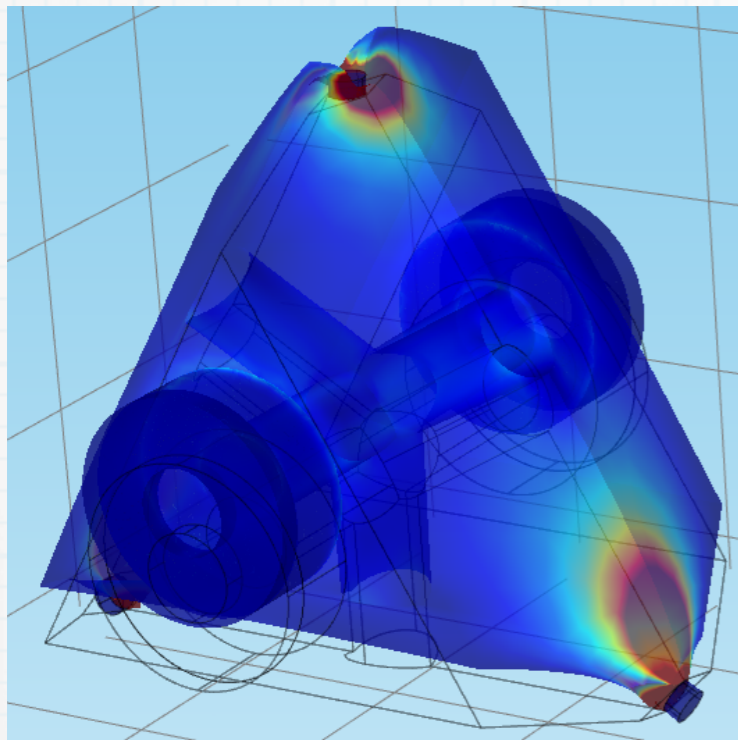
*Phase noise : -104 dBc/Hz state of the art*

*Frequency instability limited by the lab temperature fluctuations*



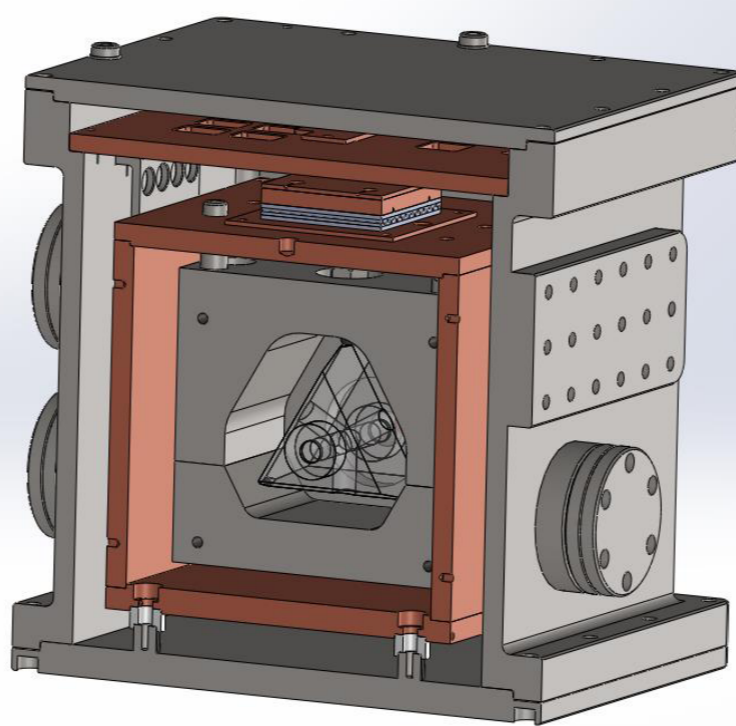
# Compact FP Etalon

Target :  $\sigma_y(\tau) \approx 2 \cdot 10^{-15}$



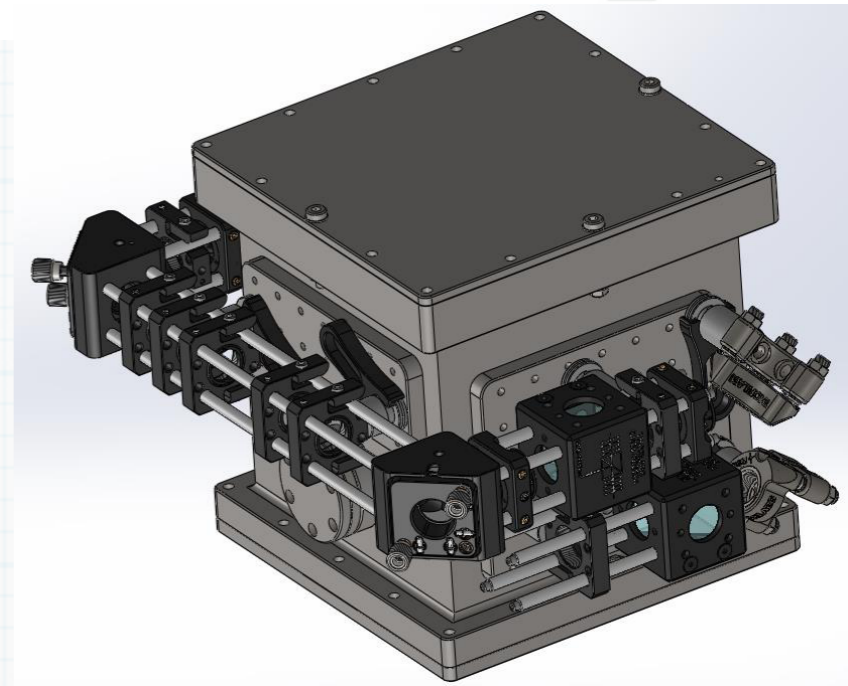
Design and machining completed

- Two options for mirrors
- classical
- crystal



Design of vacuum chamber and thermal isolation completed

Machining will be done end November



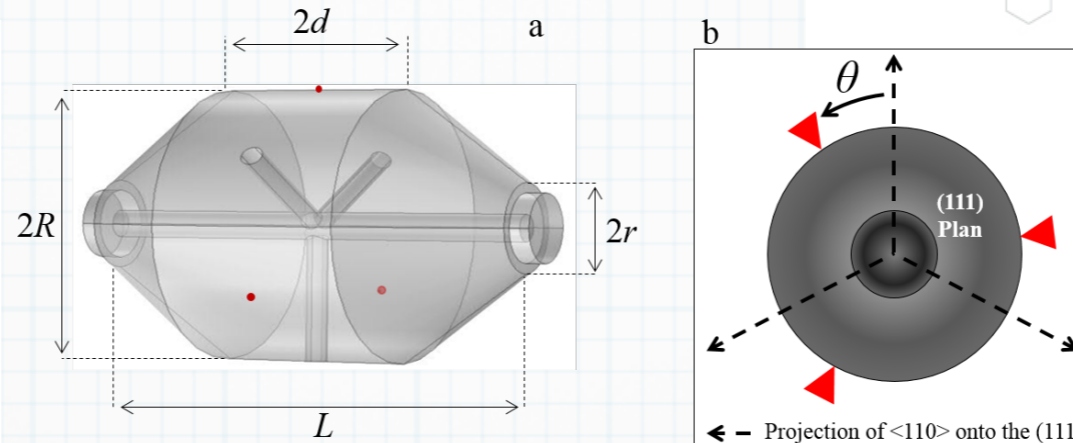
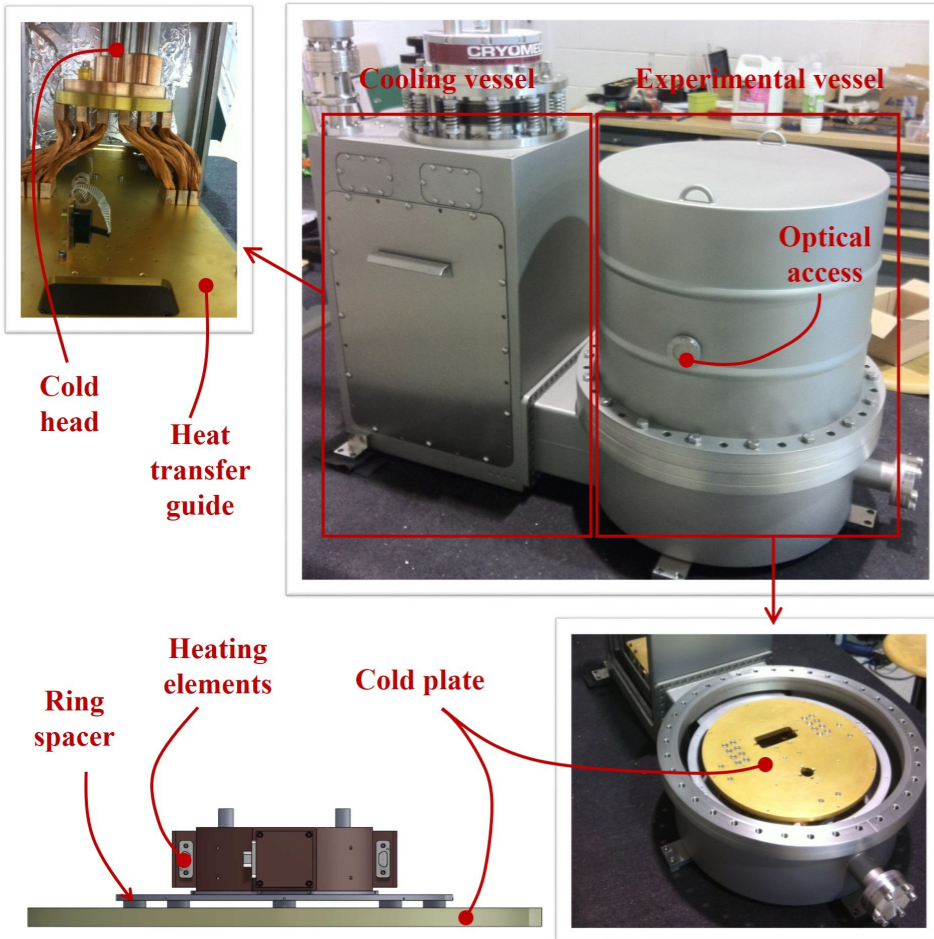
Light injection in the cavity  
 To be tested...

*First experiments end of 2014*

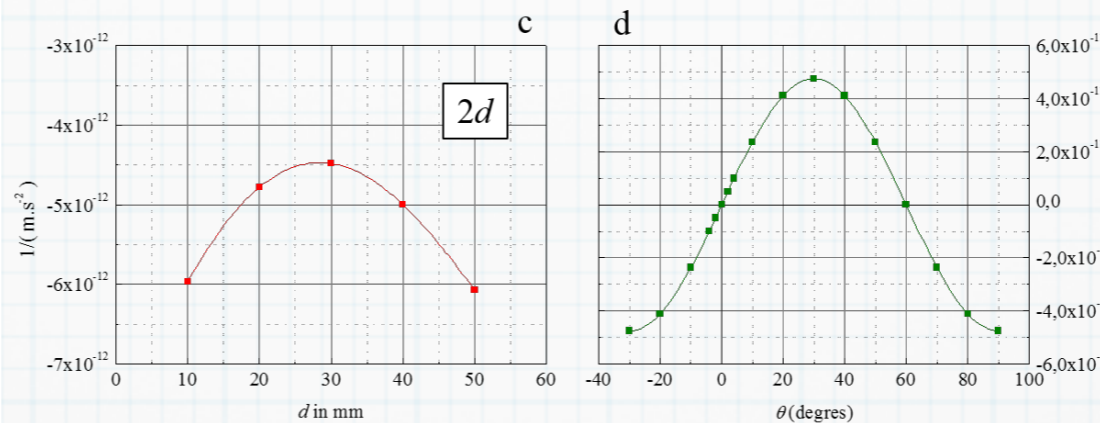
# Silicon FP Etalon

(Also Région FC, 70 k€)

Target :  $\sigma_y(\tau) \approx 3 \times 10^{-17}$



Relative frequency sensitivity to vibrations less than  $4 \cdot 10^{-12} / \text{m} \cdot \text{s}^{-2}$



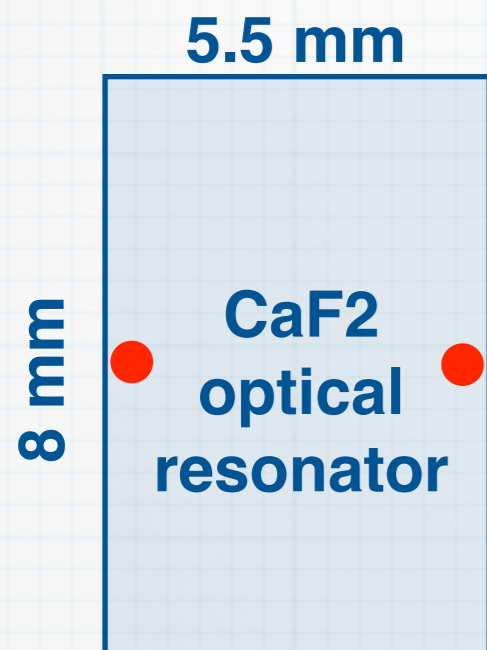
Cavity

Low vibrations cryocooler: displacement less than 40 nm

Temperature instability less than  $100 \mu\text{K}$



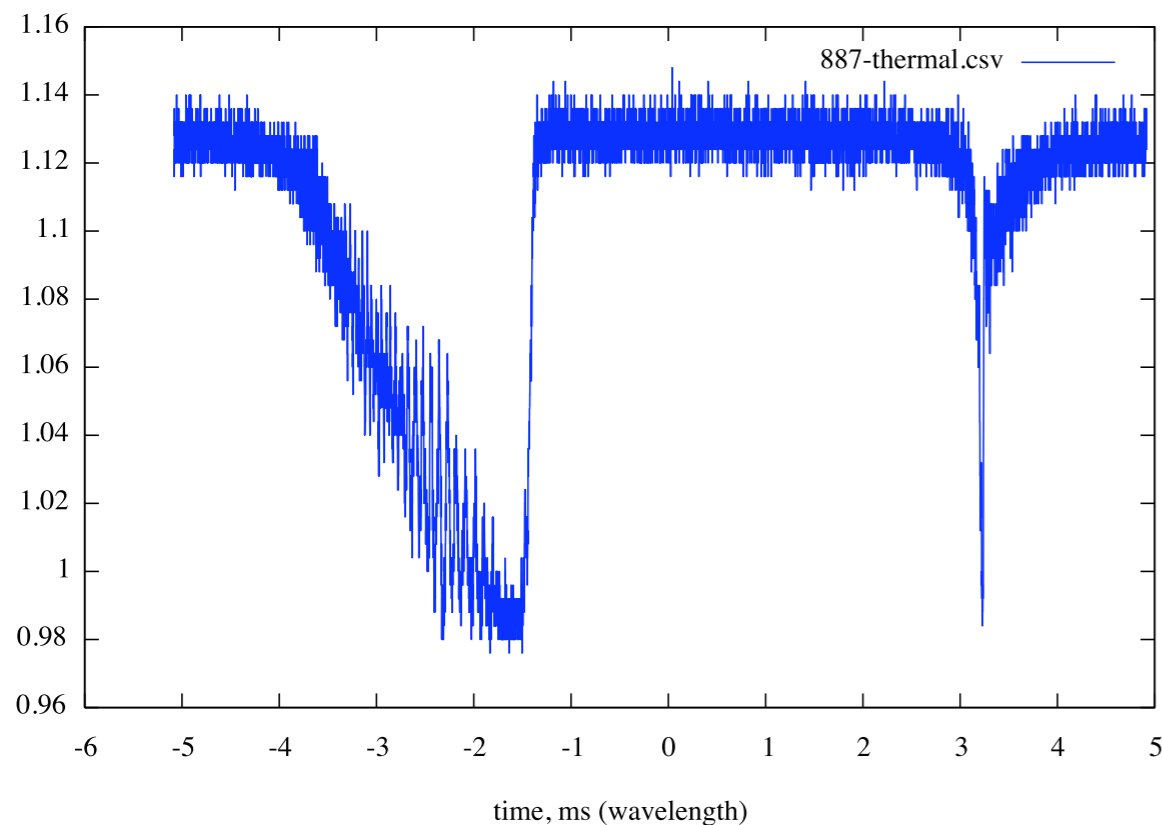
# Thermal effect on frequency



- wavelength 1.56  $\mu\text{m}$  ( $\nu_0=192$  THz)
- $Q=5 \times 10^9 \rightarrow \text{BW}=40$  kHz
- a power of 300  $\mu\text{W}$  shifts the resonant frequency by 1.2 MHz ( $6 \times 10^{-9}$ ), i.e., 37.5 x BW
- time scale about 60  $\mu\text{s}$
- [ $Q = 6 \times 10^{10}$  demonstrated with CaF2 (I. Grudinin)]

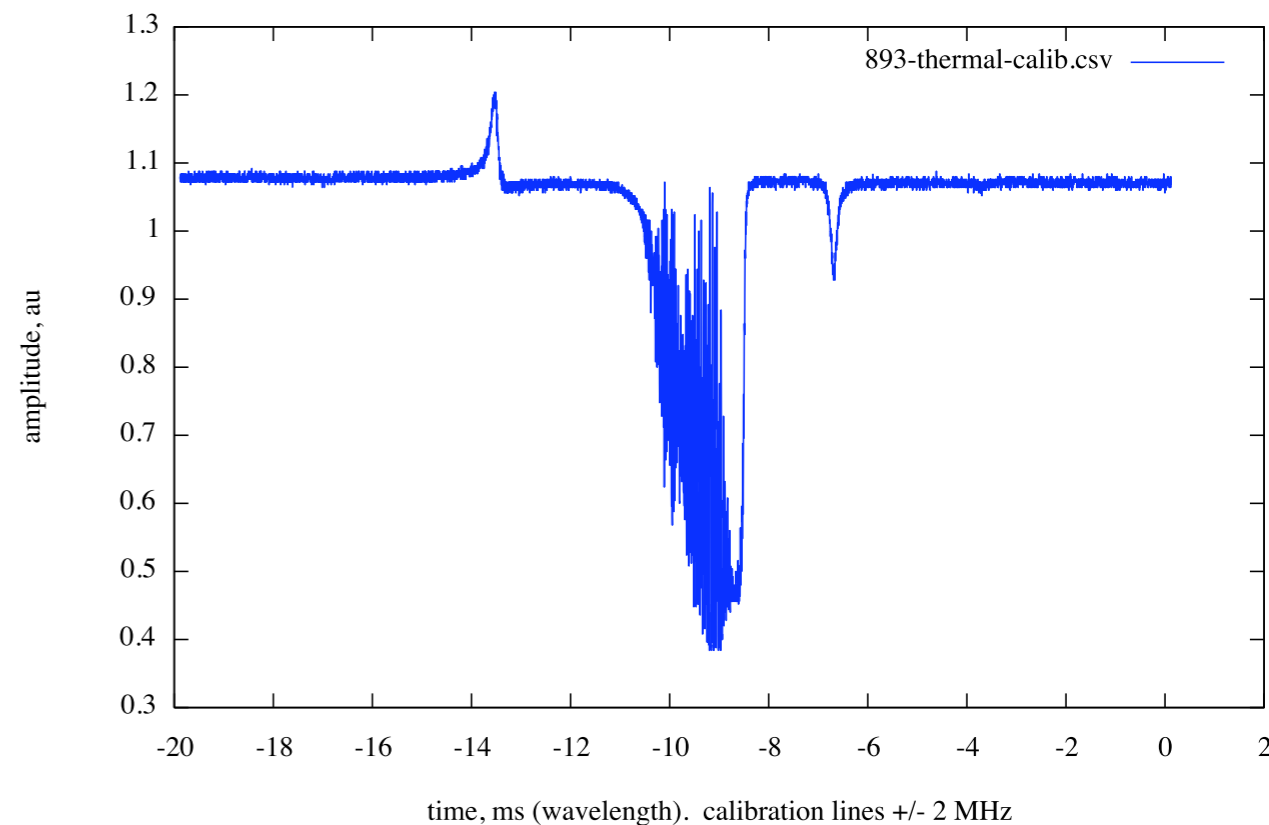
laser scan

Thermal effect in a CaF2 resonator

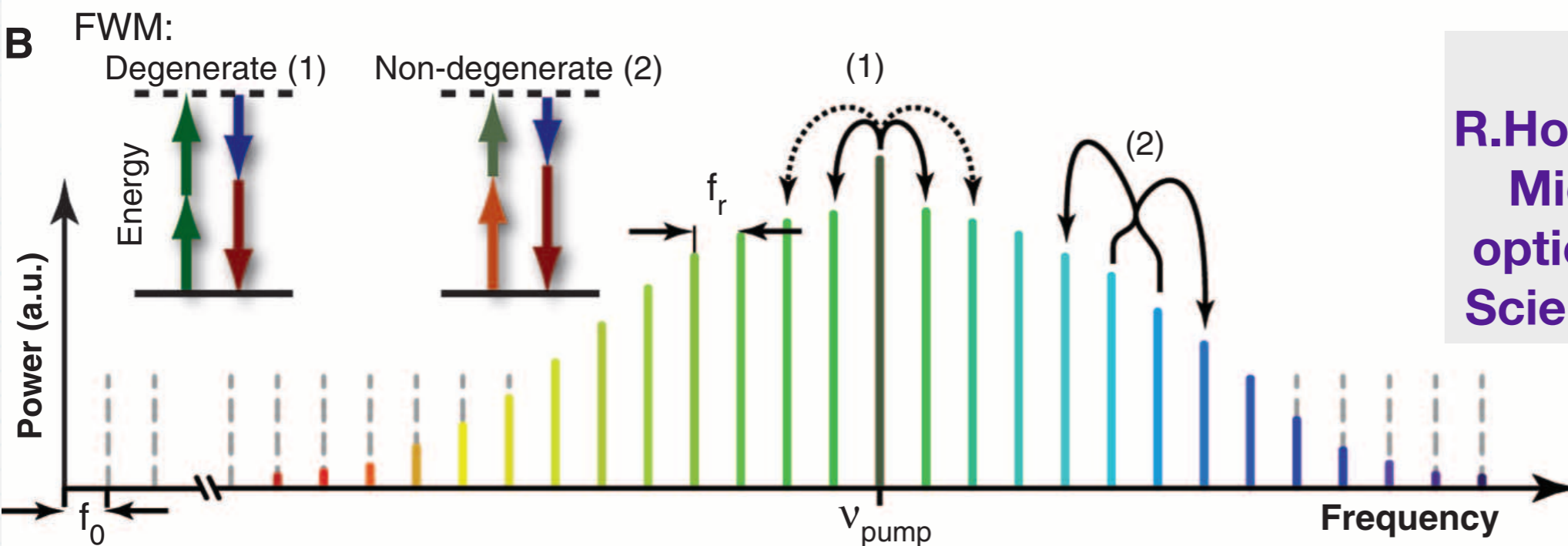
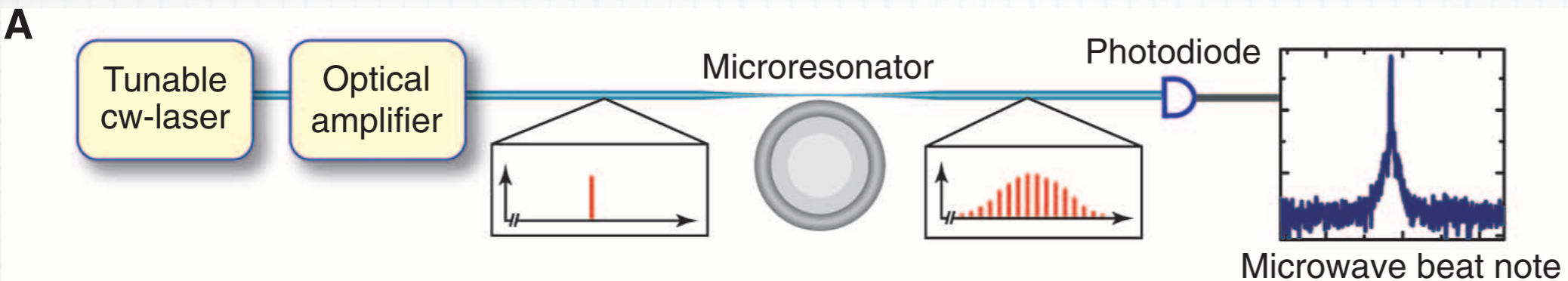


calibration (2 MHz phase modulation)

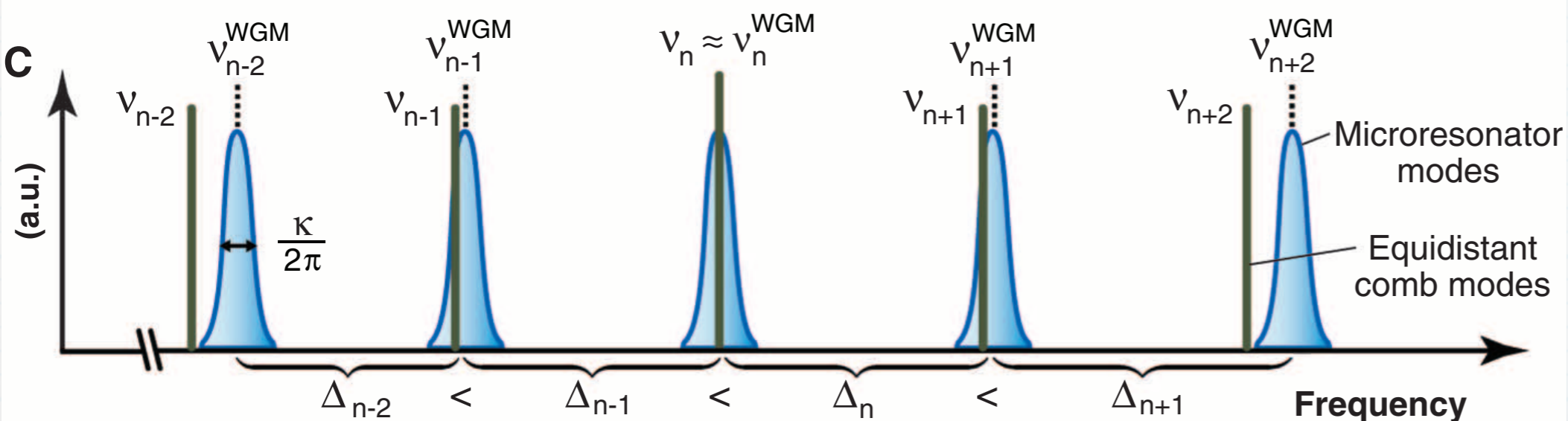
Thermal effect in a CaF2 resonator (calibration)



# Extreme non-linearity



**T.J.Kippenberg,  
R.Holzwarth, S.A.Diddams,  
Microresonator-based  
optical frequency combs,  
Science 332:555, Apr.2011**





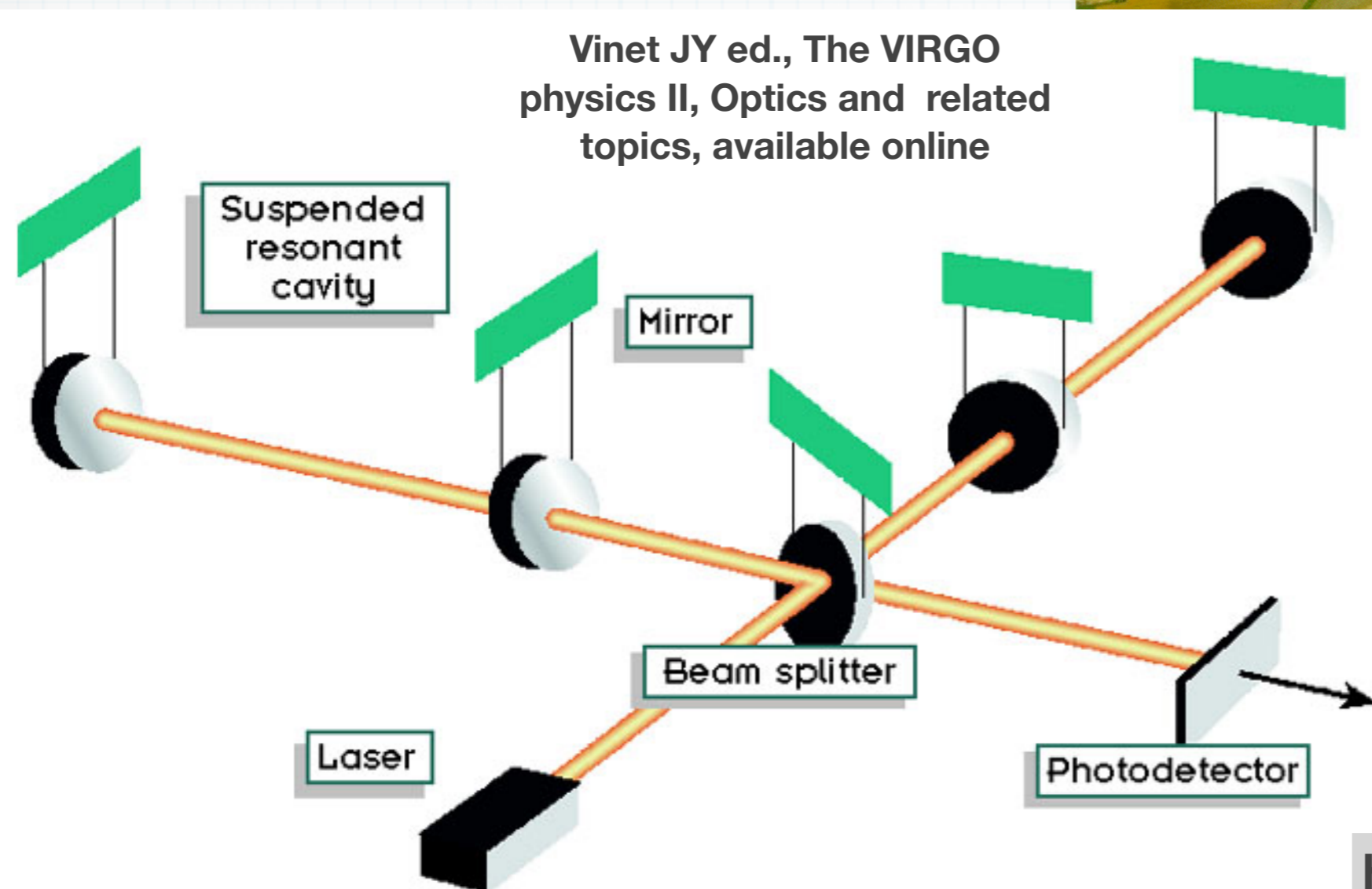
# VIRGO – Gravitational waves

- Large Michelson interferometers detect the space-time fluctuations
- PDH control is used to lock ultra-stable lasers to the interferometer

Cascina, Pisa, Italy



Vinet JY ed., The VIRGO physics II, Optics and related topics, available online





# Lorentz invariance

PHYSICAL REVIEW D **80**, 105011 (2009)

## Rotating optical cavity experiment testing Lorentz invariance at the $10^{-17}$ level

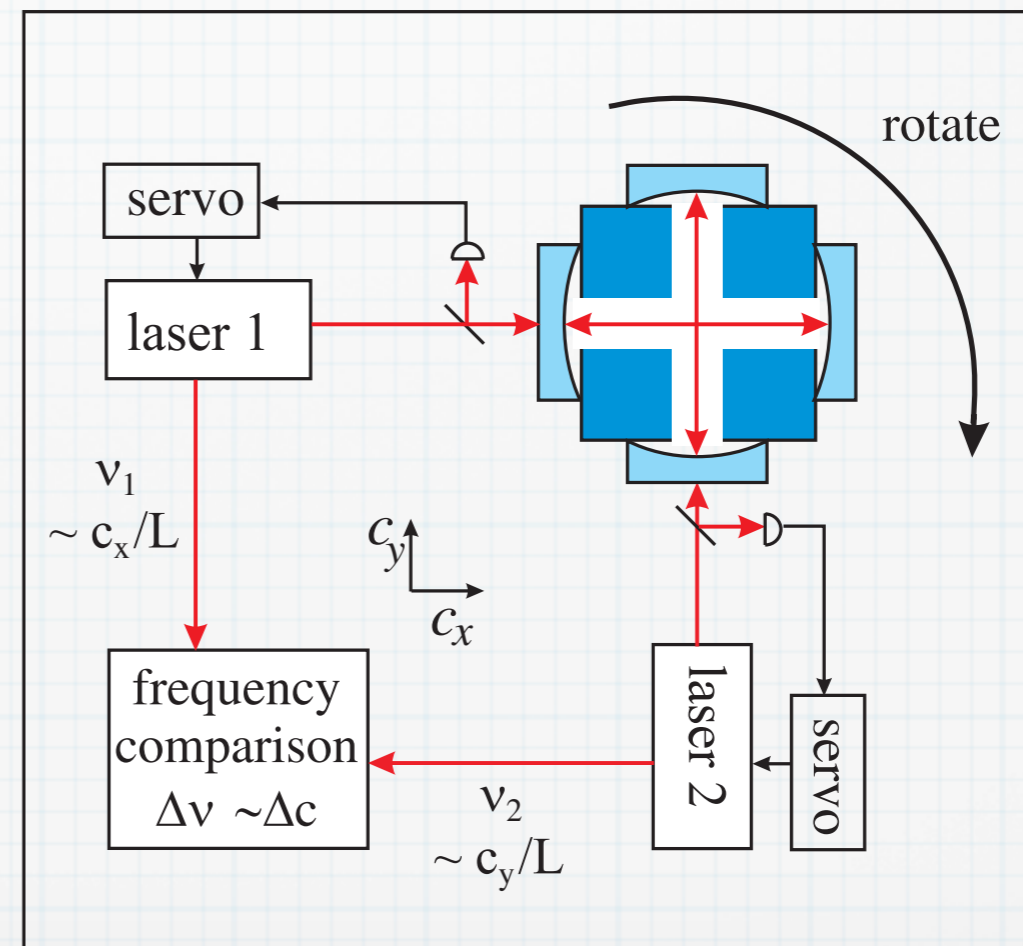
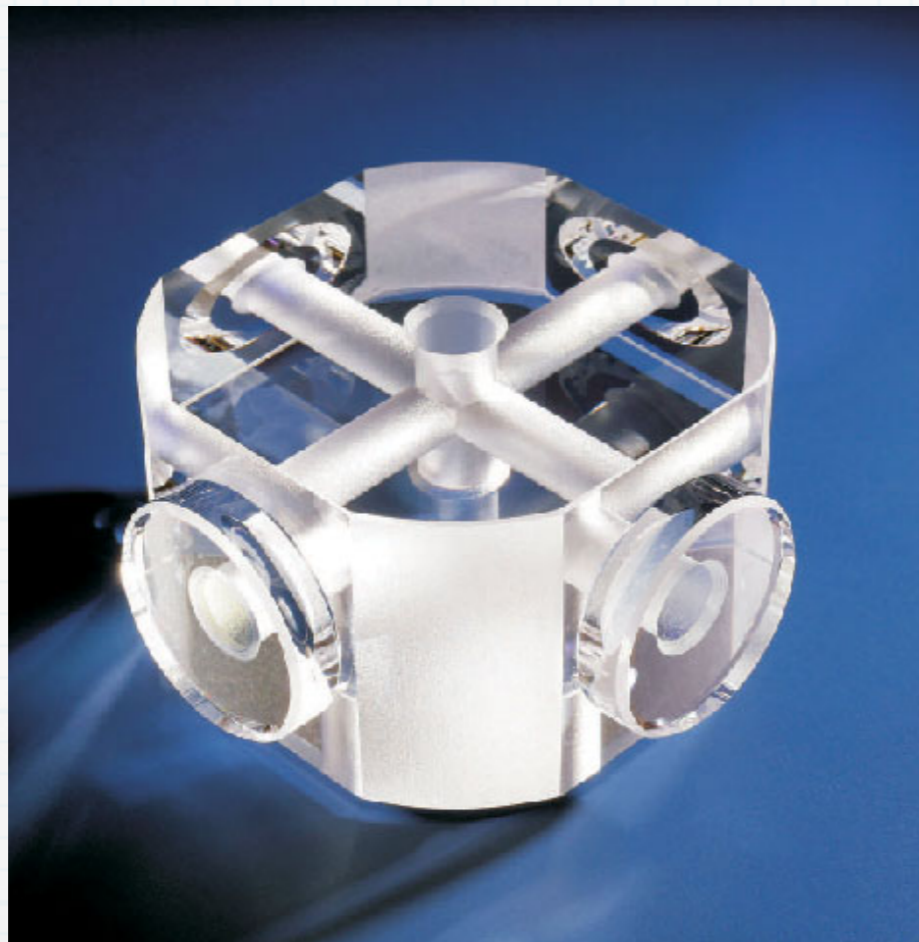
S. Herrmann,<sup>1,2</sup> A. Senger,<sup>1</sup> K. Möhle,<sup>1</sup> M. Nagel,<sup>1</sup> E. V. Kovalchuk,<sup>1</sup> and A. Peters<sup>1</sup>

<sup>1</sup>*Institut für Physik, Humboldt-Universität zu Berlin, Hausvogteiplatz 5-7, 10117 Berlin*

<sup>2</sup>*ZARM, Universität Bremen, Am Fallturm 1, 28359 Bremen*

(Received 10 August 2009; published 12 November 2009)

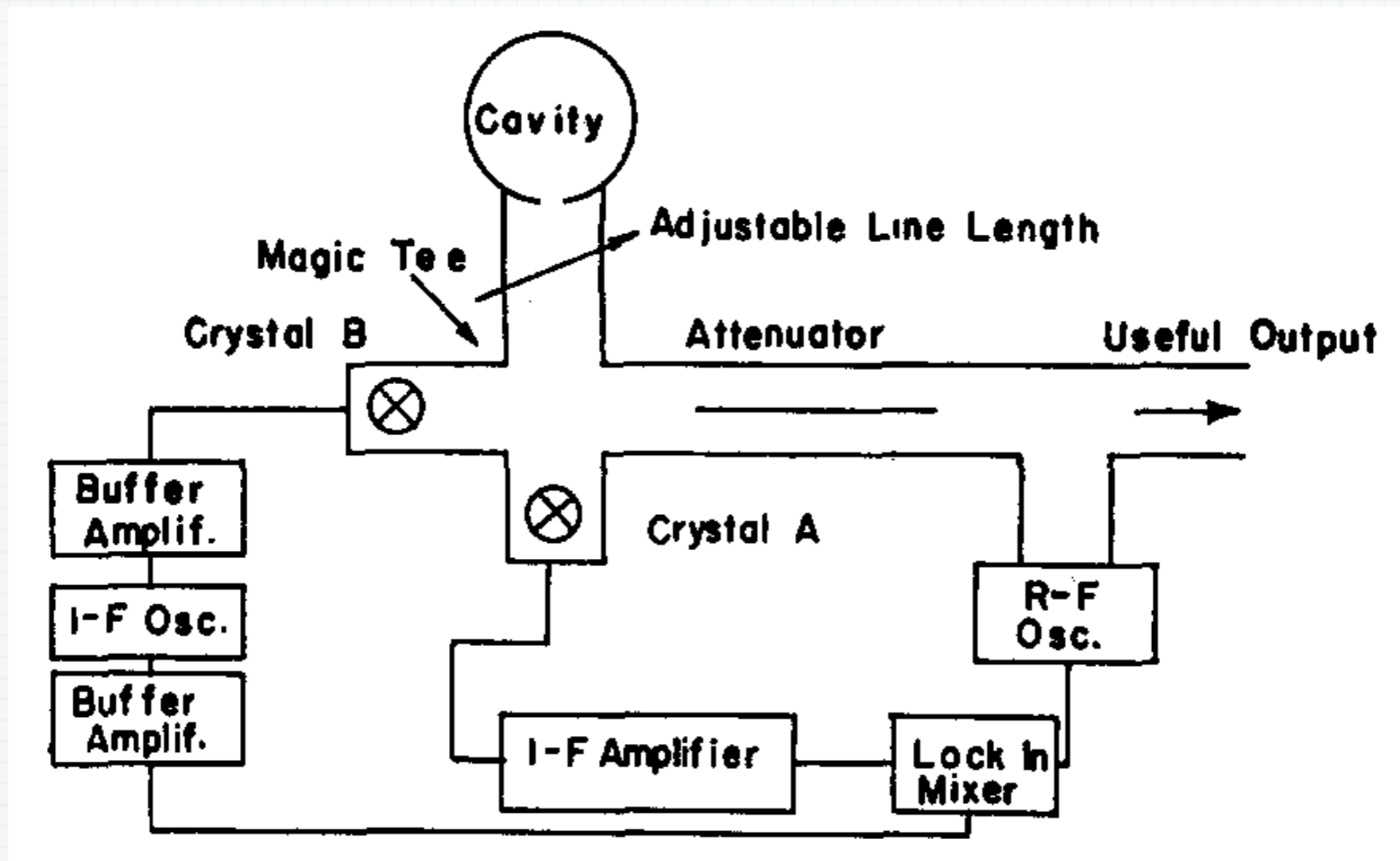
We present an improved laboratory test of Lorentz invariance in electrodynamics by testing the isotropy of the speed of light. Our measurement compares the resonance frequencies of two orthogonal optical resonators that are implemented in a single block of fused silica and are rotated continuously on a precision air bearing turntable. An analysis of data recorded over the course of one year sets a limit on an anisotropy of the speed of light of  $\Delta c/c \sim 1 \times 10^{-17}$ . This constitutes the most accurate laboratory test of the isotropy of  $c$  to date and allows to constrain parameters of a Lorentz violating extension of the standard model of particle physics down to a level of  $10^{-17}$ .





# Alternate schemes

# The original Pound scheme

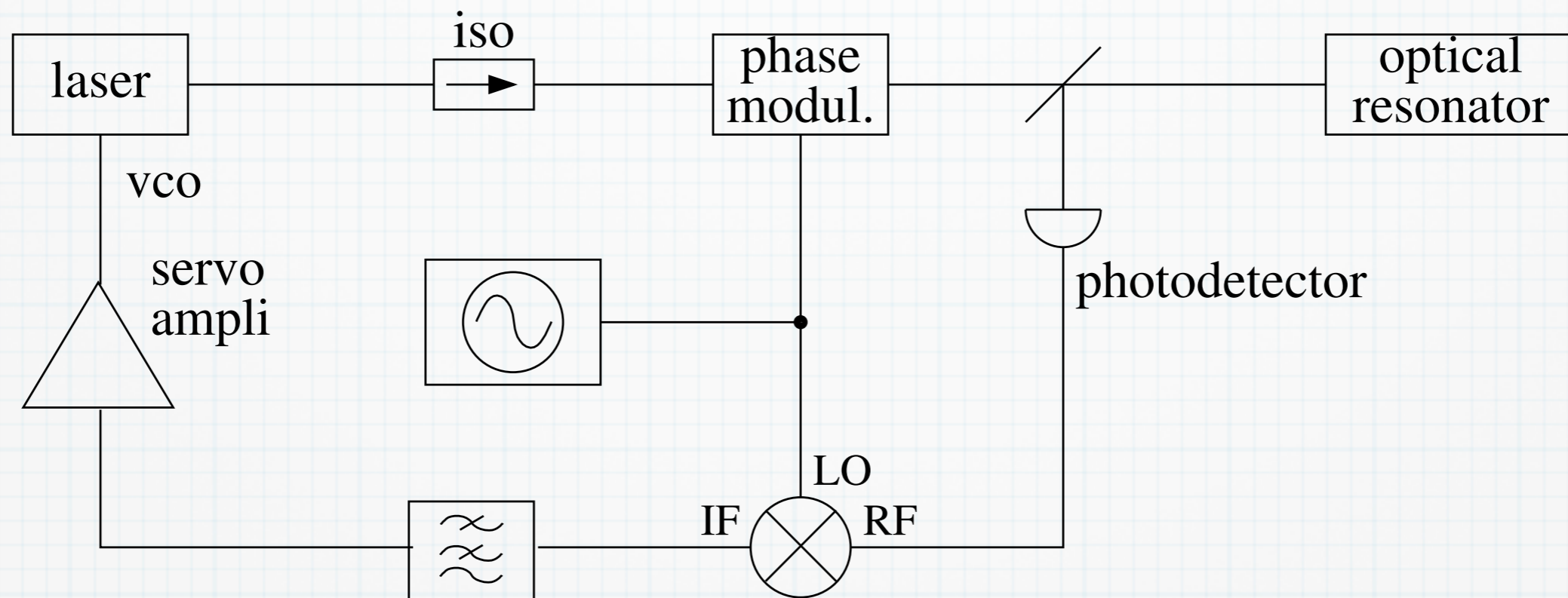


**All the key ideas are here**

**However technology, electrical symbols, and writing style are quite different**

# The Pound-Drever-Hall scheme

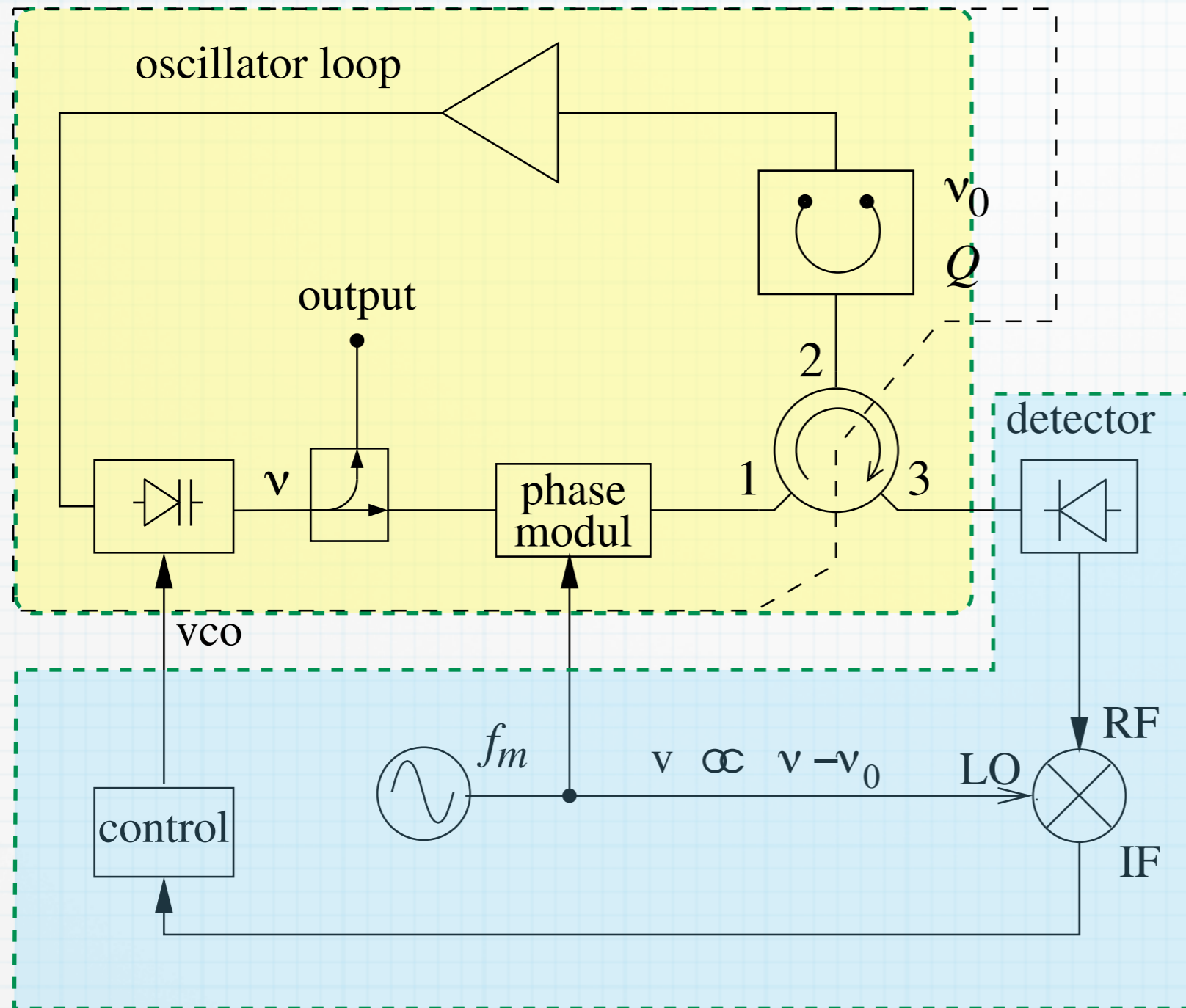
## The Pound scheme ported to optics



R.P.V. Drever, J.L. Hall & al., Appl. Phys. Lett. 31(2) p.97-105, June 1983

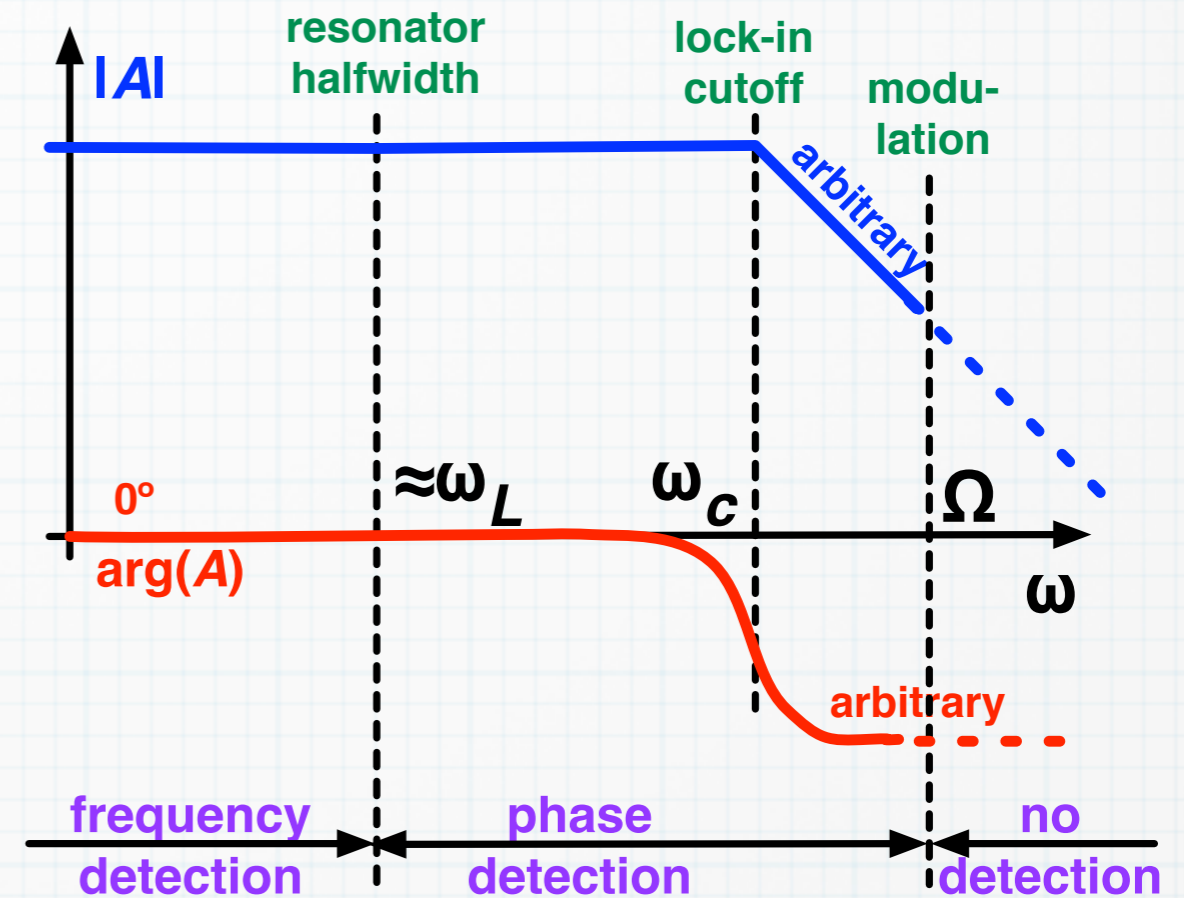
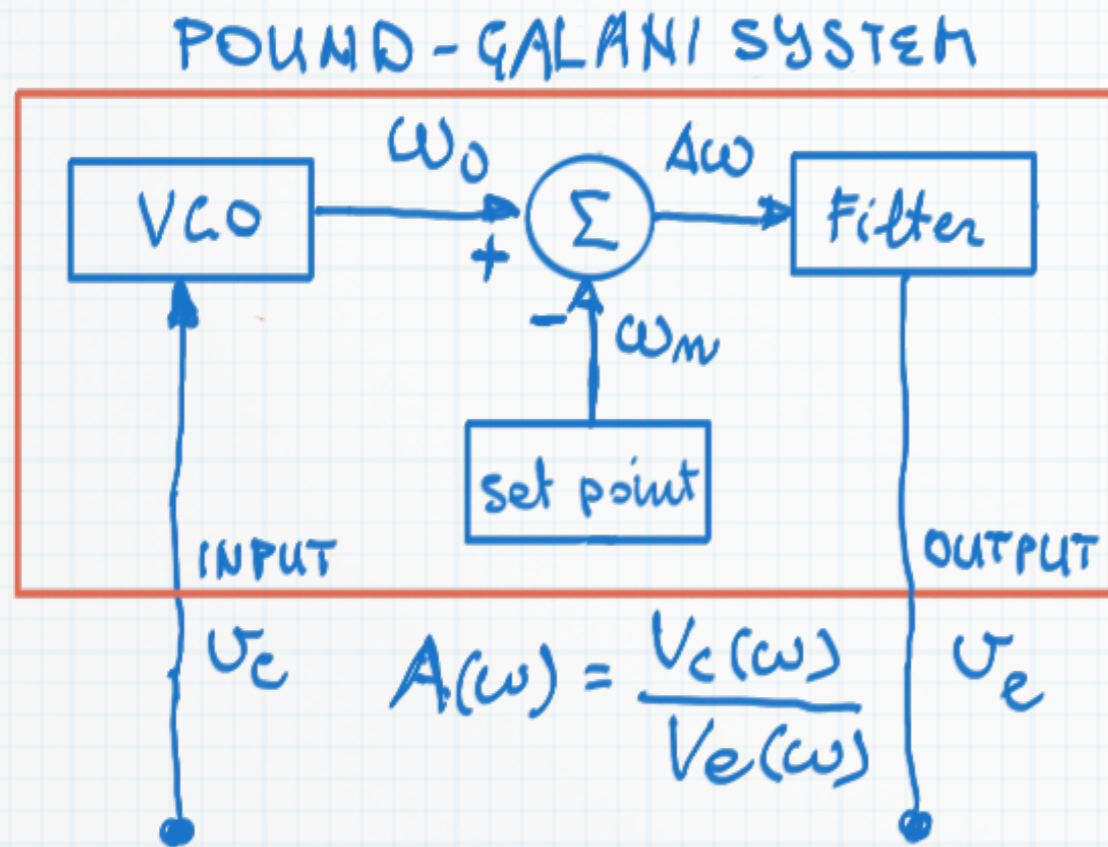


# The Pound-Galani oscillator



- Great VCO for cheap
- Easier to control
- Two-port resonator
- More complex
- Lower Q

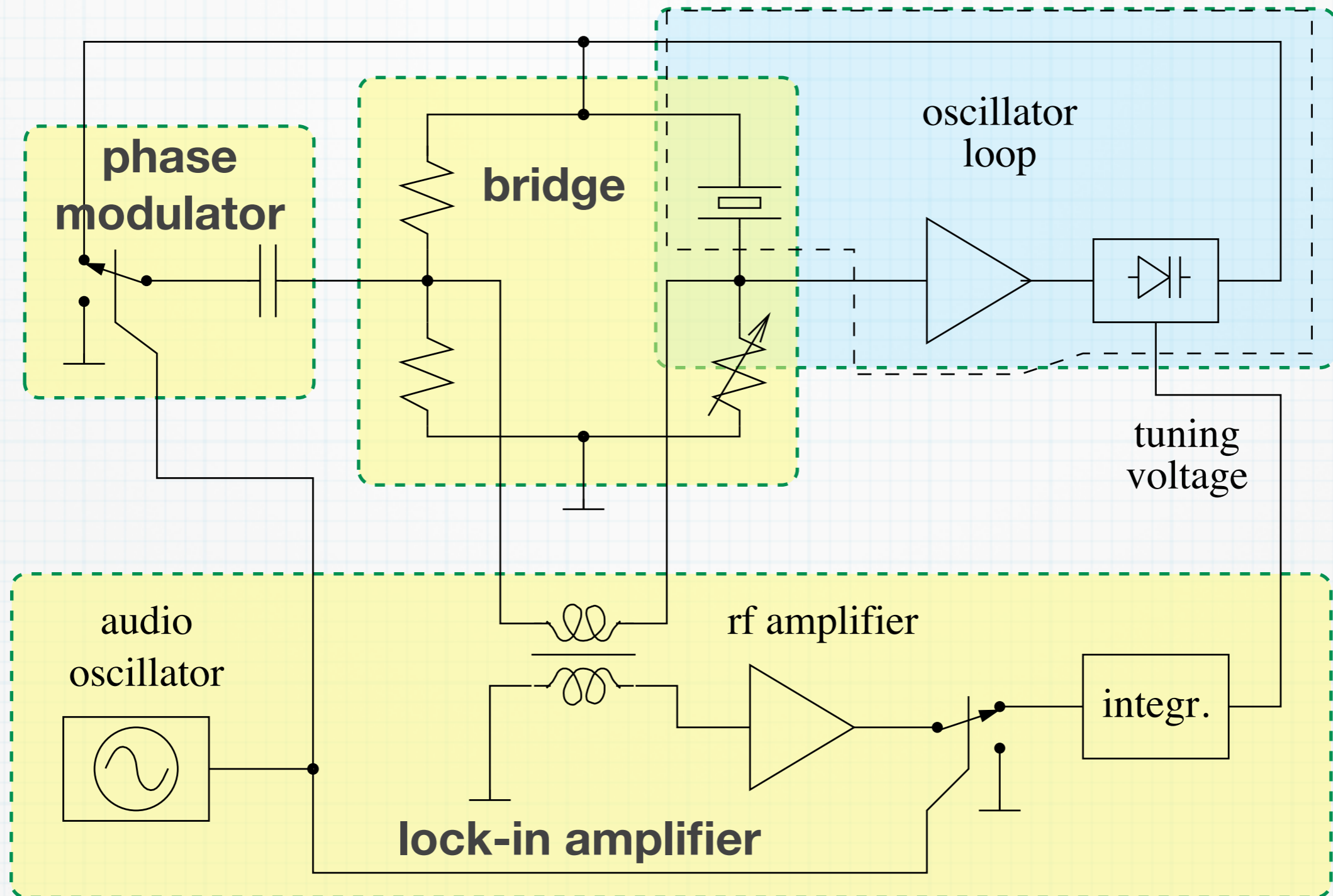
# Pound-Galani transfer function



- **FD region** → full performance
- **PD region**
  - Flat frequency response, not for free
  - Poor response of the frequency-error detection
  - Higher noise

# The Pound-Sulzer oscillator

Figure from E. Rubiola, Phase noise and frequency stability in oscillators, © Cambridge University Press





# References

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- **R.P.V. Drever, J.L. Hall & al., Appl. Phys. Lett. 31(2) p.97–105, June 1983**
- **Hall JL & al, Laser stabilization, Chapter 27 of Bass et al, Handbook of optics, McGraw Hill 2001**
- **Mor O, Arie A, JQE 33(4), April 1997**
- **R.V. Pound, Rev. Sci. Instrum. 17(11) p. 490–505, Nov. 1946**
- **Z. Galani & al, IEEE-T-MTT 39(5), May 1991**
- **P. Sulzer, Proc. IRE 43(6) p.701-707, June 1955**

**Acknowledgments**