







High resolution time & frequency counters

E. Rubiola

FEMTO-ST Institute, CNRS and Université de Franche Comté

May 2012

Outline

- Introduction
- Basic counters (RF & microwave)
- The trigger
- Clock interpolation techniques
- Basic statistics
- Advanced statistics

home page http://rubiola.org



- Compare a physical quantity (frequency, period, time interval) to a frequency reference
- Exploit the full accuracy and precision of the reference, with no degradation

Digital hardware



Basic flip-flops







D-type flip-flop (digital sampler)





Binary counter



Disambiguation: the word "counter" – is used for both
the binary / BCD counter – the digital circuit
the time / frequency counter – the instrument

1 – Basic counters

Time Interval (TI) counter



The resolution is set by the clock period $1/v_c$

The (old) frequency counter

8



The resolution is set by the input period 1/v_x, which can be poor

Classical reciprocal counter



Use the highest clock frequency permitted by the hardware

The measurement time is a multiple of the input period

Prescaler



- The prescaler is a n-bit binary divider ÷ 2ⁿ
- GaAs dividers work up to at least 20 GHz
- Reciprocal counter => there is no resolution reduction
- Most microwave counters use the prescaler

Transfer-oscillator counter



- The transfer oscillator is a PLL
- Harmonics generation takes place inside the mixer
- Harmonics locking condition: $N v_{vco} = v_x$
- Frequency modulation Δf is used to identify N (a rather complex scheme, $\times N => \Delta v \rightarrow N \Delta v$)

Heterodyne counter



- Down-conversion: $f_b = |v_x Nv_c|$
- v_b is in the range of a classical counter (100–200 MHz max)
- no resolution reduction in the case of a classical *frequency* counter (no need of reciprocal counter)
- Old scheme, nowadays used only in some special cases (frequency metrology)

Coarse counting active edge $T_c = 1/\nu_c$ t clock $GT_c \rightarrow \checkmark$ $T_x \doteq (N+F)T_c$ gate pulse (N = 3, and F = 11/24) $N_c = 3$ G = 1/24 $N_c = 3$ G = 4/24 $N_c = 3$ G = 7/24 $N_c = 3$ G = 10/24 $N_c = 3$ G = 13/24 $N_c = 4$ G = 16/24 $N_c = 4$ G = 19/24

13

 $N_c = 4$

The integer number N_c of clock cycles that falls in the gate pulse T_x is either N or N+1, depending on the the fractional part FT_c and on the delay GT_c

G = 22/24

2 – Trigger

Trigger hysteresis



Hysteresis is necessary to avoid chatter in the presence of noise

Threshold fluctuation



DON'T BLAME THE TRIGGER 12a 50 = INPUT SL ATTEN ++ F=1dB Hoise figure bandwolth -20 dB PROTECTION B~ GHH the enjust citeris -> 0.9 mV/VH3 VGKTR' V/VH2 THERMAL NOISE k= 1.38 + 10-23 Boltzmann T = 300 K temperature R= 50 SZ resistance INTEGRATED OVER THE BANDWIDTH XVB THERMAL HOISE Vm = 13.5µV (HP 5370) B = 225 MH2 -> Vm = 32.5 µU B=1.3 qHz -> (SR. 620) Account for the loss l=20 dB (multiply by 10) and for the noise figure F=1 dB (multiply by 1.12) B= 225 MH2 -> Vm= 150mV B= 13 4H2 -> Vm= 355mV

Trigger noise – oversimplified



- The effect of noise is often explained with a plot like this
- Yet, the formula holds in the absence of spikes!!!
- To the general practitioner, this explanation looks simple

Effect of (too) wide-band noise

- When the rms slope of noise is higher than the signal slope:
- the trigger leads
- systematic error



E. Rubiola & al., Proc. 46 FCS pp. 265-269, May 1992

Trigger behavior vs. bandwidth²⁰



- When the noise slope exceeds the clean-signal slope, the total slope changes sign
- There result spikes, and systematic lead error

3 – Interpolation schemes

Clock interpolation – Main idea²²



Too short T_a and T_b are difficult to measure, so we add one T_c to each

Interpolation is made possible by the fact that the clock frequency is constant and accurately known



The key elements

Synchronized oscillator



Coincidence detector



Example: Hewlett Packard 5370Å

fe = 200 MH/2 -> STz = 5ms (ECL Technology) $M = 2.56 \rightarrow \delta T_a = \delta T_b = \frac{1}{256} \pm 5 M s = 19.5 ps$ (1 + 199.22 MH2) It takes a mor. of 257 cych of fc for the two clocks to coincide conversion time: 257 × 5 ms = 1.285 prs Light speed in a cable 2 0.67C Ste and 82 2 Lemm (length.)

The Nutt dual-slope interpolator $N'_a = T'_a/T'_c$





Example: Nanofast 536 B

Smithsonian Astrophysical Laboratory Main clock fc= 10 MHz -> ST= Tc= 100 ms Time Interval amplifier IL = 4000 Ta E (200 µs, 400 µs) aux. clock 20 MHz for the measurement of T_a' $\delta T_a' = T'_c = 50 \text{ ms}$ (1/20 MHz) $\delta T_a = \frac{1}{2} T_c$ $\delta T_e = \frac{1}{4000} * 50 M_s = 12.5 ps$ The Manafast 536B counter is (was?) a partof the Markell system for Very long Baseline Interferometry (VEBI) Early ITL technology Hote: a pulse propagater in a cable at c'z = c The is equivalent to a length of 2.5 mm

The ramp interpolator



t

Example: Stanford SR 620

- $f_c = 90 \text{ MH}_{+}$ $T_c = 11 \text{ Lm}_{s}$
- phase-locked to the 10 MHz Reference. ECL Technology

12 bit converter, 1 bit lost because of the extra To

 $\frac{11 \text{ bits}}{5T_e} = \frac{11 \text{ Lms}}{2^{11}} = 5.4 \text{ ps}$

Thermometer-code interpolator



Also called Multi-tapped delay-line interpolator Review article: J. Kalisz, Metrologia 41 (2004) 17–32

³¹ Vernier thermometer-code interpolator



 $\theta_{eq} = \theta_2 - \theta_1$

Owing to physical size, both θ_1 and θ_2 are always present

Ring Oscillator



Also used in PLL circuits for clock-frequency multiplication

SAW delay-line interpolator

33



Dispersion stretches the input pulse

Sub-sampling and identification of the alias

P. Panek, I. Prochazka, Rev. Sci. Instrum. 78(9):094701, 2007

Sigma Time STX301 counter







Gossips report that this is none of the above methods
No information at all, I'm unable to reverse-engineer

4 – Basic statistics

- after all, not that basic! -

PRECISION ACCURACY AND 110 quantisation zandom luctuations ereors moise (resolution) short-Ice in clability ERROR BUDGET channel asymmetry (TI) (11) triffer level bias calibration referma freg-fc systematic errors 7

Old Hewlett Packard application notes

Quantization uncertainty



 $1/\sqrt{12} = 0.29$

Example: 100 MHz clock Tx = 10 ns $\sigma = 2.9 ns$

Classical (Π) reciprocal counter³⁸



the measure is a scalar product

$$\mathbb{E}\{\nu\} = \int_{-\infty}^{+\infty} \nu(t) w_{\Pi}(t) dt \qquad \Pi \text{ estimator}$$
$$w_{\Pi}(t) = \begin{cases} 1/\tau & 0 < t < \tau \\ 0 & \text{elsewhere} \end{cases} \qquad \text{weight}$$
$$\int_{-\infty}^{+\infty} w_{\Pi}(t) dt = 1 \qquad \text{normalization}$$

variance

 $\sigma_y^2 = \frac{2\sigma_x^2}{\tau^2}$

classical variance

From Π to Λ – key concept





40 Enhanced-resolution (A) counter





the variance is divided by n $\sigma_y^2 = \frac{1}{n} \frac{2\sigma_x^2}{\tau^2}$

classical variance

Actual formulae look like this

(II)
$$\sigma_y = \frac{1}{\tau} \sqrt{2(\delta t)^2_{\text{trigger}} + 2(\delta t)^2_{\text{interpolator}}}$$

$$(\Lambda) \quad \sigma_y = \frac{1}{\tau \sqrt{n}} \sqrt{2(\delta t)_{\text{trigger}}^2 + 2(\delta t)_{\text{interpolator}}^2}$$
$$n = \begin{cases} \nu_0 \tau & \nu_{00} \le \nu_I \\ \nu_I \tau & \nu_{00} > \nu_I \end{cases}$$

Understanding technical data

classical	reciprocal
СО	unter

 $\sigma_y^2 = \frac{2\sigma_x^2}{\tau^2}$ classical variance

classical

variance

42

enhancedresolution counter

σ^2 –	1	$2\sigma_x^2$
$v_y -$	\overline{n}	$\overline{\tau^2}$

low frequency: full speed

$\tau_0 = T$	\implies	$n = \nu_{00} \tau$
σ^2	$1 2\sigma_x^2$	classical
$v_y - \nu$	'00 $ au^3$	variance

high frequency: housekeeping takes time $\tau_0 = DT$ with $D > 1 \implies n = \nu_{00} \tau$ $\sigma_y^2 = \frac{1}{\nu_T} \frac{2\sigma_x^2}{\tau^3}$ classical variance

the slope of the classical variance tells the whole story $1/\tau^2 \implies \Pi$ estimator (classical reciprocal) $1/\tau^3 \implies \Lambda$ estimator (enhanced-resolution) look for formulae and plots in the instruction manual

Examples



 $\begin{bmatrix} \text{RMS} \\ \text{resolution} \end{bmatrix} = \begin{pmatrix} \text{frequency} \\ \text{or period} \end{pmatrix} \times \begin{bmatrix} \frac{4 \times \sqrt{(t_{\text{res}})^2 + 2 \times (\text{trigger error})^2}}{(\text{gate time}) \times \sqrt{\text{no. of samples}}} + \frac{t_{\text{jitter}}}{\text{gate time}} \end{bmatrix}$ $t_{\rm res} = 225 \ {\rm ps}$ $t_{\rm jitter} = 3 \text{ ps}$ number of samples = $\begin{cases} \text{(gate time)} \times \text{(frequency)} & \text{for } f < 200 \text{ kHz} \\ \text{(gate time)} \times 2 \times 10^5 & \text{for } f \ge 200 \text{ kHz} \end{cases}$ RMS resolution $\sigma_{\nu} = \nu_{00}\sigma_y$ or $\sigma_T = T_{00}\sigma_y$ frequency ν_{00} period T_{00} gate time τ $n = \begin{cases} \nu_{00}\tau & \nu_{00} < 200 \text{ kHz} \\ \tau \times 2 \times 10^5 & \nu_{00} \ge 200 \text{ kHz} \end{cases}$ no. of samples

Linear-regression counter



Linear regression on a sequence of time stamps provides accurate estimation of frequency

Linear regression vs. Λ estimator



The linear regression estimator is asymptotically equivalent to the Λ estimator

5 – Advanced statistics

Decimation of A estimates

How to combine contiguous A measures in a way that makes sense



 $\tau = 4\tau_B$





Allan variance

definition

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

$$\sigma_y^2(\tau) = \mathbb{E}\left\{ \frac{1}{2} \left[\frac{1}{\tau} \int_{(k+1)\tau}^{(k+2)\tau} y(t) \, dt - \frac{1}{\tau} \int_{k\tau}^{(k+1)\tau} y(t) \, dt \right]^2 \right\}$$

wavelet-like variance

$$\sigma_y^2(\tau) = \mathbb{E}\left\{ \left[\int_{-\infty}^{+\infty} y(t) \, w_A(t) \, dt \right]^2 \right\}$$

$$w_A = \begin{cases} -\frac{1}{\sqrt{2}\tau} & 0 < t < \tau\\ \frac{1}{\sqrt{2}\tau} & \tau < t < 2\tau\\ 0 & \text{elsewhere} \end{cases}$$



energy

$$E\{w_A\} = \int_{-\infty}^{+\infty} w_A^2(t) \, dt = \frac{1}{\tau}$$

the Allan variance differs from a wavelet variance in the normalization on power, instead of on energy



hence it converges even with processes steeper than 1/f

Cambridge University Press stability in oscillators, Figures are from

τ

Modified Allan variance

definition

$$\mod \sigma_y^2(\tau) = \mathbb{E} \left\{ \frac{1}{2} \left[\frac{1}{n} \sum_{i=0}^{n-1} \left(\frac{1}{\tau} \int_{(i+n)\tau_0}^{(i+2n)\tau_0} y(t) \, dt - \frac{1}{\tau} \int_{i\tau_0}^{(i+n)\tau_0} y(t) \, dt \right) \right]^2 \right\}$$

50

with $\tau = n\tau_0$.

$$\operatorname{mod} \sigma_y^2(\tau) = \mathbb{E}\left\{ \left[\int_{-\infty}^{+\infty} y(t) \, w_M(t) \, dt \right]^2 \right\}$$

wavelet-like variance

$$w_{M} = \begin{cases} -\frac{1}{\sqrt{2}\tau^{2}}t & 0 < t < \tau \\ \frac{1}{\sqrt{2}\tau^{2}}(2t-3) & \tau < t < 2\tau & W_{M} \\ -\frac{1}{\sqrt{2}\tau^{2}}(t-3) & 2\tau < t < 3\tau & 0 \\ 0 & \text{elsewhere} & 0 \\ 0 & 0 & \frac{1}{\sqrt{2}\tau} & \frac{1}{\sqrt{2$$

energy
$$E\{w_M\} = \int_{-\infty}^{+\infty} w_M^2(t) dt = \frac{1}{2\tau}$$

compare the energy

$$E\{w_M\} = \frac{1}{2} E\{w_A\}$$

this explains why the mod Allan variance is always lower than the Allan variance

Spectra and variances

Noise Type	$S_y(f)$	Allan (σ_A^2)	Modified Allan	Triangle
White PM	$h_2 f^2$	$rac{3f_H}{4\pi^2}h_2 au^{-2}$	$\frac{3}{8\pi^2}h_2\tau^{-3}$	${2\over \pi^2}h_2 au^{-3}$
		$=\sigma_{\rm A}^2(\tau)$	$= \frac{1}{2 f_{H^{\tau}}} \sigma_{\rm A}^2(\tau)$	$= \frac{\frac{8}{3f_H\tau}\sigma_A^2(\tau)}{\frac{1}{3f_H\tau}\sigma_A^2(\tau)}$
Flicker PM	$h_1 f$	$rac{1.038+3 \ln (2 \pi f_H au)}{4 \pi^2} h_1 au^{-2}$	$rac{3 \ln (rac{256}{27})}{8 \pi^2} h_1 au^{-2}$	$rac{6 \ln (rac{27}{16})}{\pi^2} h_1 au^{-2}$
		$=\sigma_{\rm A}^2(au)$	$= \frac{3.37}{3.12 + 3 \ln \pi f_H \tau} \sigma_{\rm A}^2(\tau)$	$= \frac{12.56}{3.12 + 3\ln \pi f_H \tau} \sigma_{\rm A}^2(\tau)$
White FM	h_0	$\frac{1}{2}h_0\tau^{-1}$	$\frac{1}{4}h_0 au^{-1}$	$\frac{2}{3}h_0 au^{-1}$
		$=\sigma_{ m A}^2(au)$	$= 0.50 \sigma_{\rm A}^2(\tau)$	$= 1.33 \sigma_{\rm A}^2(\tau)$
Flicker FM	$h_{-1}f^{-1}$	$2 ln(2) h_{ extsf{-1}}$	$2 ln(rac{3 3^{11/16}}{4}) h_{ ext{-}1}$	$(24\ln(2) - \frac{27}{2}\ln(3))h_{-1}$
		$=\sigma_{\rm A}^2(au)$	$= 0.67 \sigma_{\mathrm{A}}^2(au)$	$= 1.30 \sigma_{\rm A}^2(\tau)$
Random Walk FM	$h_{-2}f^{-2}$	$\frac{2}{3} \pi^2 h_{-2} \tau$	${1 \over 20} \pi^2 h_{-2} au$	${23\over 30}\pi^2h_{-2} au$
		$=\sigma_{ m A}^2(au)$	$= 0.82 \sigma_{ m A}^2(au)$	$= 1.15 \sigma_{\rm A}^2(\tau)$
Frequency Drift $(\dot{y} = D_y)$		$\frac{1}{2}D_y^2 au^2$	$\frac{1}{2}D_y^2\tau^2$	$\frac{1}{2}D_y^2\tau^2$

 ν_{00} is replaced with ν_0 for consistency with the general literature

 f_H is the high cutoff frequency, needed for the noise power to be finite

S.T. Dawkins, J.J. McFerran, A.N. Luiten, IEEE Trans. UFFC 54(5) p.918–925, May 2007

Π estimator —> Allan variance⁵²

given a series of contiguous non-overlapped measures



the Allan variance is easily evaluated

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

Overlapped A estimator —> **MVAR**

by feeding a series of Λ -estimates of frequency in the formula of the Allan variance

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

as they were Π -estimates



one gets exactly the modified Allan variance! $\operatorname{mod} \sigma_y^2(\tau) = \mathbb{E} \left\{ \frac{1}{2} \left[\frac{1}{n} \sum_{i=0}^{n-1} \left(\frac{1}{\tau} \int_{(i+n)\tau_0}^{(i+2n)\tau_0} y(t) \, dt - \frac{1}{\tau} \int_{i\tau_0}^{(i+n)\tau_0} y(t) \, dt \right) \right]^2 \right\}$

with $\tau = n\tau_0$.

Joining contiguous values to increase τ

54

graphical proof



There is a mistake in one of my articles: I believed that in the case of the Agilent counters the contiguous measures were overlapped. They are not.

Non-overlapped Λ estimator —> **TrVAR**

55

by feeding a series of Λ -estimates of frequency in the formula of the Allan variance

 $\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$

as they were Π -estimates



one gets the triangular variance!

S.T. Dawkins, J.J. McFerran, A.N. Luiten, IEEE Trans. UFFC 54(5) p.918–925, May 2007

E. Rubiola Experimental methods in AM-PM noise metrology — book project —



Front cover: The Wind Machines Artist view of the AM and PM noise Courtesy of Roberto Bergonzo, http://robertobergonzo.com

Conclusions

- Review of general techniques
- The trigger may not what it seems however, in unusual conditions
- Sophisticated interpolation techniques
- The thermometer-code interpolator is simple with modern FPGAs
- The Λ (triangular) estimator provides higher resolution than the Π (rectangular) estimator, but can be used with periodic phenomena only
- Mistakes are around the corner if the counter inside is not understood
- Some of the reported ideas are suitable to education laboratories and classroom works (I used a bicycle odometer and milestones to demonstrate the Λ estimator)

Thanks to J. Dick (JPL, retired), V. Giordano (Femto-ST), C. Greenhall (JPL), D. Howe (NIST) M. Oxborrow (NPL), F. Vernotte (Observatory of Besancon) for discussions & more

To know more:

- 1 <u>http://rubiola.org</u>, slides and articles
- 2 http://arxiv.org, document arXiv:physics/0503022v1
- 3 Rev. of Sci. Instrum. vol. 76 no. 5, art.no. 054703, May 2005.

home page http://rubiola.org